# Non-selfadjoint Differential Operators Associated Sectorial Forms and its Applications 

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#### Abstract

In the present paper, first we establish a new form and apply it to find an non-selfadjoint differential operator corresponding the proposed form utilizing the famous representation theorem. At the end, the resolvent of the derived operator using a new technique is given.


Keywords: Resolvent, Sectorial forms, Non-selfadjoint differential operators.

## 1 Introduction

Dute to the wide application of differential operators, in particular non-selfadjoint differential operators in mathematics and the other sciences. The author [6] discovered that the closed sectorial forms are very good tools to construct $m$-sectorial differential operators and introduced some special cases of such $m$-sectorial differential operators using the sectorial forms. For more results on this topic see [5], [8,9] and [12]. In this note, we consider the form $Q$ on the Hilbert space $H=L_{2}(0,1)$ as follows:

$$
Q[u, v]=\int_{0}^{1} k(t) \mu(t) u^{\prime}(t) \overline{v^{\prime}(t)} d t
$$

Moreover, also let the form $Q$ satisfy the following conditions:

$$
\begin{equation*}
k(t) \in C^{1}(0,1) \tag{1}
\end{equation*}
$$

is a locally summable non-negative function,i.e., weight.

$$
\begin{equation*}
\mu(t) \in C^{2}[0,1], \quad \mu(t) \in \Phi_{\theta} \tag{2}
\end{equation*}
$$

where

$$
\Phi_{\theta}=\left\{z \in \mathbb{C}:|\arg z| \leq \theta, \quad 0<\theta<\frac{\pi}{2}\right\}
$$

The main goal of this paper is to find an operator corresponding the presented form and to establish some
spectral properties for the obtained operator using a new technique.
The results of this note generalize the obtained results by author in [12].
We need the following definitions in our arguments.

Definition 1.[6] Let $N$ be a subspace of separable Hilbert space $H$. The complex-valued function $b: N \times N \rightarrow \mathbb{C}$ is said to be a sesquilinear form, if it be linear and semi-linear in the first argument and the second argument, respectively.
We recall that $N$ is the domain of the form $b$ and denote by $D(b)$. Moreover, $\bar{N}=\overline{D(b)}=H$.

Remark.[6] A form $b$ is said to be symmetric if $b[u, v]=\overline{b[v, u]}(u, v \in D(b))$.

Definition 2.[6] $\Theta(b)$ denotes the numerical range of $b$ and is defined as

$$
\Theta(b)=\{b[u, u]: u \in D(b)=N \quad:\|u\|=1\}
$$

Definition 3.[6] A form $b$ is said to be sectorial if $\Theta(b)$ is a subset of a sector of the form

$$
S=\left\{z \in \mathbb{C}:|\arg (z-\gamma)| \leq \theta ; \quad 0 \leq \theta<\frac{\pi}{2}, \gamma \in \mathbb{R}\right\}
$$

where $\gamma$ and $\theta$ are a vertex and a semi-angle of the form $b$ respectively.

[^0]Hereinafter the symbols (,) and $\|\cdot\|$ are used to define the scalar product and the norm in the space $H$, respectively.

Definition 4.[6] A form $b$ is said to be closed, if $D(b)$ is complete with respect to the following norm

$$
\begin{equation*}
\|u\|=\left(\operatorname{Re}(b(u, u))+\frac{\delta}{M}\|u\|^{2}\right)^{\frac{1}{2}} \tag{3}
\end{equation*}
$$

Definition 5.[6] An operator $T$ in $H$ is said to accretive, if

$$
\operatorname{Re} \Theta(T)=\operatorname{Re}(T u, u) \geq 0
$$

for all $u \in D(T)$.
In addition, an operator $T$ in $H$ is said to m-accretive, if $\|T+\lambda I\| \leq(\operatorname{Re} \lambda)^{-1}$ for $\operatorname{Re} \lambda>0$.

Definition 6.[6] An operator $T$ is said to be sectorial, if $\Theta(T)$ satisfies the following condition:
$\Theta(T) \subset S=\{z \in \mathbb{C}:|\arg (z-\gamma)| \leq \theta\}, 0 \leq \theta<\frac{\pi}{2}, \gamma \in R$.
Again we recall that $\gamma$ and $\theta$ denote a vertex and a semi-angle of the sectorial operator $T$ respectively, for example see [6].

Definition 7.[6] A operator $T$ is said to be m-sectorial, if it is both sectorial and m-accretive operators.

We denotes the $C^{*}$-algebra of all bounded linear operators on the complex Hilbert space $H=L_{2}(0,1)$ by $B(H)$. Let $T \in B(H)$ be compact. The Hilbert-Schmidt norm and the Kernel norm of $T$ are defined by

$$
\|T\|_{2}=\sqrt{\sum_{j=1}^{\infty} s_{j}^{2}(T)}, \quad\|T\|_{1}=\sum_{j=1}^{\infty} s_{j}(T)
$$

respectively, where $s_{1}(T) \geq s_{2}(T) \geq \ldots \ldots$. are the singular values of $T$, that is, the eigenvalues of the positive operator $|T|=\left(T^{*} T\right)^{\frac{1}{2}}$, arranged in decreasing order and repeated according to multiplicity.

## 2 The main results

In this section, we offer a new form. Also we apply this form to find an sectorial operator. Then, we estimate the resolvent of the obtained operator using a new method.

Definition 8.Assume that $k(t)$ satisfies (1). By $\mathscr{H}_{s}=W_{2, k(t)}^{1}(0,1)$ we introduce the class of all complex-
valued functions $u(t)$ defined on $(0,1)$ with the following Sobolev norm:

$$
\begin{equation*}
|u|_{s}=\left(\int_{0}^{1} k(t)\left|u^{\prime}(t)\right|_{\mathbb{C}}^{2} d t+\int_{0}^{1}|u(t)|_{\mathbb{C}}^{2} d t\right)^{1 / 2} \tag{4}
\end{equation*}
$$

The symbol $\stackrel{\mathscr{H}}{s}^{\text {denotes the closure of linear manifold }}$ $C_{0}^{\infty}(0,1)$ in the space $\mathscr{H}_{s}$ with respect to the Sobolev norm. Here, $C_{0}^{\infty}(0,1)$ denotes the class of all infinitely differentiable functions with compact support in $(0,1)$.

Define the sesquilinear form $Q$ on the space $H=L_{2}(0,1)$ as follows:

$$
Q[u, v]=\int_{0}^{1} k(t) \mu(t) u^{\prime}(t) \overline{v^{\prime}(t)} d t
$$

We need the following crucial Lemma to prove our main result:

Lemma 1.Assume that the form $Q$ be as above. Moreover, suppose that the function $\mu(t)$ holds in condition (1), then there exist a operator $T$ on $H$ such that $(T u, v)=Q[u, v]$ and the domain of the operator $T$ consist of the class of the vector functions $u(t) \in \mathscr{H}_{s} \cap W_{2, l o c}^{1}(0,1)$ such that $g=$ $-\left(k(t) \mu(t) u^{\prime}(t)\right)^{\prime} \in H$. At that, $g=T u$.

Proof.To prove the assertion of Lemma (1), by [6] we need to extend its domain to the closed set $D(Q)=\mathscr{\mathscr { H }}_{s}=\left(\overline{C_{0}^{\infty}(0,1),|\cdot| s}\right)$. As the Sobolev norm and the norm in (3) are equivalent, by applying Definition(4), we conclude that the form $Q$ is closed. Moreover, the condition (1), together with Definition(3), ensures that the form $Q$ is sectorial. Now, according to [6], there exists an operator $T$ such that $(T u, v)=Q[u, v]$ and $D(T) \subset D(Q)$ for $u \in D(T)$ and $v \in D(Q)$. Now we show

$$
\begin{aligned}
& D(T) \\
& \quad=\left\{u \in \stackrel{\circ}{\mathscr{H}}_{s} \cap W_{2, l o c}^{1}(0,1): T u=-\left(k(t) \mu(t) u^{\prime}(t)\right)^{\prime} \in H\right\} .
\end{aligned}
$$

Taking $u \in \stackrel{\circ}{H}_{s} \cap W_{2, l o c}^{1}(0,1)$ and $g \in H$. Let $v \in C_{0}^{\infty}(0,1)$. By integrating by parts, we verify in a straightforward manner that

$$
\begin{aligned}
(g, v) & =\left(-\left(k(t) \mu(t) u^{\prime}\right)^{\prime}, v\right) \\
& =\int_{0}^{1}-\left(k(t) \mu(t) u^{\prime}(t)\right)^{\prime} \overline{v(t)} d t \\
& =\int_{0}^{1} k(t) \mu(t) u^{\prime}(t) \overline{v^{\prime}(t)} d t \\
& =Q[u, v]
\end{aligned}
$$

Now we let $v \in \stackrel{\circ}{\mathscr{H}}_{s}$. From $\left(\overline{C_{0}^{\infty}(0,1),|\cdot|}\right)=\stackrel{\circ}{\mathscr{H}}_{s}$, making use of continuity of inner product, it follows that

$$
(g, v)=\lim _{n \rightarrow \infty}\left(g, v_{n}\right)=Q[u, v] .
$$

Therefore, $u \in D(Q)$ and $g=T u$. And vice versa, let $u \in$ $D(T)$ and $g_{1}=T u$. For every $v \in C_{0}^{\infty}(0,1)$, we have

$$
\left(g_{1}, v\right)=(T u, v)=\int_{0}^{1} k(t) \mu(t) u(t)^{\prime} \overline{v^{\prime}(t)} d t
$$

Clearly, the above equality is an extension of the function

$$
g_{2}=-\left(k(t) \mu(t) u^{\prime}\right)^{\prime}
$$

So, it is simple to see that $g_{1}=g_{2}$. Utilizing the general theory of elliptic equations, we obtain $u \in W_{2, l o c}^{1}(0,1)$. The proof of Lemma1 is proved.

As application of Lemma1, we give the following Theorems:

Theorem 1.Let $T$ be the obtained operator in Lemma1, then for all $z \in \Phi_{\psi},|z|>1$, the operator $T-z I$ has a continuous inverse and the following inequality holds:

$$
\left\|(T-z I)^{-1}\right\| \leq M_{\Phi_{\psi}}|z|^{-1}, \quad\left(z \in \Phi_{\psi},|z|>1\right)
$$

Here, $M_{\Phi_{\psi}}$ is a sufficiently large and positive number depending on $\Phi_{\psi}$.
Proof.By virtue of the derived operator from Lemma1, one can write

$$
\begin{aligned}
\|(T-z I) u\|^{2} & =\|T(u)\|^{2}+|z|^{2}\|u\|^{2} \\
& -2 \operatorname{Re}\left\{z\left(k^{\frac{1}{2}}(t) \bar{\mu} u^{\prime}, k^{\frac{1}{2}}(t) u^{\prime}\right)\right\} .
\end{aligned}
$$

Using condition(2) and Lemma1, we conclude that $(T u, u) \in \Phi_{\theta}$. As $z \in \Phi_{\psi}$, it immedaitely follows that $\operatorname{Re}\{z(T u, u)\} \leq 0$. The condition $\operatorname{Re}\{z(T u, u)\} \leq 0$ and $|(T u, u)| \leq \frac{1}{2}\left(\|T u\|^{2}+\|u\|^{2}\right)$ imply that

$$
(1-\chi)\left(\|T(u)\|^{2}+|z|^{2}\|u\|^{2}\right) \leq\|(T-z I) u\|^{2}
$$

where $\chi=\chi\left(\Phi_{\theta}, \Phi_{\psi}\right)<1$. This completes our proof.
Theorem 2.Let $T$ be the derived operator in Lemma 1. Then, for $0 \leq \theta<\frac{\pi}{2}$, we have
$N(\eta)=\operatorname{card}\left\{j:\left|z_{j}(T)\right| \leq \eta,\left|\arg _{j}(T)\right| \leq \theta\right\} \leq M(1+\eta)^{\frac{1}{2}}$.
Proof.Corresponding to the form $Q$ as in Lemma1, we consider the real part of the form $Q$ with $Q^{\prime}$ ( i.e., $Q^{\prime}=$ Real $Q$ ) and we define it as follows:

$$
\left.Q^{\prime} u, v\right]=\int_{0}^{1} k(t) \mu_{1}(t) u^{\prime}(t) \overline{v^{\prime}(t)} d t
$$

where $\mu_{1}(t)=\operatorname{Re} \mu(t)$ and $D\left(Q^{\prime}\right)=\stackrel{\mathscr{H}}{s}$. Analogous to Lemma1, there exists an operator $T^{\prime}$ such that $Q^{\prime}(u, v)=\left(T^{\prime} u, v\right)$. With the aid of the forms $Q$ and $Q^{\prime}$ and the non-negative number $z$ ( that is $z \geq 0$ ), we can define the forms $Q_{z}$ and $Q_{z}^{\prime}$ as follows:

$$
Q_{z}[u, v]=Q[u, v]+z(u, v), \quad D\left(Q_{z}\right)=D(Q)
$$

and

$$
Q_{z}^{\prime}[u, v]=Q^{\prime}[u, v]+z(u, v), \quad D\left(Q_{z}^{\prime}\right)=D\left(Q^{\prime}\right)
$$

Applying Lemma1, one obtains two $m$-sectorial operators $T_{z}$ and $T_{z}^{\prime}$ such that

$$
T_{z}=T+z I, \quad T_{z}^{\prime}=T^{\prime}+z I
$$

In veiw of [6] there exists an symmetric operator $B \in B(H)$ such that $\|B\| \leq \tan \theta$ and

$$
\begin{equation*}
(T+z I)=\left(T^{\prime}+z I\right)^{\frac{1}{2}}(I+i B(z))\left(T^{\prime}+z I\right)^{\frac{1}{2}} \tag{5}
\end{equation*}
$$

where $z \geq 0$. From $B(z)=B\left(z^{*}\right)$ is a bounded operator, it follows that for every $u \in L_{2}(0,1)$

$$
\left.\|(I+i B(z))(u)\|^{2}=\|u\|^{2}+\| B(z)\right)\left\|^{2} \geq\right\| u \|^{2}
$$

which implies that

$$
\|(I+i B(z))\|^{-1} \leq 1
$$

Using the latter inequality and the relation (5), we get

$$
\begin{gather*}
(T+z I)^{-1}=\left(T^{\prime}+z I\right)^{-\frac{1}{2}} Y(z)\left(T^{\prime}+z I\right)^{-\frac{1}{2}}  \tag{6}\\
\|Y(z)\| \leq 1, \quad z>0
\end{gather*}
$$

In result, the operator $(T+z I)^{-1}$ is compact, and then it has countable spectrum. Therefore, the eigenvalues of the operator are as follows:

$$
\left(z_{1}(T)+z\right)^{-1},\left(z_{2}(T)+z I\right)^{-1}, \ldots
$$

Thus, we conclude that

$$
\sum_{i=1}^{\infty}\left|\left(z_{i}(T)+z\right)^{-1}\right| \leq\left|(T+z I)^{-1}\right|_{1} \leq\left|\left(T^{\prime}+z I\right)^{-1}\right|_{2}^{2}
$$

where, $|.2|$ is Hilbert Schmidt norm. As for every $u \in D(T), \quad|\arg (T u, u)| \leq \theta$, it follows that $\left|\arg z_{i}(T)\right| \leq \theta$, for $i=1,2, \ldots$. This means that

$$
\left(\left|z_{i}(T)\right|+z\right)^{-1} \leq M_{\theta}\left|\left(z_{i}(T)+z\right)^{-1}\right|
$$

Now, we show that for $\eta>0$

$$
N(\eta)=\operatorname{card}\left\{j:\left|z_{j}\left(T^{\prime}\right)\right| \leq \eta\right\} \leq M(1+\eta)^{\frac{1}{2}}
$$

From what has been discussed above, we obtain the following inequality:

$$
\begin{aligned}
N(\eta) & =\int_{0}^{\eta} d N(s) \\
& \leq 2 \eta \int_{0}^{\eta}(s+z)^{-1} d N(s) \\
& \leq 2 \eta \int_{0}^{\infty}(s+z)^{-1} d N(s) \\
& =2 \eta \sum_{i=1}^{\infty}\left(z_{i}(T)+\eta\right)^{-1} \\
& \leq 2 \eta M_{\theta} \cdot\left|\left(T^{\prime}+\eta I\right)^{-1}\right|_{2}^{2} .
\end{aligned}
$$

On the other hand, we have

$$
\begin{aligned}
\left|\left(T^{\prime}+\eta I\right)^{-1}\right|_{2}^{2} & =\sum_{i=1}^{\infty}\left(z_{i}\left(T^{\prime}+\eta\right)^{-1}\right)^{2} \\
& =\sum_{i=1}^{\infty}\left|\left(z_{i}\left(T^{\prime}\right)+\eta\right)^{-2}\right|_{2}^{2} \\
& =\int_{0}^{\infty} \frac{d n(s)}{(\eta+s)^{2}}=2 \int_{0}^{\infty} \frac{n(s) d s}{(\eta+s)^{3}} \\
& \leq 2 \int_{0}^{\infty} \frac{(1+s)^{\frac{1}{2}} d s}{(\eta+s)^{3}} \leq 2 M \cdot(1+\eta)^{\frac{-3}{2}}
\end{aligned}
$$

which implies that
$N(\eta)=\operatorname{card}\left\{j:\left|z_{j}(T)\right| \leq \eta,\left|\arg _{j}(T)\right| \leq \theta\right\} \leq M(1+\eta)^{\frac{1}{2}}$.
This completes the proof.

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