# Optimum Design of Parallelogram Five-bar Manipulator for Dexterous Workspace by using ELEMAEF in Differential Evolution 

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#### Abstract

The kinematic design of mechanism is an important stage in the design methodology. A dexterous workspace for a manipulator is an outstanding characteristic that must be considered in it. Hence, a mono-objective constraint optimization problem (MOCOP) for the kinematic design of a manipulator with three revolute joints (3R robot), that fulfils a defined dexterous workspace, is formulated. The MOCOP is solved by proposing a mechanism in the differential evolution (DE) algorithm called exhaustive local exploitation mechanism with adaptive scale factor (ELEMAEF). This mechanism exhaustively exploits a local region in the search space with the information of the base and the difference vectors of good trial vector, in an attempt to generate better individuals in the same direction. In addition, the ELEMAEF guides the evolution of the population toward a better zone without sacrificing the search capabilities of the DE algorithm. A comparison of the DE algorithm with and without the ELEMAEF for this particular design problem is presented. The use of the ELEMAEF gives a superior performance in the DE algorithm.


Keywords: Evolutionary algorithm, differential evolution, dexterous manipulator, kinematic design, parallelogram manipulator

## 1 Introduction

Analysis and synthesis of mechanisms [1] are the most important stages in the design methodology of parallel manipulators. The dimensional synthesis and workspace are two main characteristics which define a mechanism. They are the most studied issues in the field [2] [3]. The dimensional synthesis of a mechanism can be developed with graphical, analytical and numerical methods [4], [5]. On the other hand, the current methods for determining the manipulator's workspace and its boundary are classified as [6]: geometrical methods [7] [8], discretization methods [9] and numerical methods [10], [11]. Several robotic manipulators have a mechanism (closed kinematic chain) in their structure in order to improve the design performance. Those manipulators are called parallel one and present some advantages over serial manipulators, such as rigidity, dexterity, precision, velocity and acceleration [12]. One important characteristic that must be considered in the design of manipulators is the dexterous workspace. The dexterous workspace represents the region that can be reached by a
point on the end-effector with any orientation, i.e., it is the volume or area which its end-effector can reach in the Cartesian space with different orientation [13], [14].

The design of a manipulator that meets one or several performance criteria such as trajectory accuracy, workspace, stiffness, singularity, dexterity, accuracy, etc., is a challenge because most of the performance criteria presents tradeoff among them and there is not just one solution that meets the aforementioned requirements. Hence, the manipulator design have been stated as an optimization problem where optimization techniques such as, heuristic algorithms [15], [16], [17], [18], [19],[20] and gradient based algorithms [21], have been used. Nevertheless, if the optimization problem is nonlinear or discontinuous one, gradient based algorithms are not suitable to solve the problem because they converge to local minima near the initial condition (sensitive to initial condition) [22], [23], then the design solution will perform poorly. So, it is important to have an algorithm that efficiently search in the design space to obtain a feasible solution, i.e., to obtain a set of parameters that describe the system and meet the design requirements.

[^0]Heuristic optimization techniques such as evolutionary algorithms (EAs), genetic algorithms (GAs) or particle swarm optimization (PSO) have been developed to solve complex engineering optimization problems. Some advantages of these approaches are: $i$ ) These are population-based methods, therefore they can produce several possible solutions. ii) They do not require additional information to start the search, i.e. the information of the gradient, the Hessian matrix, the initial search points, etc. iii) They do not require the objective functions and constraints to be continuous and/or differentiable. Finally, iv) They can be used and/or adapted to a large set of problems, because they do not need special mathematical formulation (problem transformation) in order to obtain a set of solutions.

Since Storn and Price proposed the algorithm of Differential Evolution (DE) in the middle of 90's [24], it has proven to be a powerful computational tool to solve optimization problems. The advantages of the DE is the easy computational implementation, the great adaptability to different kinds of optimization problems and the reasonable computing time, among others. However, this algorithm does not guarantee the convergence to the global optimum.

Studies [25], [26] have established that the mutation factor and the crossover probability influence in the DE performance. The mutation factor controls the rate at which the population evolves and the crossover probability controls the probability for a component to be selected from the mutant vector. Hence, several research works improve the mutation operator since it plays a key role in the DE performance. Efforts to improve the mutation operator were addressed considering the tradeoff between convergence speed and robustness [27]. Adaptive parameter control schemes [28] were introduced in the mutation strategy. Other researches combine different mutation strategies [22], [29]. Finally, some other researchers deals with the implementation of mechanisms and its effects to ensure better exploration and exploitation capabilities in the solution space such that the convergence to the global optimum may be found [30],[31], [32].

An efficient exploration mechanism in the search space and an effective exploitation mechanism in a region of the search space, would be desirable into the optimization algorithm. The exploration can widely search different regions in it, while the exploitation accelerates the convergence to the optimum solution in the region. Several researchers have explained the relationship between the exploration and exploitation [32], [33].

In this paper, an optimization problem for the kinematic design of a three revolute joint manipulator with a parallelogram five-bar mechanism that fulfils a defined dexterous workspace is stated. A mechanism to promote the local exploitation of the individuals that present an appropriate fitness is proposed and included in the DE/Rand/1/Bin algorithm. This mechanism uses the
vectors that form the mutant vector and an adaptive scale factor, in an attempt to guide the evolution of the DE algorithm to better zones. Hence, this mechanism is called Exhaustive Local Exploitation Mechanism with Adaptive Scale Factor (ELEMAEF). The DE algorithm with ELEMAEF is compared with the traditional DE/Rand/1/Bin algorithm (latter named it, DE algorithm without ELEMAEF) in order to show its performance in a particular optimization problem.

The main motivations of this work are: 1) the formal formulation, as an optimization problem, of the optimum design of the link lengths of a 3 R manipulator which fulfills a defined dexterous workspace and 2) the proposal of the exhaustive local exploitation mechanism with adaptive scale factor in the $\mathrm{DE} / \mathrm{Rand} / 1 / \mathrm{Bin}$ which improves the DE performance for this particular problem.

The rest of the paper is organized as follows: The kinematic design of a 3R manipulator with a parallelogram five-bar mechanism is stated in section II. In section III the ELEMAEF in the differential evolution is explained. The experiments are detailed and discussed in section $I V$. Finally, the conclusions are commented in section $V$.

## 2 Design problem statement

The $3 R$ manipulator with a parallelogram five-bar mechanism presents three degree of freedom in the joint space which provide the ability to move the tip of the end-effector (point ( $\bar{x}_{i, j, k}, \bar{z}_{i, j, k}$ of the link 4 which is represented by an asterisk in Fig. 1) in the plane $X-Z$ with an orientation $\bar{\phi}_{i, j, k}$ with respect to the $X$ axis of the inertial coordinate system $X-Z$. The parallelogram five-bar mechanism, included into the $3 R$ robot, achieves a higher precision and a higher stiffness than a $3 R$ robot without the parallelogram five-bar mechanism [12].The $3 R$ manipulator is shown in Fig. 1, where $l_{i} \forall i=1,2, \ldots, 4$ is the $i-t h$ link length, $\left(\bar{x}_{i, j, k}, \bar{z}_{i, j, k}\right)$ and $\bar{\phi}_{i, j, k}$ are the Cartesian coordinate of the manipulator's end-effector and the angular position of the manipulator's end-effector, respectively. The desired dexterous workspace [13] is represented by an area with vertices $\left(\bar{x}_{d_{i, j, k}}, \bar{z}_{d_{i, j, k}}\right) \quad \forall$ $i, j=1,2$, where $k=1,2,3$ is the $k-t h$ orientation that must fulfil the end-effector for each vertex. The $k$-th desired orientation for each vertex is represented by the angle $\bar{\phi}_{d_{i, j, k}}$ as it is shown in Fig. 1.

The reachable workspace of a manipulator is the volume that its end-effector can reach in the Cartesian space. The dexterous workspace can be defined as the volume or area which its end-effector can reach in the Cartesian space with different orientation [13], [14]. Several robotic tasks require the manipulation of objects with different orientation (due to obstacles in the workspace or for positioning the end-effector tool). In this problem statement it is considered that the designer (user) requires a $3 R$ robot with a parallelogram five-bar


Fig. 1: Schematic diagram of the $3 R$ manipulator with parallelogram five-bar mechanism.
mechanism to handle an object in a specific squared workspace (desired squared workspace) with different orientations, i.e. a robot with dexterous workspace is requested. The desired squared workspace is given by its vertexes. Then, the design problem is to find the link lengths of the $3 R$ robot such that they fulfils the desired dexterous workspace subject to inherent design constraints.

In order to formulate the design problem, the following assumptions are considered:
a)The desired workspace is squared shape with four vertices $\left(\bar{x}_{d_{i, j, k}}, \bar{z}_{d_{i, j, k}}\right) \forall i, j=1,2$.
b)If the tip of the end-effector of the link 4 reaches the four vertices of the desired squared workspace with three different orientations (angle $\bar{\phi}_{i, j, k} \forall k=1,2,3$ of the link 4), then the end-effector of the robot can reach any interior points in the desired squared workspace with at least the proposed three different orientations. Hence, a dexterous workspace is promoted.
In the next subsections, the design variables, objective function, constraints and the formal optimization problem statement for the design of the $3 R$ robot with a desired dexterous workspace are detailed.

### 2.1 Design variables

In this paper the design variables of the $3 R$ robot are the link lengths $\left(l_{1}, l_{2}, l_{3}\right)$ and the angular position of the links $\left(q_{1_{i, j, k}}, q_{2_{i, j, k}}, q_{3_{i, j, k}}\right)$ to reach the vertices of the desired workspace $\left(\left(\bar{x}_{d_{i, j, k}}, \bar{z}_{d_{i, j, k}}\right)\right.$ ) with $k$ different orientations $\bar{\phi}_{d_{i, j, k}}$. Hence, the design variable vector is shown in (1) $\forall$ $i, j=1,2$ and $k=1,2,3$.

$$
\begin{equation*}
p=\left[l_{1}, l_{3}, l_{4}, q_{1_{i, j, k}}, q_{2_{i, j, k}}, q_{3_{i, j, k}}\right]^{T} \in R^{39} \tag{1}
\end{equation*}
$$

### 2.2 Objective function

The purpose for establishing an optimization problem in this paper is to design the robot with a desired dexterous workspace. It is clear that the objective function is established as the sum of the square of the Cartesian position error between the desired workspace vertex and the tip of the end-effector, plus the square of the angular position error between the desired orientation and the end-effector orientation. Hence, the objective function can be described in (2). The vertex positions of the desired dexterous workspace are chosen as $\left(\bar{x}_{d_{1,1, k}}, \bar{z}_{d_{1,1, k}}\right) \quad=\quad(0.25 m, 0.10 m)$, $\left(\bar{x}_{d_{1,2, k}}, \overline{\bar{d}}_{d_{1,2, k}}\right) \quad=\quad(0.65 m, 0.10 m)$, $\left(\bar{x}_{d_{2,1, k}, k}, \overline{\bar{z}}_{d_{2,1, k}}\right) \quad=\quad(0.25 m, 0.40 m) \quad$ and $\left(\bar{x}_{d_{2,2, k},}, \bar{z}_{d_{2,2, k}}\right)=(0.65 m, 0.40 m)$. The subscript $k$ indicate three different orientations in each vertex position. They are selected as $\bar{\phi}_{d_{i, j, 1}}=-\frac{\pi}{2} \mathrm{rad}, \bar{\phi}_{d_{i, j, 2}}=0 \mathrm{rad}$ and $\bar{\phi}_{d_{i, j, 3}}=\frac{\pi}{2} \operatorname{rad} \forall i, j=1,2$.

$$
\begin{align*}
J & =\int_{w}\left(\bar{x}_{d_{i, j, k}}-\bar{x}_{i, j, k}\right)^{2} d w+\int_{w}\left(\bar{z}_{d_{i, j, k}}-\bar{z}_{i, j, k}\right)^{2} d w \\
& +\frac{18}{\pi} \int_{w}\left(\bar{\phi}_{d_{i, j, k}}-\bar{\phi}_{i, j, k}\right)^{2} d w \tag{2}
\end{align*}
$$

In equation (2), the first two terms (Cartesian error) are equally weighted because they have the same units (meters). But, the last term a weight value of $\frac{18}{\pi}$ is selected by assuming that one degree is proporcional to one millimeter $(0.001 \mathrm{~m})$. This weight value efficiently weights the last term with the other two in order to provide good solutions.

The direct kinematic (3)-(5) of the manipulator is used to define the Cartesian position of the end-effector in (2).
$\bar{x}_{i, j, k}=l_{1} \cos q_{1_{i, j, k}}-l_{4} \cos \left(q_{2_{i, j, k}}\right)-l_{5} \cos \left(q_{2_{i, j, k}}+q_{3_{i, j, k}}\right)$
$\bar{z}_{i, j, k}=l_{1} \sin q_{1_{i, j, k}}-l_{4} \sin \left(q_{2_{i, j, k}}\right)-l_{5} \sin \left(q_{2_{i, j, k}}+q_{3_{i, j, k}}\right)$
$\bar{\phi}_{i, j, k}=q_{2_{i, j, k}}+q_{3_{i, j, k}}-\pi$

### 2.3 Constraints

The parallel structure of the manipulator formed by the rectangle at links $l_{1}$ and $l_{2}$, presents mobility constraints between them. Those constraints must be considered in the manipulator design and they are presented when the links 1 and 2 collide each other. The mobility constraints are stated in (6)-(7), which involves a total of 24 inequality constraints.

$$
\begin{align*}
& g_{1-12}: \operatorname{Tol}_{M a x 2}-q_{2_{i, j, k}}+q_{1_{i, j, k}} \leq 0  \tag{6}\\
& g_{13-24}: q_{2_{i, j, k}}-q_{1_{i, j, k}}-\pi+\operatorname{Tol}_{\operatorname{Max} 2} \leq 0 \tag{7}
\end{align*}
$$

In addition, other 39 constraints must be included to bound the design variable vector $p$, i.e. limits in the link angle and in the link lengths. In (8)-(13), those constraints are shown, where $T o l_{\text {Max } 1}=\frac{\pi}{36} \mathrm{rad}$ and $\operatorname{Tol}_{\text {Max } 2}=\frac{5 \pi}{36} \mathrm{rad}$ are the minimum security angle that should have the links to prevent the impact.

$$
\begin{align*}
& g_{25-36}: 0 \leq q_{1_{i, j, k}} \leq \pi-\text { Tol }_{\text {Max } 2}  \tag{8}\\
& g_{37-48}: \text { Tol }_{\text {Max } 2} \leq q_{2_{i, j, k}} \leq \frac{3}{2} \pi-\text { Tol }_{\text {Max } 2}  \tag{9}\\
& g_{49-60}:-\pi+\text { Tol }_{\text {Max } 1} \leq q_{3_{i, j, k}} \leq \pi-\text { Tol }_{\text {Max } 1}  \tag{10}\\
& g_{61}: l_{1_{\text {Min }}} \leq l_{1} \leq l_{1_{\text {Max }}}  \tag{11}\\
& g_{62}: l_{3_{\text {Min }}} \leq l_{3} \leq l_{3_{\text {Max }}}  \tag{12}\\
& g_{63}: l_{4_{\text {Min }}} \leq l_{4} \leq l_{4_{\text {Max }}} \tag{13}
\end{align*}
$$

### 2.4 Optimization problem statement

The optimization problem for the $3 R$ manipulator consists on finding the optimal design parameter vector $p * \in R^{39}$ (link lengths and angular positions) such that its end-effector (point $(\bar{x}, \bar{y})$ of the link 4) can reach a desired workspace with different orientations of the link 4 (dexterous workspace). Hence the optimization problem statement can be generally expressed as in (14)-(15), where $J \in R(2)$ is the objective function to be minimized and the equation $g \in R^{63}(15)$ is the inequality constraint vector (6)-(13) .

$$
\begin{equation*}
\operatorname{Min} J \tag{14}
\end{equation*}
$$

Subject to:

$$
\begin{equation*}
g(p) \leq 0 \in R^{63} \tag{15}
\end{equation*}
$$

## 3 Optimization algorithms

The optimization problem (14)-(15) is solved by using eight variants of the differential evolution (DE) algorithm [34], [35] and by using the proposed exhaustive local exploitation mechanism in the eight variants of the differential evolution (DE) algorithm.

### 3.1 DE algorithm

The differential evolution (DE) algorithm consists of $N P$ individuals $\quad x_{i, G}=\left[x_{1, i, G}, x_{2, i, G}, \ldots, x_{j, i, G}, \ldots, x_{D, i, G},\right]^{T}$ $\forall i=1,2, . ., N P, \quad G=1,2, \ldots, G e n M a x$ called target
population. Each individual $x_{i, G}$ contains $D$ design variables which are limited by their bounds $\left[x_{j}^{\min }, x_{j}^{\max }\right] \forall$ $j=1,2, \ldots, D$. The initial population $x_{j, i, G=0} \quad \forall$ $j=1, \ldots, D, i=1, . ., N P$ is randomly selected considering their limits as follows: $x_{j, i, G=0}=x_{j}^{\min }+\operatorname{rand}_{j}(0,1)\left(x_{j}^{\max }-x_{j}^{\min }\right)$, where rand ${ }_{j}$ is a uniformly distributed random number in the interval $[0,1]$.

### 3.1.1 Mutation and crossover

In each generation $G$, the mutation and crossover operator are used in the target population $x_{i, G}$ in order to generate other population called trial population with trial vectors $u_{i, G}=\left[u_{1, i, G}, u_{2, i, G}, \ldots, u_{j, i, G}, \ldots, u_{D, i, G},\right]^{T} \forall i=1,2, . ., N P$ as their individuals. There are several variants of the DE algorithm [34] which allow the exploration and exploitation of the search space for the DE algorithm. The main differences among them are in the mutation and crossover operator. The use of the DE variants depends on the problem at hand as it is stated in [36] and in [22]. In this paper the mutation and crossover operator of the DE/rand/1/bin, DE/rand/1/exp, Best/1/bin, Best/1/exp, Current to rand 1, Current to best 1, Current to rand 1 bin, Rand 2 Dir are used. The mutation and crossover variants are summarized in Fig. 2.

The scale factor $F \in(0,1]$ and $K \in(0,1)$ in the mutation process, are used to control the influence of the selected individuals in order to generate the mutant vector. The indexes $r_{1}, r_{2}$ and $r_{3}$ are randomly chosen from the range $[1, N P]$ and the index best represent the individual with the best objective function.

The uniform crossover generates trial vectors $u_{i, G}$ from the mutant vector $v_{i, G}$ or the target vector $x_{i, G}$ depending on the crossover probability $C R$ (higher values mean less influence of the target vector, hence higher influence of the mutant vector). The crossover stage is not required in current-to-rand/1, current-to-best/1 and rand/2/dir, as it is observed in Fig. 2.

### 3.1.2 Constrained selection mechanism

Given that traditional DE [34] does not handle constrained optimization problem, the technique proposed in [37] is used to provide an elitism constrained selection mechanism (ECSM) in the DE algorithm ( [38],[39],[40]). The ECSM determines between the trial vector $u_{i, G}$ and the target one $x_{i, G}$, which of them pass to the next generation $x_{i, G+1}$ and it depends on their fitness. This decision is based on the following statements:
-Feasible solutions are preferred to any infeasible solution
-Between two feasible solutions, the one having better objective function value is preferred.
-Between two infeasible solutions, the one having smaller constraint violation is preferred.

| Nomenclature | Variant |
| :---: | :---: |
| rand/1/bin | $u_{j}^{i}= \begin{cases}v_{j}^{i}=x_{j}^{r_{3}}+F\left(x_{j}^{r_{1}}-x_{j}^{r_{2}}\right) & \text { if } \operatorname{rand}_{j}(0,1)<C R \text { or } j=j_{\text {rand }} \\ x_{j}^{i} & \text { otherwise }\end{cases}$ |
| rand/1/exp | $u_{j}^{i}= \begin{cases}v_{j}^{i}=x_{j}^{r_{3}}+F\left(x_{j}^{r_{1}}-x_{j}^{r_{2}}\right) & \text { from } \operatorname{rand}_{j}(0,1)<C R \text { or } j=j_{\text {rand }} \\ x_{j}^{i} & \text { otherwise }\end{cases}$ |
| best/1/bin | $u_{j}^{i}= \begin{cases}v_{j}^{i}=x_{j}^{\text {best }}+F\left(x_{j}^{r_{1}}-x_{j}^{r_{2}}\right) & \text { if } \operatorname{rand}_{j}(0,1)<C R \text { or } j=j_{\text {rand }} \\ x_{j}^{i} & \text { otherwise }\end{cases}$ |
| best/1/exp | $u_{j}^{i}= \begin{cases}v_{j}^{i}=x_{j}^{\text {best }}+F\left(x_{j}^{r_{1}}-x_{j}^{r_{2}}\right) & \text { from rand }{ }_{j}(0,1)<C R \text { or } j=j_{\text {rand }} \\ x_{j}^{i} & \text { otherwise }\end{cases}$ |
| current-to-rand/1 | $\mathbf{u}^{i}=v_{j}^{i}=\mathbf{x}^{i}+K\left(\mathbf{x}^{r_{3}}-\mathbf{x}^{i}\right)+F\left(\mathbf{x}^{r_{1}}-\mathbf{x}^{r_{2}}\right)$ |
| current-to-best/1 | $\mathbf{u}^{i}=v_{j}^{i}=\mathbf{x}^{i}+K\left(\mathbf{x}^{\text {best }}-\mathbf{x}^{i}\right)+F\left(\mathbf{x}^{r_{1}}-\mathbf{x}^{r_{2}}\right)$ |
| current-to-rand/1/bin | $u_{j}^{i}= \begin{cases}v_{j}^{i}=x_{j}^{i}+K\left(x_{j}^{r_{3}}-x_{j}^{i}\right)+F\left(x_{j}^{r_{1}}-x_{j}^{r_{2}}\right) & \text { if } \operatorname{rand}_{j}(0,1)<C R \text { or } j=j_{\text {rand }} \\ x_{j}^{i} & \text { otherwise }\end{cases}$ |
| rand/2/dir | $\mathbf{u}^{i}=v_{j}^{i}=\mathbf{w}^{1}+\frac{F}{2}\left(\mathbf{w}^{1}-\mathbf{w}^{2}+\mathbf{w}^{3}-\mathbf{w}^{4}\right)$ where $f\left(\mathbf{w}^{1}\right)<f\left(\mathbf{w}^{2}\right)$ and $f\left(\mathbf{w}^{3}\right)<f\left(\mathbf{w}^{4}\right)$ |

Fig. 2: DE variants.
3.1.3 Exhaustive local exploitation mechanism with adaptive scale factor

The exhaustive local exploitation mechanism with adaptive scale factor (ELEMAEF) consist on deeply searching on a previous direction if it presents better fitness. This mechanism favors the search in the neighborhood of the trial vector promoting efficient individual (local) exploitation. The exploration capabilities of the DE variants are not substantially diminishing because the ELEMAEF only enters when the trial vector presents better fitness (considering the constrained selection operation) than the target vector. When the ELEMAEF is activated, a new individual $\hat{\mathbf{u}}$ is generated by using the same mutation and crossover operation (the same base vector $x_{j, r_{1}, G}$ and the same difference vector $\left(x_{j, r_{2}, G}-x_{j, r_{3}, G}\right)$ ) considering the adaptive scale factor $F_{2}$ proposed in [28]. If the new individual $\hat{\mathbf{u}}$ is better than $\mathbf{u}_{i, G+1}$, the new individual passes to the next generation. This is done repeatedly until the maximum number of searching $N_{w}$ is fulfilled or when the new individual $\hat{\mathbf{u}}$ is worse than previous one $\mathbf{u}_{i, G+1}$. It is important to note that the proposed DE algorithm with the exhaustive local exploitation mechanism requires an additional parameter $F_{2}$ in the mutation process. The pseudocode of the exhaustive exploitation mechanism is observed in Fig. 3. In the next paragraph, the parameter adaptation of $F_{2}$ is introduced.

Parameter adaptation of $F_{2}$ : The mutation factor $F_{2}$ in the exhaustive local exploitation mechanism is self generated according to [28]. This factor uses a Cauchy distribution with a location parameter $\mu_{F_{2}}$, specifying the location of the peak of the distribution and the scale parameter of 0.1 which specifies the half-width at half-maximum as it is observed in (16).

$$
\begin{align*}
y & =\operatorname{randc}\left(\mu_{F_{2}}, 0.1\right)  \tag{16}\\
F_{2} & =\left\lvert\, \begin{array}{cc}
1, & \text { if } y \geq 1 \\
\operatorname{Recompute} y, & \text { if } y \leq 0 \\
y, & \text { else }
\end{array}\right. \tag{17}
\end{align*}
$$

The location parameter $\mu_{F_{2}}$ is initialized as 0.5 and then updated at the end of each generation according to (18), where the parameter $S_{F_{2}}$ denote the set of all successful mutation factors in the ELEMAEF and $c=0.1$ is chosen. The mean $_{L}(\cdot)$ is the Lehmer mean stated in (19).

$$
\begin{gather*}
\mu_{F_{2}}=(1-c) \cdot \mu_{F_{2}}+c \cdot \operatorname{mean}_{L}\left(S_{F_{2}}\right)  \tag{18}\\
\operatorname{mean}_{L}\left(S_{F_{2}}\right)=\frac{\sum S_{F_{2}}^{2}}{\sum S_{F_{2}}} \tag{19}
\end{gather*}
$$

The pseudocode of the DE algorithm with ELEMAEF is shown in Fig. 4.

## 4 Experiments and results

The proposed DE algorithm with ELEMAEF is programmed in Matlab Release 7.9 on a Windows platform. The experiments are performed on a PC with a 1.83 GHz Core 2 Duo with $2 G B$ of RAM. The population size $N P$ consists on 36 individuals, the algorithm stops when the number of generations exceed $G_{\text {Max }}=6500$ generations or when the mean of the objective function of the individuals in the current generation is smaller than $1 e-4$. In order to analyze the results, the proposed DE algorithm with ELEMAEF is compared with the DE algorithm without ELEMAEF, by
using constant scaling factor $F$ and constant crossover $C R$.

Ten independent runs are carried out for each DE variants and for each of the following scale factor $F=0.3,0.6,0.9$ and crossover parameters $C R=0.3,0.6,0.9$. When the DE algorithm with ELEMAEF is used, the maximum number of local search $N_{w}=10$ is chosen for each selected scale factor $F$ and crossover parameter $C R$. Therefore, different "cases" are shown below in Table 1 and in Table 2 for each DE variants. Each case comprising ten independent runs.

In Table 1 and Table 2 the experimental results with different DE variants with and without ELEMAEF are shown, respectively. The meaning of the abbreviations in Tables is explained as follows: $J_{\text {mean }}$ is the mean of the objective function values for the individuals in the last generation considering the ten runs. $\sigma(J)_{\text {mean }}$ is mean from the ten runs, of the standard deviation of the objective function values for the last generation. "Time" is the mean of the convergence time from the ten runs. $M a x G_{\text {mean }}$ is the mean of the maximum number of generation for the ten runs. $\# F$ unJ $J_{\text {mean }}$ is the mean of the times that the objective function is evaluated for the ten runs. \#ImpJ $J_{\text {mean }}$ is the mean of the sum of the times that the ELEMAEF improves the individual in each generation for the ten runs. $J^{*} \%$ is the percentage from the ten runs, which the optimum objective function value is reached in the population. It is considered that the optimum solution is reached when the objective function is less than $1 e-4\left(J^{*}<1 e-4\right)$.

## DE variants without ELEMAEF

Based on the column $\sigma(J)_{\text {mean }}$ and the column $J_{\text {mean }}$ in Table 1, it is observed that the low standard deviation

```
For \(w=1\) to \(N_{w}\) Do
    For \(j=1\) to \(D\) Do
        If \(u_{j, i, G+1}==x_{j, i, G}\) Then
        \(\hat{u}_{j}=x_{j, i, G+1}\)
    Else
        \(F_{2}=\operatorname{randc}_{i}\left(\mu_{F_{2}}, 0.1\right)\)
        \(\hat{u}_{j}=x_{j, r_{1}, G}+F_{2}\left(x_{j, r_{2}, G}-x_{j, r_{3}, G}\right)\)
        End If
    End For
    If \(\hat{\mathbf{u}}\) is better than \(\mathbf{u}_{i, G+1}\) (Based on CSM) Then
        \(\mathbf{u}_{i, G+1}=\hat{\mathbf{u}}\)
        \(F_{2} \rightarrow S_{F_{2}}\)
    Else
        \(\mathbf{u}_{i, G+1}=\mathbf{u}_{i, G+1}\)
    Break
    End If
    End For
```

Fig. 3: Exhaustive exploitation mechanism added to the DE algorithm.

```
BEGIN
    \(G=0 ; \mu_{F_{2}}=0.5\)
    Create a random population \(\mathbf{x}_{i, G} \forall i=1, \ldots, N P\)
    Evaluate \(J\left(\mathbf{x}_{i, G}\right), g\left(\mathbf{x}_{i, G}\right), \forall i=1, \ldots, N P\)
    Do
        \(S_{F_{2}}=\emptyset\)
        For \(i=1\) to \(N P\) Do
        Select randomly \(\left\{r_{1} \neq r_{2} \neq r_{3}\right\} \in \mathbf{x}_{G}\).
        \(j_{\text {rand }}=\operatorname{randint}(1, D)\)
        For \(j=1\) to \(D\) Do
        Mutation and crossover
        End For
        Evaluate \(J\left(\mathbf{u}_{i, G+1}\right), g\left(\mathbf{u}_{i, G+1}\right)\)
        If \(\mathbf{u}_{i, G+1}\) is better than \(\mathbf{x}_{i, G}\) (Based on CSM) Then
        Exhaustive local exploitation mechanism
        \(\mathbf{x}_{i, G+1}=\mathbf{u}_{i, G+1}\)
        Else
        \(\mathbf{x}_{i, G+1}=x_{i, G}\)
        End
        \(\mu_{F_{2}}=(1-c) \cdot \mu_{F_{2}}+c \cdot \operatorname{mean}_{L}\left(S_{F_{2}}\right)\)
        \(G=G+1\)
    While \(\left(G \leq G_{M a x}\right)\)
    END
```

Fig. 4: Pseudocode of the DE algorithm with the exhaustive local exploitation mechanism.
$\left(\sigma(J)_{\text {mean }} \leq 0\right)$ indicates that most of the runs for each case converge to a solution (local solution whether $J_{\text {mean }}$ is large and $\sigma(J)_{\text {mean }} \leq 0$ or global solution whether $J_{\text {mean }}$ is small and $\left.\sigma(J)_{\text {mean }} \leq 0\right)$. The DE/Current to Rand/1, DE/Current to Best/1, DE/Current to Rand/1/Bin and $\mathrm{DE} / \mathrm{Rand} / 2 /$ Dir converge in general to a local solution far away from the optimal one (see column $J_{\text {mean }}$ and $\left.\sigma(J)_{\text {mean }} \leq 0\right)$. High standard deviation indicating that the individuals in the population are spread out over a large range of values. Then, DE/Rand/1/Exp and DE/Best/1/Exp diverge in most of the cases (see column $\sigma(J)_{\text {mean }}>0$ ). In this particular design problem, the use of exponential crossover implies the large exploration of the search space, such that $\mathrm{DE} / \mathrm{Rand} / 1 / \mathrm{Exp}$ and $\mathrm{DE} /$ Best/1/Exp diverge in general.

The boldface rows in Table 1 indicate the best DE variants without ELEMAEF which solves the particular design problem. Those are DE/Best/1/Bin, DE/Rand/1/Bin and DE/Best/1/Exp because they find the optimum objective function at $44 \%, 22 \%$ and $11 \%$ of the cases (see column $J^{*} \%$ ), respectively. This indicates that the use of binomial crossover with the use of random or best individual as the base vector, promotes the search of the optimum solution. As it is previously commented, the use of exponential crossover implies the large exploration of the search space but only for the case $8 D$ when $C R=0.9$ and $F=0.6$, the DE/Best/1/Exp can find the optimum solution at $90 \%$ of the runs.

Table 1: Experimental results for the DE variants without ELEMAEF.

| Algorithm | Case | CR | $F$ | $J_{\text {mean }}$ | $\sigma(J)_{\text {mean }}$ | Time | MaxGmean | \#F unJ $_{\text {mean }}$ | $J^{*} \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Rand 1 Bin | 1 A | 0.3 | 0.3 | 0.361753 | $8.8282 e^{-10}$ | 266.21 | 6500 | 234000 | 0\% |
| Rand 1 Bin | $2 A$ | 0.3 | 0.6 | 0.027353 | 0.900604 | 261.60 | 6500 | 234000 | 0\% |
| Rand 1 Bin | 3 A | 0.3 | 0.9 | 5.007168 | 147.8961 | 261.04 | 6500 | 234000 | 0\% |
| Rand 1 Bin | 4A | 0.6 | 0.3 | 1.052967 | $3.2852 e^{-11}$ | 261.40 | 6500 | 234000 | 0\% |
| Rand 1 Bin | 5A | 0.6 | 0.6 | 0.002540 | $5.8938 \mathrm{e}^{-5}$ | 212.46 | 5794.5 | 208602 | 80\% |
| Rand 1 Bin | 6 A | 0.6 | 0.9 | 17.30592 | 251.8817 | 261.87 | 6500 | 234000 | 0\% |
| Rand 1 Bin | 7 A | 0.9 | 0.3 | 21.85020 | $1.8188 e^{-5}$ | 262.06 | 6500 | 234000 | 0\% |
| Rand 1 Bin | 8A | 0.9 | 0.6 | 0.109544 | $5.6835 \mathrm{e}^{-4}$ | 162.09 | 4719 | 169884 | $\mathbf{5 0 \%}$ |
| Rand 1 Bin | 9A | 0.9 | 0.9 | 0.159810 | 0.062602 | 262.62 | 6500 | 234000 | 0\% |
| Rand 1 Exp | $1 B$ | 0.3 | 0.3 | 472.0137 | 283.3315 | 186.91 | 6500 | 234034 | 0\% |
| Rand 1 Exp | $2 B$ | 0.3 | 0.6 | 538.1129 | 303.1237 | 186.95 | 6500 | 234034 | 0\% |
| Rand 1 Exp | $3 B$ | 0.3 | 0.9 | 541.7425 | 267.4548 | 187.01 | 6500 | 234035 | 0\% |
| Rand 1 Exp | $4 B$ | 0.6 | 0.3 | 438.5806 | 294.4790 | 188.21 | 6500 | 234035 | 0\% |
| Rand 1 Exp | $5 B$ | 0.6 | 0.6 | 527.3437 | 275.8388 | 188.28 | 6500 | 234036 | 0\% |
| Rand 1 Exp | $6 B$ | 0.6 | 0.9 | 569.5916 | 268.5908 | 188.25 | 6500 | 234035 | 0\% |
| Rand 1 Exp | $7 B$ | 0.9 | 0.3 | 54.90616 | 0 | 196.60 | 6500 | 234017 | 0\% |
| Rand 1 Exp | $8 B$ | 0.9 | 0.6 | 0.700875 | 0.137024 | 196.07 | 6500 | 234035 | 0\% |
| Rand 1 Exp | $9 B$ | 0.9 | 0.9 | 544.2769 | 221.4091 | 196.28 | 6500 | 234036 | 0\% |
| Best 1 Bin | 1 C | 0.3 | 0.3 | 689.6785 | 0 | 157.96 | 6500 | 62222 | 0\% |
| Best 1 Bin | 2 C | 0.3 | 0.6 | 15.65933 | 6.895569 | 152.59 | 6042 | 217530 | 20\% |
| Best 1 Bin | 3 C | 0.3 | 0.9 | 9.096234 | 7.431126 | 164.17 | 6500 | 234036 | 0\% |
| Best 1 Bin | 4 C | 0.6 | 0.3 | 2563.211 | 0 | 155.92 | 6500 | 23386 | 0\% |
| Best 1 Bin | 5C | 0.6 | 0.6 | 1.111960 | 0.000127 | 117.95 | 4678 | 168440 | 50\% |
| Best 1 Bin | 6C | 0.6 | 0.9 | 6.671277 | 0.158473 | 158.03 | 6284 | 226274 | 30\% |
| Best 1 Bin | $7 C$ | 0.9 | 0.3 | 6595.621 | 0 | 154.20 | 6500 | 3211 | 0\% |
| Best 1 Bin | $8 C$ | 0.9 | 0.6 | 929.9061 | 0 | 163.17 | 6500 | 234036 | 0\% |
| Best 1 Bin | 9 C | 0.9 | 0.9 | 53.78760 | 0.001746 | 156.89 | 6270 | 225759 | 10\% |
| Best 1 Exp | $1 D$ | 0.3 | 0.3 | 627.9030 | 456.1873 | 188.01 | 6500 | 234012 | 0\% |
| Best 1 Exp | 2 D | 0.3 | 0.6 | 535.1099 | 318.0737 | 188.06 | 6500 | 234010 | 0\% |
| Best 1 Exp | 3 D | 0.3 | 0.9 | 546.6142 | 275.7620 | 188.06 | 6500 | 234011 | 0\% |
| Best 1 Exp | $4 D$ | 0.6 | 0.3 | 575.4174 | 386.8449 | 189.37 | 6500 | 234025 | 0\% |
| Best 1 Exp | $5 D$ | 0.6 | 0.6 | 451.7013 | 331.9883 | 189.36 | 6500 | 234019 | 0\% |
| Best 1 Exp | $6 D$ | 0.6 | 0.9 | 563.4715 | 304.5132 | 189.34 | 6500 | 234021 | 0\% |
| Best 1 Exp | $7 D$ | 0.9 | 0.3 | 987.5407 | 0 | 191.57 | 6500 | 64915 | 0\% |
| Best 1 Exp | 8D | 0.9 | 0.6 | 2.474110 | 0.004745 | 107.96 | 3548 | 127768 | 90\% |
| Best 1 Exp | $9 D$ | 0.9 | 0.9 | 6.560564 | 0.157588 | 197.53 | 6500 | 234025 | 0\% |
| Current to Rand 1 | $1 E$ | 0.3 | 0.3 | 6686.528 | 0 | 137.39 | 6500 | 84511 | 0\% |
| Current to Rand 1 | $2 E$ | 0.3 | 0.6 | 3414.828 | 0 | 142.92 | 6500 | 234036 | 0\% |
| Current to Rand 1 | $3 E$ | 0.3 | 0.9 | 1288.256 | 0.000285 | 142.65 | 6500 | 234036 | 0\% |
| Current to Rand 1 | $4 E$ | 0.6 | 0.3 | 7916.050 | 13.40574 | 135.70 | 6500 | 42207 | 0\% |
| Current to Rand 1 | $5 E$ | 0.6 | 0.6 | 2944.626 | 0 | 142.90 | 6500 | 234036 | 0\% |
| Current to Rand 1 | $6 E$ | 0.6 | 0.9 | 1298.420 | 0.005519 | 142.60 | 6500 | 234036 | 0\% |
| Current to Rand 1 | $7 E$ | 0.9 | 0.3 | 7355.543 | 0 | 137.20 | 6500 | 82625 | 0\% |
| Current to Rand 1 | 8 E | 0.9 | 0.6 | 3093.975 | 8.023190 | 142.87 | 6500 | 234036 | 0\% |
| Current to Rand 1 | $9 E$ | 0.9 | 0.9 | 1124.290 | 0 | 142.67 | 6500 | 234036 | 0\% |
| Current to best 1 | $1 F$ | 0.3 | 0.3 | 6594.853 | 0 | 129.94 | 6500 | 108142 | 0\% |
| Current to best 1 | $2 F$ | 0.3 | 0.6 | 3764.453 | 0 | 134.97 | 6500 | 234036 | 0\% |
| Current to best 1 | $3 F$ | 0.3 | 0.9 | 2573.786 | 0 | 134.70 | 6500 | 234036 | 0\% |
| Current to best 1 | $4 F$ | 0.6 | 0.3 | 6701.564 | 0 | 127.97 | 6500 | 49401 | 0\% |
| Current to best 1 | $5 F$ | 0.6 | 0.6 | 3644.155 | 40.42009 | 135.23 | 6500 | 234036 | 0\% |
| Current to best 1 | $6 F$ | 0.6 | 0.9 | 3359.008 | 0.000003 | 134.86 | 6500 | 234036 | 0\% |
| Current to best 1 | $7 F$ | 0.9 | 0.3 | 6670.760 | 0 | 128.69 | 6500 | 71767 | 0\% |
| Current to best 1 | $8 F$ | 0.9 | 0.6 | 3065.115 | 0 | 135.21 | 6500 | 234036 | 0\% |
| Current to best 1 | $9 F$ | 0.9 | 0.9 | 3191.107 | 0.001585 | 134.91 | 6500 | 234036 | 0\% |
| Current to rand 1 Bin | $1 G$ | 0.3 | 0.3 | 1242.558 | 11.53473 | 163.10 | 6500 | 97103 | 0\% |
| Current to rand 1 Bin | $2 G$ | 0.3 | 0.6 | 0.154404 | 0.079587 | 167.97 | 6500 | 234036 | 0\% |
| Current to rand 1 Bin | $3 G$ | 0.3 | 0.9 | 467.4536 | 97.92991 | 168.02 | 6500 | 234036 | 0\% |
| Current to rand 1 Bin | $4 G$ | 0.6 | 0.3 | 3166.224 | 0 | 164.09 | 6500 | 51426 | 0\% |
| Current to rand 1 Bin | $5 G$ | 0.6 | 0.6 | 0.157648 | 0.000035 | 170.71 | 6500 | 234036 | 0\% |
| Current to rand 1 Bin | $6 G$ | 0.6 | 0.9 | 1203.751 | 177.3152 | 170.97 | 6500 | 234036 | 0\% |
| Current to rand 1 Bin | $7 G$ | 0.9 | 0.3 | 6110.778 | 0 | 167.23 | 6500 | 72893 | 0\% |
| Current to rand 1 Bin | $8 G$ | 0.9 | 0.6 | 20.48629 | 0.014726 | 173.40 | 6500 | 234036 | 0\% |
| Current to rand 1 Bin | $9 G$ | 0.9 | 0.9 | 0.627232 | 0.019767 | 173.17 | 6500 | 234036 | 0\% |
| Rand 2 Dir | 1 H | 0.3 | 0.3 | 6060.665 | 0 | 137.87 | 6500 | 183369 | 0\% |
| Rand 2 Dir | 2 H | 0.3 | 0.6 | 3987.782 | 0 | 139.84 | 6500 | 234036 | 0\% |
| Rand 2 Dir | 3 H | 0.3 | 0.9 | 2086.551 | 0 | 139.51 | 6500 | 234035 | 0\% |
| Rand 2 Dir | 4 H | 0.6 | 0.3 | 6718.683 | 0 | 139.86 | 6500 | 232804 | 0\% |
| Rand 2 Dir | 5 H | 0.6 | 0.6 | 4508.276 | 0 | 139.88 | 6500 | 234036 | 0\% |
| Rand 2 Dir | 6 H | 0.6 | 0.9 | 2201.797 | 0 | 139.70 | 6500 | 234036 | 0\% |
| Rand 2 Dir | 7 H | 0.9 | 0.3 | 7158.456 | 0 | 138.57 | 6500 | 195107 | 0\% |
| Rand 2 Dir | 8H | 0.9 | 0.6 | 3920.266 | 0 | 139.98 | 6500 | 234036 | 0\% |
| Rand 2 Dir | $9 H$ | 0.9 | 0.9 | 2100.025 | 0 | 139.73 | 6500 | 234036 | 0\% |

## DE algorithm with ELEMAEF

Based on the column $\sigma(J)_{\text {mean }}$ and the column $J_{\text {mean }}$ in Table 2, it is observed that the worst DE variants are: DE/Current to Rand/1, DE/Current to Best/1 and $\mathrm{DE} /$ Rand/2/Dir because they converge to a local solution at $44 \%, 55 \%$ and $100 \%$ of the cases, respectively and the rest of the cases diverge (see column $\sigma(J)_{\text {mean }}>0$ ).

In Table 2 the best DE variants are marked in boldface. Those are: DE/Rand/1/Bin, DE/Best/1/Bin, DE/Current to Rand/1/Bin, DE/Rand/1/Exp, DE/Best/1/Exp. They find the optimum solution at $88 \%$, $77 \%, 33 \%, 22 \%, 22 \%$ of the cases, respectively. In addition, in column \#ImpJ mean , it is observed that larger improvement of the individual by using the ELEMAEF, results the convergence to local solutions far away from the optimum one.

The number of times that the individuals are improved by the ELEMAEF for one run with different DE variants is shown in Fig. 5. The results in Fig. 5 find the global optimum in the last generation. The horizontal line in the figures represent the mean of the times that the ELEMAEF improves the individual in the generation. It is observed in the first generations, the algorithm explores the solution space such that the ELEMAEF generates few improved solutions. Then, after the first generations, the ELEMAEF exhaustively search in the vicinity of the individuals with better fitness such that more improved individuals are found. It is important to note, that the ELEMAEF and the selection of the parameter adaptation of $F_{2}$ do not guide the exhaustive search towards local regions.

## Comparative results

In Table 3, comparative results between the DE variants with the use of ELEMAEF and without it are shown. The results are marked in boldface to indicate the $\mathbf{D E}$ variant with the use of ELEMAEF and in italic to indicate $D E$ variant without the use of ELEMAEF. The column "\% Optimum solution" indicates the percentage of the cases for each DE variant where the algorithm converge to the optimum solution. It is observed that the use of ELEMAEF increases the times that the DE variant converge to the optimum solution. This fact indicates that the ELEMAEF promotes the exploitation of the individuals in the population such that better individuals are found. On the other hand, the column "Best C. Time" represents the DE variant that provide less convergence time. It is clear that the incorporation of the ELEMAEF in the DE variants requires more computing time due to more objective function evaluation is required.

It is important to point out that the use of ELEMAEF occasionally improves the individual in each generation (see \#ImpJ $J_{\text {mean }}$ in Table 2), but this is sufficient to improve the DE variant behavior with respect to the DE variant without ELEMAEF. This indicates that a trade off


Fig. 5: Number of times that the individuals are improved by the Exhaustive Local Mechanism.

Table 2: Experimental results for the DE algorithm with ELEMAEF.

| Algorithm | Case | CR | $F$ | $J_{\text {mean }}$ | $\sigma(J)_{\text {mean }}$ | Time | MaxG mean | $\# F$ unJ mean | $J^{*} \%$ | \#ImpJ ${ }_{\text {mean }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Rand 1 Bin | 1 A | 0.3 | 0.3 | 0.071963 | 0.000080 | 161.87 | 4532 | 297048 | 60\% | 16.13 |
| Rand 1 Bin | $2 \overline{\text { A }}$ | 0.3 | 0.6 | 0.012789 | 0.000049 | 137.01 | 4047 | 234573 | 70\% | 15.14 |
| Rand 1 Bin | 3Ā | 0.3 | 0.9 | 7.674573 | 1.876054 | 191.05 | 6500 | 253746 | 10\% | 2.55 |
| Rand 1 Bin | 4 $\overline{\mathbf{A}}$ | 0.6 | 0.3 | 0.033166 | 0.000149 | 243.76 | 6143 | 388596 | 40\% | 13.41 |
| Rand 1 Bin | 5Ā | 0.6 | 0.6 | 0.021057 | 0.000047 | 75.79 | 2030 | 116272 | 90\% | 15.40 |
| Rand 1 Bin | 6 $\bar{A}^{\text {a }}$ | 0.6 | 0.9 | 0.086668 | 0.003166 | 153.12 | 4718 | 212453 | 70\% | 8.13 |
| Rand 1 Bin | $7 \bar{A}$ | 0.9 | 0.3 | 51.95243 | 0.006939 | 308.83 | 6500 | 449920 | 0\% | 19.13 |
| Rand 1 Bin | 8 ${ }_{\text {A }}$ | 0.9 | 0.6 | 0.471681 | 0.000510 | 230.48 | 4809 | 337603 | 50\% | 25.78 |
| Rand 1 Bin | 9 A | 0.9 | 0.9 | 0.006594 | 0.000026 | 165.76 | 4174 | 230469 | 60\% | 16.90 |
| Rand 1 Exp | $1 \bar{B}$ | 0.3 | 0.3 | 538.7221 | 292.1712 | 215.85 | 6500 | 250933 | 0\% | 1.29 |
| Rand 1 Exp | $2 \bar{B}$ | 0.3 | 0.6 | 549.9120 | 282.5619 | 215.63 | 6500 | 249592 | 0\% | 1.15 |
| Rand 1 Exp | $3 \bar{B}$ | 0.3 | 0.9 | 561.9273 | 259.6416 | 215.74 | 6500 | 249834 | 0\% | 1.28 |
| Rand 1 Exp | $4 \bar{B}$ | 0.6 | 0.3 | 535.3635 | 343.9401 | 216.79 | 6500 | 249037 | 0\% | 1.18 |
| Rand 1 Exp | $5 \bar{B}$ | 0.6 | 0.6 | 544.3737 | 287.7287 | 216.85 | 6500 | 247690 | 0\% | 1.08 |
| Rand 1 Exp | $6 \bar{B}$ | 0.6 | 0.9 | 590.3187 | 255.9502 | 216.82 | 6500 | 247460 | 0\% | 1.15 |
| Rand 1 Exp | 7B | 0.9 | 0.3 | 0.018500 | 0.000034 | 188.79 | 4651 | 343525 | 70\% | 26.03 |
| Rand 1 Exp | 8 $\overline{\mathbf{B}}$ | 0.9 | 0.6 | 0.015631 | 0.000023 | 213.38 | 5758 | 304331 | 50\% | 10.92 |
| Rand 1 Exp | $9 \bar{B}$ | 0.9 | 0.9 | 242.0042 | 87.92096 | 224.36 | 6500 | 247735 | 0\% | 1.45 |
| Best 1 Bin | 1 $\overline{\mathrm{C}}$ | 0.3 | 0.3 | 42.94217 | 0.009001 | 253.15 | 6085 | 569326 | 20\% | 41.79 |
| Best 1 Bin | $2 \overline{\mathrm{C}}$ | 0.3 | 0.6 | 0.132729 | 0.203463 | 209.66 | 4999 | 476598 | 30\% | 43.16 |
| Best 1 Bin | 3 $\overline{\mathbf{C}}$ | 0.3 | 0.9 | 0.622174 | 1.413398 | 179.06 | 5438 | 307992 | 50\% | 18.21 |
| Best 1 Bin | $4 \bar{C}$ | 0.6 | 0.3 | 107.5080 | 0.013497 | 409.51 | 6500 | 816277 | 0\% | 71.20 |
| Best 1 Bin | 5 $\overline{\mathrm{C}}$ | 0.6 | 0.6 | 4.175193 | 0.001241 | 333.49 | 6204 | 642686 | 10\% | 42.45 |
| Best 1 Bin | 6 $\overline{\mathrm{C}}$ | 0.6 | 0.9 | 0.018921 | 0.097005 | 178.08 | 4388 | 302293 | 40\% | 19 |
| Best 1 Bin | $7 \bar{C}$ | 0.9 | 0.3 | 1538.257 | 0.387008 | 730.11 | 6500 | 1230698 | 0\% | 140.66 |
| Best 1 Bin | $8 \overline{\mathrm{C}}$ | 0.9 | 0.6 | 84.81393 | 0.003082 | 407.47 | 6435 | 655529 | 10\% | 39.66 |
| Best 1 Bin | $9 \overline{\mathrm{C}}$ | 0.9 | 0.9 | 75.57373 | 0.000008 | 467.14 | 6434 | 777978 | 10\% | 72.60 |
| Best 1 Exp | $1 \bar{D}$ | 0.3 | 0.3 | 744.2973 | 1184.047 | 219.45 | 6500 | 234202 | 0\% | 15.43 |
| Best 1 Exp | $2 \bar{D}$ | 0.3 | 0.6 | 587.5616 | 348.9203 | 218.44 | 6500 | 234537 | 0\% | 12.65 |
| Best 1 Exp | $3 \bar{D}$ | 0.3 | 0.9 | 583.1925 | 285.3257 | 218.00 | 6500 | 235038 | 0\% | 11.06 |
| Best 1 Exp | $4 \bar{D}$ | 0.6 | 0.3 | 547.9760 | 584.6983 | 221.10 | 6500 | 234236 | 0\% | 15.72 |
| Best 1 Exp | $5 \bar{D}$ | 0.6 | 0.6 | 549.1788 | 368.3518 | 219.27 | 6500 | 234587 | 0\% | 10.65 |
| Best 1 Exp | $6 \bar{D}$ | 0.6 | 0.9 | 635.6344 | 302.6703 | 218.61 | 6500 | 235061 | 0\% | 8.74 |
| Best 1 Exp | $7 \bar{D}$ | 0.9 | 0.3 | 865.6287 | 0.000000 | 556.31 | 6500 | 73758 | 0\% | 318.07 |
| Best 1 Exp | 8D | 0.9 | 0.6 | 0.002355 | 0.000049 | 142.78 | 2976 | 107584 | 90\% | 94.73 |
| Best 1 Exp | 9 $\overline{\mathrm{D}}$ | 0.9 | 0.9 | 1.774057 | 0.284646 | 232.16 | 6475 | 233948 | 10\% | 16.75 |
| Current to Rand 1 | $1 \bar{E}$ | 0.3 | 0.3 | 6613.680 | 2018.004 | 504.97 | 6500 | 808565 | 0\% | 78.45 |
| Current to Rand 1 | $2 \bar{E}$ | 0.3 | 0.6 | 4719.736 | 0 | 332.63 | 6500 | 524796 | 0\% | 30.90 |
| Current to Rand 1 | $3 \bar{E}$ | 0.3 | 0.9 | 2648.037 | 0 | 301.53 | 6500 | 479674 | 0\% | 29.52 |
| Current to Rand 1 | $4 \bar{E}$ | 0.6 | 0.3 | 5907.370 | 1781.042 | 504.61 | 6500 | 808436 | 0\% | 78.34 |
| Current to Rand 1 | $5 \bar{E}$ | 0.6 | 0.6 | 4336.297 | 3.845465 | 327.83 | 6500 | 516579 | 0\% | 30.02 |
| Current to Rand 1 | $6 \bar{E}$ | 0.6 | 0.9 | 2842.934 | 0 | 301.59 | 6500 | 479803 | 0\% | 29 |
| Current to Rand 1 | $7 \bar{E}$ | 0.9 | 0.3 | 7404.721 | 998.5876 | 512.49 | 6500 | 822221 | 0\% | 80.31 |
| Current to Rand 1 | $8 \bar{E}$ | 0.9 | 0.6 | 5123.504 | 6.872291 | 328.42 | 6500 | 517954 | 0\% | 30.16 |
| Current to Rand 1 | $9 \bar{E}$ | 0.9 | 0.9 | 2666.130 | 0 | 301.58 | 6500 | 480051 | 0\% | 29.54 |
| Current to best 1 | $1 \bar{F}$ | 0.3 | 0.3 | 9518.423 | 4079.354 | 1261.14 | 6500 | 57945 | 0\% | 316.64 |
| Current to best 1 | $2 \bar{F}$ | 0.3 | 0.6 | 5315.249 | 0 | 1007.94 | 6500 | 1043266 | 0\% | 224.35 |
| Current to best 1 | $3 \bar{F}$ | 0.3 | 0.9 | 4772.813 | 0 | 278.74 | 6500 | 436361 | 0\% | 20.89 |
| Current to best 1 | $4 \bar{F}$ | 0.6 | 0.3 | 9741.819 | 2552.972 | 1286.55 | 6500 | 53482 | 0\% | 324.01 |
| Current to best 1 | $5 \bar{F}$ | 0.6 | 0.6 | 5787.309 | 3.508465 | 1011.69 | 6500 | 1051785 | 0\% | 225.39 |
| Current to best 1 | $6 \bar{F}$ | 0.6 | 0.9 | 4481.553 | 0 | 278.36 | 6500 | 435845 | 0\% | 20.86 |
| Current to best 1 | $7 \bar{F}$ | 0.9 | 0.3 | 9941.413 | 2505.349 | 1295.63 | 6500 | 62168 | 0\% | 325.63 |
| Current to best 1 | $8 \bar{F}$ | 0.9 | 0.6 | 4851.411 | 0 | 1015.62 | 6500 | 1043572 | 0\% | 226.08 |
| Current to best 1 | $9 \bar{F}$ | 0.9 | 0.9 | 4965.898 | 0 | 278.51 | 6500 | 436788 | 0\% | 20.96 |
| Current to rand 1 Bin | $1 \bar{G}$ | 0.3 | 0.3 | 2137.612 | 7321.067 | 473.64 | 6500 | 572493 | 0\% | 196.04 |
| Current to rand 1 Bin | $2 \overline{\mathbf{G}}$ | 0.3 | 0.6 | 0.792429 | 0.222792 | 186.74 | 6254 | 246512 | 10\% | 3.13 |
| Current to rand 1 Bin | $3 \bar{G}$ | 0.3 | 0.9 | 556.7300 | 161.8644 | 189.46 | 6500 | 236271 | 0\% | 0.11 |
| Current to rand 1 Bin | $4 \bar{G}$ | 0.6 | 0.3 | 2755.634 | 6087.996 | 805.56 | 6500 | 737530 | 0\% | 245.59 |
| Current to rand 1 Bin | 5G | 0.6 | 0.6 | 0.069026 | 0.000066 | 163.34 | 4090 | 251626 | 60\% | 14.77 |
| Current to rand 1 Bin | $6 \bar{G}$ | 0.6 | 0.9 | 1101.626 | 164.5283 | 192.38 | 6500 | 235359 | 0\% | 0.03 |
| Current to rand 1 Bin | $7 \bar{G}$ | 0.9 | 0.3 | 5435.739 | 3452.629 | 1231.17 | 6500 | 1067167 | 0\% | 291.07 |
| Current to rand 1 Bin | $8 \overline{\mathbf{G}}$ | 0.9 | 0.6 | 4.293221 | 0.001469 | 360.52 | 6454 | 520077 | 10\% | 34.11 |
| Current to rand 1 Bin | $9 \overline{\mathrm{G}}$ | 0.9 | 0.9 | 0.029537 | 0.000654 | 193.79 | 6377 | 236592 | 50\% | . 037 |
| Rand 2 Dir | $1 \bar{H}$ | 0.3 | 0.3 | 12243.65 | 0 | 1402.93 | 6500 | 196155 | 0\% | 338.31 |
| Rand 2 Dir | $2 \bar{H}$ | 0.3 | 0.6 | 5569.629 | 0 | 1071.48 | 6500 | 234550 | 0\% | 248.05 |
| Rand 2 Dir | $3 \bar{H}$ | 0.3 | 0.9 | 3138.329 | 0.000009 | 653.92 | 6500 | 234699 | 0\% | 134.86 |
| Rand 2 Dir | $4 \bar{H}$ | 0.6 | 0.3 | 12132.54 | 0 | 1357.02 | 6500 | 216758 | 0\% | 325.81 |
| Rand 2 Dir | $5 \bar{H}$ | 0.6 | 0.6 | 6260.418 | 0 | 1073.60 | 6500 | 234488 | 0\% | 248.69 |
| Rand 2 Dir | $6 \bar{H}$ | 0.6 | 0.9 | 3300.208 | 0.000001 | 657.54 | 6500 | 234734 | 0\% | 135.83 |
| Rand 2 Dir | $7 \bar{H}$ | 0.9 | 0.3 | 10512.23 | 0 | 1370.32 | 6500 | 210425 | 0\% | 329.57 |
| Rand 2 Dir | $8 \bar{H}$ | 0.9 | 0.6 | 5508.342 | 0 | 1079.23 | 6500 | 234523 | 0\% | 249.57 |
| Rand 2 Dir | $9 \bar{H}$ | 0.9 | 0.9 | 2718.728 | 0.000194 | 657.87 | 6500 | 234691 | 0\% | 135.56 |

Table 3: Comparative results between the DE algorithm with ELEMAEF and DE algorithm without it.

| DE variant | \% Optimum solution | Dest C. Time |
| :---: | :---: | :---: |
| Rand 1 Bin | $\mathbf{8 8 \%} / 22 \%$ | DE with ELEMAEF |
| Rand 1 Exp | $\mathbf{2 2 \%} / 0 \%$ | DE without ELEMAEF |
| Best 1 Bin | $\mathbf{7 7 \%} / 44 \%$ | DE wihout ELEMAEF |
| Best 1 Exp | $\mathbf{2 2 \%} / 11 \%$ | DE without ELEMAEF |
| Current to Rand | $\mathbf{0 \%} / 0 \%$ | DE without ELEMAEF |
| Current to best 1 | $\mathbf{0 \%} / 0 \%$ | DE wihout ELEMAEF |
| Current to rand 1 Bin | $\mathbf{3 3 \%} / 0 \%$ | DE without ELEMAEF |
| Rand 2 Dir | $\mathbf{0 \%} / 0 \%$ | DE without ELEMAEF |



Fig. 6: Optimum design of the 3R manipulator with dexterous workspace.
between the exploration and exploitation mechanism in the DE algorithm is an outstanding characteristic to be considered in order to find the optimum solution in this particular design problem.

## Design results

The optimal link lengths are provided by using the DE/Rand/1/Bin with ELEMAEF, those are: $l_{1}^{* E L E M A E F}=0.407 \quad l_{3}^{* E L E M A E F}=0.415$ $l_{4}^{* E L E M A E F}=0.0264$. The optimal design meets the objective function and constraints, such that its end-effector can reach the defined workspace with different orientation in the interval $\left[-\frac{p i}{2}, \frac{p i}{2}\right]$. Hence, the manipulator designed by this approach presents a defined dexterous workspace. In Fig. 6 the optimum design $p^{* E L E M A E F}$ of the 3 R manipulator with a parallelogram mechanism is displayed. The optimum design guarantees the end-effector can reach the vertices of the workspace
with at least three different orientations. Hence, the optimum design fulfills the objective design, i.e., it has a desired dexterous workspace.

## 5 Conclusion

In this paper an optimization problem to optimal design the link length of a $3 R$ manipulator with a parallelogram five-bar mechanism is stated. The design involves that the manipulator must fulfil a defined dexterous workspace. An exhaustively local mechanism is incorporated in the differential evolution algorithm to solve the problem. The final optimal design results in a $3 R$ manipulator which its end-effector can be moved in the desired workspace with different orientations, fulfilling the design objective and constraints.

According to the empirical results, the ELEMAEF can search into the design space such that better solutions can be found. The DE with ELEMAEF improves the
convergence to the optimal solution compared with the DE algorithm without ELEMAEF. The DE with ELEMAEF does not require an additional parameter to be tuned. The main drawback of the algorithm is that it requires more functions to be evaluated, such that it requires more computational time.

The strategy of applying a local exploitation mechanism is a very important factor to be included in the metaheuristic algorithm in order to promote the exhaustively search of the optimal solution. Nevertheless, the way that the local exploitation mechanism is working must be carefully analyzed due to the problem of the premature convergence to a local solution.

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