

## Rough Sets Theory as Symbolic Data Mining Method: An Application on Complete Decision Table

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*Received: 21 May 2012; Revised 25 Nov. 2012; Accepted 27 Nov. 2012*

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**Abstract:** In this study, the mathematical principles of rough sets theory are explained and a sample application about rule discovery from a decision table by using different algorithms in rough sets theory is presented.

**Keywords:** Rough Sets Theory, Data Mining, Complete Decision Table, Rule Discovery

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### 1. Introduction

Data mining and usage of the useful patterns that reside in the databases have become a very important research area because of the rapid developments in both computer hardware and software industries. In parallel with the rapid increase in the data stored in the databases, effective use of the data is becoming a problem. To discover the rules or interesting and useful patterns from these stored data, data mining techniques are used. If data is incomplete or inaccurate, the results extracted from the database during the data discovery phase would be inconsistent and meaningless. Rough sets theory is a new mathematical approach used in the intelligent data analysis and data mining if data is uncertain or incomplete. This approach is of great importance in cognitive science and artificial intelligence, especially in machine learning, decision analysis, expert systems and inductive reasoning.

There are many advantages of rough set approach in intelligent data analysis. Some of these advantages are being suitable for parallel processing, finding minimal data sets, supplying effective algorithms to discover hidden patterns in data, valuation of the meaningfulness of the data, producing decision rule set from data, being easy to understand and the results obtained can be interpreted clearly. In the last years, rough sets theory is widely used in different areas like engineering, banking and finance.

In the last decades, the size of the data stored in the databases of the organizations has been growing each day and therefore we face difficulties about obtaining the valuable data. Databases are a collection of relational and non-recurring data to meet the demands of the organizations. Because the data stored in the databases is growing each day, it is getting harder for the users to reach the accurate and useful information. In the last few years, because of the rapid developments in both computer hardware and software industries, the increase in the storage capacities of huge databases, the data mining and the usage of the useful patterns that reside in the databases, became a very important research area. To discover the rules or interesting and useful patterns among these stored data in the databases, data mining techniques are used. Storing huge amount of increasing data in the databases, which is called information explosion, it is necessary to transform these data into necessary and useful information. Using conventional statistics techniques fail to satisfy the

requirements for analyzing the data, in the last years, the newly developed concepts Data Mining and Knowledge Discovery in Databases are getting more important.

One of the approaches used in data mining and knowledge discovery is rough sets theory. According to this method, which is proposed in the beginning of 1980's, it is thought that knowledge can be obtained from every object in the universe.

In this study, the mathematical principles of rough sets theory are explained and a sample application about rule discovery from a decision table by using different algorithms in rough sets theory is presented.

## 2. Data Mining and Knowledge Discovery in Databases

Data Mining is a discovery process of the hidden information from data which is yet unknown and potentially useful. On the other hand, according to Raghavan and Sever, data mining discovers the general patterns and relations hidden in the data (Sever and Oguz, 2003).

Decision rules are one of the widely used techniques to present the obtained information. A decision rule summarizes the relation between the properties. To transform the raw data residing in the database into valuable information, several stages of data processing is required. Data Mining is an iterative process that acts as a bridge between logical decision-making and the data, and is possible the classification for finding the useful samples or using and combining the classification rules from the samples. This process combines the approaches used in different disciplines like machine learning, statistics, database management systems, data warehousing, and constraint programming (Sever and Oguz, 2003).

In recent years many successful machine learning applications have been developed, in particular in domain of data mining and knowledge discovery. One of common tasks performed in knowledge discovery is classification. It consists of assigning a decision class label to a set of unclassified objects described by a fixed set of attributes (features). Learning algorithms induce various forms of classification knowledge from learning examples, i.e., decision trees, rules, Bayesian classifiers. Decision rules are represented as logical expressions of the following form:

IF (*conditions*) THEN (*decision class*)

where conditions are formed as a conjunction of elementary tests on values of attributes. A number of various algorithms have already been developed to induce such rules.

Decision rules are one of the most popular types of knowledge used in practice; one of the main reasons for their wide application is their expressive and easily human-readable representation. (Stefanowski, 2003)

There are many successful applications of data mining process in many different areas. Many methods to discover the useful patterns are available in data mining applications and each method has advantages and disadvantages over the others. However, when needed, the advantages of different methods can be combined and hybrid methods may be created. The process of creating hybrid methods is a work of combining computational intelligence tools.

Many algorithms are used to implement a DM process. The reason is that some technologies result better than the others for different tasks, states and subjects do. There is a model creation process that represents a data set in the core of the data mining. A model creation process that represents a data set is generic for all DM products; on the other hand, the process itself is not generic.

Some methods used in DM processes are rough sets theory, Bayesian networks, genetic algorithms, neural networks, fuzzy sets and inductive logic programming.

DM functions are used to determine the pattern types that may exist in the DM tasks. Generally, DM tasks are classified into two categories as descriptive and estimator. Descriptive mining tasks characterize the general properties of data in the database. On the

other hand, estimator mining makes inferences from the available data to make predictions (Han and Kamber, 2001). The samples of the DM functions and resulting discovered pattern types are classification, clustering, summarization, estimation, time series analysis, association rules, sequence analysis and visualization.

### 3. Rough Sets Theory

Rough sets theory is proposed by (Pawlak, 1982) in the beginning of 1980's and it is based on the assumption that knowledge can be obtained from each object in the universe (Nguyen and Slezak, 1999, Pawlak, 2002).

In rough sets theory, the objects, characterized by the same information, have the same existing knowledge; this means they are indiscernible. Indiscernibility relationship produced using this way forms the mathematical basis of the rough sets theory. The sets of the same indiscernible objects are called "*elementary set*" and form the smallest building blocks (atoms) of the information about the universe. Some combinations of those elementary sets are called "*crisp set*", otherwise the set is called "*rough set*". Each rough set has boundary region. For example, like the unclassified objects with certainty. Significantly, rough sets, in contrast precise sets, cannot be characterized by the information of their elements. A rough set and a precise set pair are called the lower and upper approximation of the related rough set. Lower approximation contains all the objects belong to the set but upper approximation contains the objects that may belong to the set. The differences between these lower and upper approximations define the boundary region of the rough set. The lower and the upper approaches are two basic functions in the rough sets theory.

There are many advantages of the rough sets approach in data analysis. Some of them are as follows:

- It finds minimal data sets and generates a decision rule from the resulting data.
- It performs the clear interpretations of the results and evaluation of the meaningfulness of the data.
- Many algorithms based on rough sets theory in particular are suitable for parallel processing (Pawlak, 2002).
- Non-linear or discontinuous functional relations modeling capability supplies a strong method that can qualify the multi-dimensional and complex patterns. Because generated rules and used properties are not excessive, the patterns are concise, strong and sturdy. In addition, it supplies effective algorithms to find the hidden patterns in the data.
- Rough sets can identify and characterize the uncertain systems.
- Because the rough sets show the information as easy to understand logic patterns, where the inspection and validity of the data required or the decisions are taken by the rules and suitable for the supported situations, this method is successful (Binay, 2002).

The basic concepts of rough set theory will be explained below.

#### 3.1. Information Systems

In rough sets theory, a data set is represented as a table and each row represents a state, an event or simply an object. Each column represents a measurable property for an object (a variable, an observation, etc.). This table is called an information system. More formally, the pair  $A = (U, A)$  represents an information system.  $U$  is a finite nonempty set that is called universe and  $A$  is a finite nonempty set of properties. Here, for  $\forall a \in A$ ,  $a : U \rightarrow V_a$ . The set  $V_a$  is called the value set of  $a$ . Another form of information systems is called decision

systems. A decision system (i.e., decision table) expresses all the knowledge about the model. A decision system is  $A = (U, A \cup \{d\})$  form of any information system. Here,  $d \notin A$  are decision attributes. Other attributes  $a \in A - \{d\}$  are called conditional attributes. Decision attributes can have many values, but usually they have a binary value like True or False (Komorowski, et.al., 1998, Hui, 2002). Decision system and decision rule will be explained in details in Section 4.

### 3.2. Indiscernibility

Decision systems, which are a special form of information systems, contain all information about a model (event, state). In decision systems, the same or indiscernible objects might be represented more than once or the attributes may be too many. In this case, the result table will be larger than desired. The relation about indiscernibility is as follows:

If a pair of relation  $R \subseteq X \times X$  is either reflective (if an object relates to itself  $xRx$ ), symmetrical (if  $xRy$  then  $yRx$ ) or transitive (if  $xRy$  and  $yRz$  then  $xRz$ ) then it is an equivalence relation. The equivalence class of  $x \in X$  element contains all  $y \in X$  objects, where  $xRy$ . Provided that  $A = (U, A)$  is an information system, then there is an equivalence relation between any  $B \subseteq A$  and a  $IND_A(B)$ :

$$IND_A(B) = \{(x, y) \in U^2 \mid \forall a \in B a(x) = a(y)\} \quad (1)$$

$IND_A(B)$ ,  $B$  – is called indiscernibility relation. If  $(x, y) \in IND_A(B)$ , then the objects  $x$  and  $y$  are indiscernible with the attributes in  $B$ . The equivalence class of indiscernibility relation  $B$  – is represented by  $[x]_B$  (Komorowski, et.al., 1998, Komorowski et.al., 1999). The indiscernibility relation  $IND_A(B)$  separates a universal set  $U$ , given as a pair of equivalence relation, into an  $\{X_1, X_2, \dots, X_r\}$  equivalence classes family. All equivalence classes family  $\{X_1, X_2, \dots, X_r\}$  defined by the relation  $IND_A(B)$  in set  $U$  forms a partition of set  $U$  and it is represented by  $B^*$ . The equivalence classes family  $B^*$  is called classification and represented by the expression  $U / IND_A(B)$ . The objects belonging to the same equivalence classes  $X_i$  are indiscernible; otherwise, the objects are discernible by attributes subset  $B$ . The equivalence classes  $X_i$ ,  $(1, 2, \dots, r)$  of  $IND_A(B)$  relation are called elementary sets  $B$  in an information system  $A$ .

$[x]_B$  shows an elementary set  $B$  containing the element  $x$  and it is defined by the following equation (2):

$$[x]_B = \{y \in U \mid xIND_A y\} \quad (2)$$

A sequenced pair  $(U, IND_A(B))$  is called approximation space. Any finite combination of elementary sets in an approximation space is called a set defined in the approximation space (Binay, 2002). A elementary sets of an information system  $A = (U, A)$  are called the atoms of information system  $A$ .

### 3.3. Discernibility Matrix

The study on the indiscernibility of the objects is carried out by Skowron and Rauszer (1992). In this study, indiscernibility function and indiscernibility matrix related to the creation of efficient algorithms for creating minimal feature subsystems sufficient to define all the aspects in a given information system are presented.

Let us assume that  $A$  is an information system that contains  $n$  number of objects. The indiscernibility matrix  $M_A$  for the information system  $A$  is a  $n \times n$  symmetrical matrix,

containing the elements  $c_{pq}$  shown below. Each element  $c_{pq}$  of this matrix comprises the attributes set that distinguishes the objects  $x_p$  and  $x_q$ .

$$c_{pq} = \{a \in A \mid a(x_p) = a(x_q)\}, \quad (p, q = 1, 2, \dots, n) \tag{3}$$

Conceptually the indiscernibility matrix  $M_A$  is a  $|U| \times |U|$  matrix. In order to generate the indiscernibility matrix, we should consider the different object pairs. Because  $c_{pq} = c_{qp}$  and  $c_{pp} = \emptyset$  for all objects  $x_p$  and  $x_q$ , it is not necessary to calculate half of the elements when generating the indiscernibility matrix  $M_A$ . That will lead to a reduction in computational complexity.

### 3.4. Discernibility Function

Indiscernibility function is a function that defines how to distinguish an object or an object set from a certain subsystem of an object universe. Indiscernibility function is a multiplication of Boolean sums. The indiscernibility matrix  $M_A$  for any object  $x \in U$ , the indiscernibility matrix is generated as follows. Indiscernibility function  $f_A$  for an information system is a Boolean function of  $m$  number of Boolean variables  $a_1^*, a_2^*, \dots, a_m^*$  corresponding the attributes  $a_1, a_2, \dots, a_m$ . Indiscernibility function  $f_A$  is expressed as follows:

$$f_A(a_1^*, a_2^*, \dots, a_m^*) = \bigwedge \{ \bigvee c_{pq}^* \mid 1 \leq q \leq p \leq n, c_{pq}^* \neq \emptyset \} \tag{4}$$

The formulas below are obtained:

$$c_{pq} = \{a \in A \mid a(x_p) \neq a(x_q)\} \quad (p, q = 1, 2, \dots, n) \tag{5}$$

$$c_{pq}^* = \{a^* \mid a \in c_{pq}\} \tag{6}$$

It might be possible to generate an indiscernibility function from an indiscernibility matrix  $M_A$  related to the object  $x \in U$ .

The function  $f_A(x)$  is a multiplication function of the sum of Boolean variables  $|A|$  while the variable  $a^*$  refers to the attribute  $a$ . Every combination of  $f_A(x)$  comes from the object  $y \in U$  that cannot be distinguished from  $x$  and each term in the combination represents the property that distinguishes one from another.

$$f_A(x) = \prod_{y \in U} \{ \sum a^* \mid a \in M_A(x, y) \vee M_A(x, y) \neq \emptyset \} \tag{7}$$

The base contents of  $f_A(x)$  in the universe  $U$  show the smallest subsets of  $A$  that is required distinguishing the objects from the object  $x$ .

### 3.5. Set Approximations

The basic idea underlying the rough sets theory is to generate the set approaches using the pair relation  $IND_A(B)$ . If  $X$  cannot be accurately defined using the attributes of  $A$ , then the lower and upper approximations are expressed. Let us assume that  $A = (U, A)$  is an information system and  $B \subseteq A$  and  $X \subseteq U$ .  $X$  can be approached only using the information contained in  $B$ , when  $X$  generates  $B$ -lower approximation and  $B$ -upper approximations, represented by  $\underline{B}X$  and  $\overline{B}X$ , respectively. Here, the lower and upper approximations are defines as follows:

$$\underline{B}X = \{x \mid [x]_B \subseteq X\} \tag{8}$$

$$\overline{B}X = \{x \mid [x]_B \cap X \neq \emptyset\} \tag{9}$$

The objects in  $\underline{B}X$ ,  $B$  are classified certain members of  $X$  on the base of the information contained in  $B$ . The objects in  $\overline{B}X$  can be classified probable members of  $X$  on the base of the information contained in  $B$ .

$$BN_B(X) = \overline{B}X - \underline{B}X \quad (10)$$

The equation (10) is called  $B$ -boundary region of  $X$ , and then it comprises the objects that cannot be classified certainly members of  $X$  on the base of the information contained in  $B$ .

The set  $U - \overline{B}X$  is called  $B$ -outside region of  $X$ , and it comprises the objects that certainly not belong to  $X$  on the base of the information contained in  $B$ .

If  $BN_B(X) = \overline{B}X - \underline{B}X = \emptyset$ , which is  $\overline{B}X = \underline{B}X$ , the set  $B$  is called certain set.

$BN_B(X) \neq \overline{B}X - \underline{B}X \neq \emptyset$  If  $\overline{B}X \neq \underline{B}X$ , then the set  $B$  is called rough set. In this case, the set  $B$  can be qualified only with lower and upper approximations. Figure 1 shows the upper and lower approximations of set  $X$ .

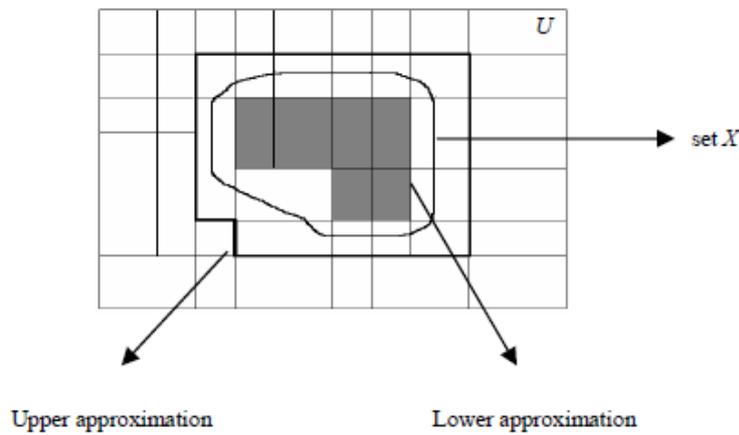


Figure 1. Upper and lower approximations of set  $X$

The lower and upper approximations have the properties that are shown below:

$$\underline{B}(X) \subseteq X \subseteq \overline{B}(X) \quad (11)$$

$$\underline{B}(\emptyset) = \overline{B}(\emptyset) = \emptyset, \quad \underline{B}(U) = \overline{B}(U) = U \quad (12)$$

$$\overline{B}(X \cup Y) = \overline{B}(X) \cup \overline{B}(Y) \quad (13)$$

$$\underline{B}(X \cap Y) = \underline{B}(X) \cap \underline{B}(Y) \quad (14)$$

$$X \subseteq Y \text{ implies } \underline{B}(X) \subseteq \underline{B}(Y) \text{ and } \overline{B}(X) \subseteq \overline{B}(Y) \quad (15)$$

$$\underline{B}(X \cup Y) \supseteq \underline{B}(X) \cup \underline{B}(Y) \quad (16)$$

$$\overline{B}(X \cap Y) \subseteq \overline{B}(X) \cap \overline{B}(Y) \quad (17)$$

$$\underline{B}(-X) = -\overline{B}(X) \quad (18)$$

$$\overline{B}(-X) = -\underline{B}(X) \quad (19)$$

$$\underline{B}(\underline{B}(X)) = \overline{B}(\underline{B}(X)) = \underline{B}(X) \quad (20)$$

$$\overline{B}(\overline{B}(X)) = \underline{B}(\overline{B}(X)) = \overline{B}(X) \quad (21)$$

Here,  $-X$  means  $U - X$ .

One can define the following four basic classes of rough sets, i.e., four categories of vagueness:

- $X$  is roughly  $B$ -definable, iff  $\underline{B}(X) \neq \emptyset$  and  $\overline{B}(X) \neq U$ .
- $X$  is internally  $B$ -undefinable, iff  $\underline{B}(X) = \emptyset$  and  $\overline{B}(X) \neq U$ .

c)  $X$  is externally  $B$ -undefinable, iff  $\underline{B}(X) \neq \emptyset$  and  $\overline{B}(X) = U$ .

d)  $X$  is totally  $B$ -undefinable,  $\underline{B}(X) = \emptyset$  and  $\overline{B}(X) = U$ .

The intuitive meaning of this classification is the following.

$X$  is roughly  $B$ -definable means that with the help of  $B$  we are able to decide for some elements of  $U$  that they belong to  $X$  and for some elements of  $U$  that they belong to  $-X$ .

$X$  is internally  $B$ -undefinable means that using  $B$  we are able to decide for some elements of  $U$  that they belong to  $-X$  but we are unable to decide for any element  $U$  whether it belongs to  $X$ .

$X$  is externally  $B$ -undefinable means that using  $B$  we are able to decide for some elements of  $U$  that they belong to  $X$  but we are unable to decide for any element  $U$  whether it belongs to  $-X$ .

$X$  is totally  $B$ -undefinable means that using  $B$  we are unable to decide for some element of  $U$  whether it belongs to  $X$  or  $-X$  (Komorowski et.al., 1998).

The universe can be divided into three disjoint regions using the upper and lower approximations, relating to any subset  $X \subseteq U$ . Boundary, positive and negative regions are described as below.

$$BND(X) = \overline{B}(X) - \underline{B}(X) \quad (22)$$

$$POS(X) = \underline{B}(X) \quad (23)$$

$$NEG(X) = U - \overline{B}(X) \quad (24)$$

A member of the negative region  $NEG(X)$  does not belong to  $X$ . A member of the positive region  $POS(X)$  belongs to  $X$ , and only one member of the boundary region  $BND(X)$  belongs to  $X$  (Allam et.al., 2005). These regions are shown in the Figure 2.

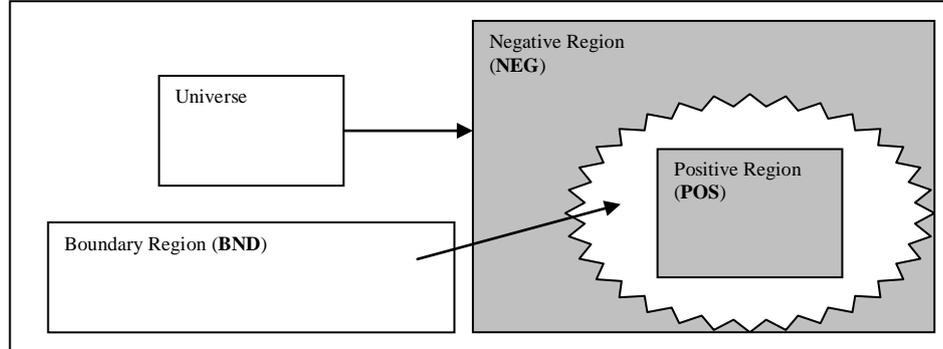


Figure 2. The negative, positive and the boundary regions of a rough set

A rough set  $X$  can be characterized numerically with the following coefficient:

$$\alpha_B(X) = \frac{|\underline{B}(X)|}{|\overline{B}(X)|} = \frac{\text{card}(\underline{B}(X))}{\text{card}(\overline{B}(X))} \quad (25)$$

Here the coefficient  $\alpha_B(X)$  is called the accuracy of the approximations and the number of members of the set  $\overline{B}(X)$  is expressed as  $|\overline{B}(X)|$  and the number of members of the set  $\underline{B}(X)$  is expressed as  $|\underline{B}(X)|$ .

It is obvious that  $0 \leq \alpha_B(X) \leq 1$ . ( $\alpha_B(X) \in [0,1]$ )

If  $\alpha_B(X) = 1$  then  $X$  is called crisp relating to  $B$ , otherwise if  $\alpha_B(X) < 1$  then  $X$ , is called rough relating to  $B$ .

In classical set theory, an element either belongs to a set or not. The corresponding membership function is a characteristic function of a set. For example, like a function which takes values 1 and 0, respectively. In this case the notion of membership rough set is different. The rough membership function quantifies the degree of the relative overlap between the set  $x$  and the equivalence  $[x]_B$  class to which  $x$  belongs. Rough membership function is defined as follows:

$$\mu_x^B(x): U \rightarrow [0,1] \text{ and } \mu_x^B(x) = \frac{|[x]_B \cap X|}{|[x]_B|} \quad (26)$$

The formulae for the lower and upper set approximations can be generalized to some arbitrary level of precision  $\pi \in \left(\frac{1}{2}, 1\right]$  by means of the rough membership function as shown below.

$$\underline{B}_\pi X = \{x \mid \mu_x^B(x) \geq \pi\} \quad (27)$$

$$\overline{B}_\pi X = \{x \mid \mu_x^B(x) > 1 - \pi\} \quad (28)$$

$\underline{B}_\pi X$  and  $\overline{B}_\pi X$  lower and upper approximations here are called as variable precision rough set (Ziarko, 1993).

Accuracy of approximation and rough membership function notions explained above are instrumental in evaluating the strength of rules and closeness of concepts as well as being applicable in determining plausible reasoning schemes (Komorowski et.al., 1999).

### 3.6. Relative Reduct and Core

Let  $P$  and  $Q$  be two equivalence relations on universe  $U$ . The “ $P$  positive region of  $Q$ ” denoted by  $POS_p(Q)$  is a set of objects of  $U$ . From the definition of the positive region,

$M \in P$  is said to be “ $Q$ -dispensable in  $P$ ”, if and only if

$$POS_{IND(P)}(IND(Q)) = POS_{IND(P-\{M\})}(IND(Q))$$

Otherwise,  $M$  is “ $Q$ -indispensable in  $P$ ”. If every  $M$  in  $P$  is “ $Q$ -indispensable”, then  $P$  is “ $Q$ -independent”. As a result, the “ $Q$ -reduct of  $P$ ” denoted by  $S$ , is the “ $Q$ -independent” subfamily of  $P$  and  $POS_s(Q) = POS_p(Q)$  (Lihong et.al., 2006).

Finding a minimal reduct is NP-Hard (Skowron and Rauszer, 1992). One can also show that the number of reducts of an information system with  $n$  attributes may be equal to (Komorowski, et.al., 1998)

$$\binom{n}{\lfloor n/2 \rfloor}$$

The intersection of reduction sets is called core attribute set and can be denoted as below.

$$CORE_Q(P) = \bigcap RED_Q(P).$$

Core attribute set can also be obtained from discernibility matrix.

### 3.7. Rule Induction from Complete Decision Table

A decision table is an information system  $T = (U, A \cup \{d\})$  such that each  $a \in A$  is a condition attribute and  $d \notin A$  is a decision attribute. Let  $V_d$  be the value set  $\{d_1, d_2, \dots, d_u\}$  of the decision attribute  $d$ . For each value  $d_i \in V_d$ , we obtain a decision class

$U_i = \{x \in U \mid d(x) = d_i\}$  where  $U = U_1 \cup U_2 \cup \dots \cup U_{|V_d|}$  (i.e.,  $u = |V_d|$ ) and for every  $x, y \in U_i$ ,  $d(x) = d(y)$ . The  $B$ -positive region of  $d$  is defined by  $POS_B(d) = \underline{B}(U_1) \cup \underline{B}(U_2) \cup \dots \cup \underline{B}(U_{|V_d|})$ .

A subset  $B$  of  $A$  is a relative reduct of  $T$  if  $POS_B(d) = POS_A(d)$  and there is no subset  $B'$  of  $B$  with  $POS_{B'}(d) = POS_A(d)$ .

We define a formula  $(a_1 = v_1) \wedge (a_2 = v_2) \wedge \dots \wedge (a_n = v_n)$  in  $T$  (denoting the condition of a rule) where  $a_j \in A$  and  $v_j \in V_{a_j}$  ( $1 \leq j \leq n$ ). The semantics of the formula in  $T$  is defined by

$$\llbracket (a_1 = v_1) \wedge (a_2 = v_2) \wedge \dots \wedge (a_n = v_n) \rrbracket_T = \{x \in U \mid a_1(x) = v_1, a_2(x) = v_2, \dots, a_n(x) = v_n\}$$

Let  $\varphi$  be a formula  $(a_1 = v_1) \wedge (a_2 = v_2) \wedge \dots \wedge (a_n = v_n)$  in  $T$ .

A decision rule for  $T$  is of the form  $\varphi \rightarrow (d = d_i)$ , and it is true if  $\llbracket \varphi \rrbracket_T \subseteq \llbracket d = d_i \rrbracket_T (= U_i)$ .

The accuracy and coverage of a decision rule  $r$  of the form  $\varphi \rightarrow (d = d_i)$  are respectively defined as follows.

$$\text{accuracy}(T, r, U_i) = \frac{|U_i \cap \llbracket \varphi \rrbracket_T|}{|\llbracket \varphi \rrbracket_T|} \quad (29)$$

$$\text{coverage}(T, r, U_i) = \frac{|U_i \cap \llbracket \varphi \rrbracket_T|}{|U_i|} \quad (30)$$

In the evaluations  $|U_i|$  is the number of objects in a decision class  $U_i$  and  $|\llbracket \varphi \rrbracket_T|$  is the number of objects in the universe  $U = U_1 \cup U_2 \cup \dots \cup U_{|V_d|}$  that satisfy condition  $\varphi$  of rule  $r$ . Therefore,  $|U_i \cap \llbracket \varphi \rrbracket_T|$  is the number of objects satisfying the condition  $\varphi$  restricted to a decision class  $U_i$  (Kaneiwa, 2010).

In this study, different kinds of rules are generated based on the characteristics from the decision table using ROSE2 (Rough Set Data Explorer) software.

ROSE2 is a modular software system implementing basic elements of the rough set theory and rule discovery techniques. It has been created at the laboratory of Intelligent Decision Support Systems of the Institute of Computing Science in Poznan.

ROSE2 software system contains several tools for rough set based knowledge discovery. These tools can be listed as below (<http://idss.cs.put.poznan.pl/site/rose.html>):

- data preprocessing, including discretization of numerical attributes,
- performing a standard and an extended rough set based analysis of data,
- search of a core and reducts of attributes permitting data reduction,
- inducing sets of decision rules from rough approximations of decision classes,
- evaluating sets of rules in classification experiments,
- using sets of decision rules as classifiers.

All computations are based on rough set fundamentals introduced by Pawlak. (Pawlak, 1982) To obtain the decision rules from the decision table, the algorithms LEM2 (Grzymala-Busse, 1992 and Stefanowski, 1998a), Explore (Mienko et.al., 1996) and MODLEM (Stefanowski, 1998b) are utilized. LEM2, Explore and MODLEM algorithms for rule induction which are

used in this study will be defined briefly as follows. These algorithms are strong for both complete and incomplete decision tables induction.

**LEM2 Algorithm:** LERS (Grzymala-Busse, 1992) (LEarning from examples using Rough Set) is a rule induction algorithm that uses rough set theory to handle inconsistent data set, LERS computes the lower approximation and the upper approximation for each decision concept. LEM2 algorithm of LERS induces a set of certain rules from the lower approximation, and a set of possible rules from the upper approximation. The procedure for inducing the rules is the same in both cases (Grzymala-Busse, and Stefanowski, 2001). This algorithm follows a classical greedy scheme which produces a local covering of each decision concept, i.e., it covers all examples from the given approximation using a minimal set of rules (Stefanowski and Vanderpooten, 2001).

**MODLEM Algorithm:** Preliminary discretization of numerical attributes is not required by MODLEM. The algorithm MODLEM handles these attributes during rule induction, when elementary conditions of a rule are created. MODLEM algorithm has two version called MODLEM-Entropy and MODLEM –Laplace. A similar idea of processing numerical data is also considered in other learning systems, i.e., C4.5 (Quinlan, 1993) performs discretization and tree induction at the same time. In general, MODLEM algorithm is analogous to LEM2. MODLEM also uses rough set theory to handle inconsistent examples and computes a single local covering for each approximation of the concept. (Grzymala-Busse, and Stefanowski, 2001) The search space for MODLEM is bigger than the search space for original LEM2, which generates rules from already discretized attributes. Consequently, rule sets induced by MODLEM are much simpler and stronger.

**Explore Algorithm:** Explore is a procedure that extracts from data all decision rules that satisfy requirements, regarding i.e., strength, level of discrimination, length of rules, as well as conditions on the syntax of rules. It may also be adapted to handle inconsistent examples either by using rough set approach or by tuning a proper value of the discrimination level. Induction of rules is performed by exploring the rule space imposing restrictions reflecting these requirements. Exploration of the rule space is performed using a procedure which is repeated for each concept to be described. Each concept may represent a class of examples or one of its rough approximations in case of inconsistent examples. The main part of the algorithm is based on a breadth-first exploration which amounts to generating rules of increasing size, starting from one-condition rules. Exploration of a specific branch is stopped as soon as a rule satisfying the requirements is obtained or a stopping condition, reflecting the impossibility to fulfill the requirements, is met (Stefanowski and Vanderpooten, 2001).

#### 4. An Application

Let us assume that we have the following  $T$  complete decision table in Table 1. In this table,  $U$  represents the universe,  $A$  represents the attributes,  $d$  represents the decision classes, and  $V$  represents the values that each attribute has.

$$U = \{x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8, x_9, x_{10}, x_{11}, x_{12}\}$$

$$A = \{a_1, a_2, a_3, a_4\}, d = \{1,2,3,4\}, V_1 = \{1,2,3,4\}, V_2 = \{1,2,3\}, V_3 = \{1,2,3\}, V_4 = \{1,2,3,4\}$$

$U$	$a_1$	$a_2$	$a_3$	$a_4$	$d$
$x_1$	1	1	2	3	1
$x_2$	1	2	1	3	1

$x_3$	1	1	2	3	1
$x_4$	2	3	1	2	2
$x_5$	2	3	3	1	2
$x_6$	1	3	3	1	2
$x_7$	1	1	2	3	2
$x_8$	2	2	1	3	2
$x_9$	3	1	2	2	2
$x_{10}$	3	1	1	2	3
$x_{11}$	4	3	3	4	4
$x_{12}$	4	3	3	4	4

Table 1. A Complete Decision Table  $T$ 

Core attributes are computed as  $a1$  and  $a3$ . The quality of classification in complete decision table  $T$  which is shown in table 1 has been obtained 75 %. Also, the accuracy values obtained by lower and upper approximations belonging to this classification according to this table are shown in table 2.

Class	Number of Objects	Lower Approximations	Upper Approximations	Accuracy
1	3	1	4	25%
2	6	5	8	62.5%
3	1	1	1	100%
4	2	2	2	100%

Table 2. Values Belonging to Complete Decision Table  $T$ 

Exact and approximate rules generated using algorithms LEM2, Explore and MODLEM (MODLEM-Entropy and MODLEM-Laplace) from the decision tables are shown below with IF-THEN.

- |  |
|--|
| <p>rule 1. IF (<math>a1 = 1</math>) AND (<math>a3 = 1</math>) THEN (<math>d = 1</math>)</p> <p>rule 2. IF (<math>a1 = 2</math>) THEN (<math>d = 2</math>)</p> <p>rule 3. IF (<math>a3 = 2</math>) AND (<math>a4 = 2</math>) THEN (<math>d = 2</math>)</p> <p>rule 4. IF (<math>a4 = 1</math>) THEN (<math>d = 2</math>)</p> <p>rule 5. IF (<math>a1 = 3</math>) AND (<math>a3 = 1</math>) THEN (<math>d = 3</math>)</p> <p>rule 6. IF (<math>a1 = 4</math>) THEN (<math>d = 4</math>)</p> <p>rule 7. IF (<math>a1 = 1</math>) AND (<math>a3 = 2</math>) THEN (<math>d = 1</math>) OR (<math>d = 2</math>)</p> <p>rule 8. IF (<math>a1 = 1</math>) AND (<math>a2 = 2</math>) THEN (<math>d = 1</math>)</p> <p>rule 9. IF (<math>a1 = 1</math>) AND (<math>a3 = 1</math>) THEN (<math>d = 1</math>)</p> <p>rule 10. IF (<math>a1 = 2</math>) THEN (<math>d = 2</math>)</p> <p>rule 11. IF (<math>a4 = 1</math>) THEN (<math>d = 2</math>)</p> <p>rule 12. IF (<math>a1 = 3</math>) AND (<math>a3 = 1</math>) THEN (<math>d = 3</math>)</p> <p>rule 13. IF (<math>a2 = 1</math>) AND (<math>a3 = 1</math>) THEN (<math>d = 3</math>)</p> <p>rule 14. IF (<math>a1 = 4</math>) THEN (<math>d = 4</math>)</p> <p>rule 15. IF (<math>a4 = 4</math>) THEN (<math>d = 4</math>)</p> <p>rule 16. IF (<math>a1 &lt; 1.5</math>) AND (<math>a3 &lt; 1.5</math>) THEN (<math>d = 1</math>)</p> |
|--|

rule 17. IF ( $a1 < 2.5$ ) AND ( $a4 < 2.5$ ) THEN ( $d = 2$ )  
 rule 18. IF ( $a1$  in  $[1.5, 3.5)$ ) AND ( $a3 \geq 1.5$ ) THEN ( $d = 2$ )  
 rule 19. IF ( $a1$  in  $[1.5, 2.5)$ ) THEN ( $d = 2$ )  
 rule 20. IF ( $a1 \geq 2.5$ ) AND ( $a3 < 1.5$ ) THEN ( $d = 3$ )  
 rule 21. IF ( $a1 \geq 3.5$ ) THEN ( $d = 4$ )  
 rule 22. IF ( $a1 < 1.5$ ) AND ( $a2 < 1.5$ ) THEN ( $d = 1$ ) OR ( $d = 2$ )  
 rule 23. IF ( $a1 < 1.5$ ) AND ( $a3 < 1.5$ ) THEN ( $d = 1$ )  
 rule 24. IF ( $a1$  in  $[1.5, 2.5)$ ) THEN ( $d = 2$ )  
 rule 25. IF ( $a3 \geq 1.5$ ) AND ( $a4 < 2.5$ ) THEN ( $d = 2$ )  
 rule 26. IF ( $a1 \geq 2.5$ ) AND ( $a3 < 1.5$ ) THEN ( $d = 3$ )  
 rule 27. IF ( $a1 \geq 3.5$ ) THEN ( $d = 4$ )  
 rule 28. IF ( $a1 < 1.5$ ) AND ( $a2 < 1.5$ ) THEN ( $d = 1$ ) OR ( $d = 2$ )

Among these rules; Rule 1-Rule 7 are produced by LEM2, Rule 8-Rule 15 are produced by Explore algorithms, Rule 16-Rule 22 are produced by MODLEM-Entropy and finally Rule 23- Rule 28 are produced by MODLEM-Laplace algorithms.

## 5. Conclusion

In parallel with the rapid developments in both computer hardware and software industries, the increase in the storage capacities of huge databases, the data mining and the usage of the useful patterns that are residing in the databases, became a very important research area. To discover the rules or interesting and useful patterns among these stored data, the data mining methods are used. Rules are one of the widely used techniques to present the obtained information. A rule defines the relation between the properties and gives a comprehensible interpretation. If the data is incomplete or inaccurate, the results extracted from the database during the data mining phase would be inconsistent and meaningless. Rough set theory is a new mathematical approach used in the intelligent data analysis and data mining if data is uncertain or incomplete. In this study, the mathematical principles of the rough set theory are discussed and an application about rule discovery using rough set theory from a decision table is presented. LEM2, Explore and MODLEM algorithms in the software ROSE2 are used to discover these rules. MODLEM algorithm has two version called MODLEM-Entropy and MODLEM –Laplace. In the given application, there are twelve elements in the universe. Considering that much more data exist in the real life problems, it can be seen that how important this method is to discover the interesting patterns. Also, these algorithms have different approaches to the decision rules that are produced from decision tables and have strong characteristics comparing to each other.

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