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A General Class of Estimators in the Presence of Non-response and Measurement Error

Kuldeep Kumar Tiwari¹, Sandeep Bhougal^{2,*} and Sunil Kumar³

¹School of Basic and Applied Sciences, Career Point University, Kota 325003, Rajasthan, India ²School of Mathematics, Shri Mata Vaishno Devi University, Katra 182320, Jammu and Kashmir, India ³Department of Statistics, University of Jammu, 180016, Jammu and Kashmir, India

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Abstract: The presence of non-response and measurement error in a study cause bias on the estimate. To reduce this, we have studied the effect of non-response (NR) and measurement error (ME) on the estimation of the population mean of the study variable using auxiliary information by proposing a general class of estimators. The proposed class of estimators studied in the various situations of NR and ME. The expressions of bias and MSE of the estimators are derived and their optimum conditions have been obtained. Various well-known estimators from literature are the members of the proposed estimator. A simulation study is performed which support the theoretical findings in all situations.

Keywords: Measurement error, non-response, bias, mean squared error, estimators, mean

1 Introduction

In a sample survey, a high level of response rate is normally viewed as a good survey. But the participation of respondents in surveys has been deteriorating over time in almost all types of surveys (Leeuw and Heer [1], Goyder [2] and for all survey modes (Hox and Leeuw [3]). In the last few decades survey researchers have more concentrated to counteract the downward trend in response rates (e.g. Dillman [4], Goyder [2], Groves and Couper [5]). The quality of survey data can be dying out to sample composition bias, due to non-response and self-selection of respondents, and response bias from several sources. Increasing the response rate minimizes the impact of selection bias. For example, research has shown that callback and increased fieldwork effort not only bring in more respondents but also can bring in those respondents that are underrepresented such as the elderly, lower educated, and lower-income groups (e.g. Dillman [4]). However, this could be purely decorative. As non-response error is a function of the non-response rate and the difference between respondents and non-respondents on a particular variable of interest (Couper and Leeuw [6]). Non-response error will only be reduced by drawing in those specific respondents that tapered this gap. The effect of non-response error is described in Cochran [7]. Kalton and Karsprzyk [8], Meng [9], Rubin [10], Carpenter and Kenward [11], etc. presents several approaches to handle non-response in sample surveys. To avoid non-response and control it in estimation, the problem of non-response was studied. Hansen and Hurwitz [12] developed the technique to estimate the population mean when non-response occurs in surveys. He simply drew a simple random sample and mailed a questionnaire to sampled units then re-contacted some of the non-responding units by drawing a subsample from the non-responding units in the initial first attempt. Cochran [7] uses Hansen and Hurwitz technique to formulate a ratio estimator of the population mean. Similarly, Rao [13], Okafor and Lee [14], Tabasum and Khan [15], [16], Sodipo and Obisesan [17], Singh and Kumar [18], [19], Singh et al. [20], Chaudhary et al. [21], Khare and Sinha [22], Bhushan and Pandey [23], Unal and Kadilar [24] and Sharma and Kumar [25] considered the problem of estimating population mean in the presence of non-response.

But, even if increasing the response rate does reduce non-response errors, by a convincing special respondent to respond, the question remains whether it decreases the total survey error. Increasing the response rate by callback and with more efforts, only bringing non-respondent to the respondent group may increase another source of error i.e. measurement

^{*} Corresponding author e-mail: sandeep.bhougal@smvdu.ac.in

error (Groves and Couper [5]). Non-response is caused by situational (e.g., time, opportunity, at-home patterns) and motivational (e.g., altruism, low cost compared to benefits, high saliency) factors. Measurement error, on the other hand, is largely cognitive and related to the question-answer process (e.g., poor comprehension of questions, memory, and retrieval difficulties). Measurement errors include observational error, instrument error, respondent error, etc. Many sources of measurement errors like bias in the interviewer, bias in the respondent, or an error occur in recording and processing the data. Many researchers worked on the estimation in the presence of measurement error like Fuller [26], Biemer and Stokes [27], Shalabh [28], Singh and Karpe [29], Kumar et al. [30], Gregoire and Salas [31], Diane and Giordan [32], Shukla et al. [33], Shalabh and Tsai [34] and Tiwari et al. [35].

Measurement error and non-response error may creep into the survey at the same time. If these errors are minute then they can be ignored but if these errors are significant, inferences may lead to adverse consequences. Tiwari et al. [36] studied the combined and separate effects of NR and ME to show their relative effect. Very few studies have been done so far like Jackman [37], Biemer [38], Hox et al. [39], Kumar et al. [40], Singh and Sharma [41], Azeem and Hanif [42], Kumar [43], Kumar and Bhougal [44], Kumar et al. [45], and Singh et al. [46].

Usually the study on the estimation is specific to a particular sampling strategy or method but in real-life any type of situation can be there. So the motive of this paper is to propose and study estimators in a different situations to see their effect. In this paper, we study how NR and ME affect the efficiency of the estimators using auxiliary information.

2 Sampling Procedure and Notations

Let a population of size N and a sample of size n be taken by using the simple random sampling without replacement (SRSWOR) method. Let Y be the study and X be the auxiliary variable. Let $\mu_Y = \frac{1}{N} \sum_{i=1}^{N} y_i$, $\mu_X = \frac{1}{N} \sum_{i=1}^{N} x_i$, $\sigma_Y^2 = \frac{1}{N-1} \sum_{i=1}^{N} (y_i - \mu_Y)^2$ and $\sigma_X^2 = \frac{1}{N-1} \sum_{i=1}^{N} (x_i - \mu_X)^2$ denote the population mean and variance of study variable Y and auxiliary variable X, respectively. Let (x_i, y_i) be the observed and (X_i, Y_i) be the true values on the characteristics (X, Y)associated with the *i*th unit in the sample.

Let the measurement error present on *Y* and *X* are $U_i = y_i - Y_i$ and $V_i = x_i - X_i$.

The usual unbiased estimator for the population mean of the study variable in the presence of measurement error is given as

$$t_0 = \hat{\mu}_Y = \frac{1}{n} \sum_{i=1}^n y_i$$

The variance in the presence of measurement error of the usual estimator is given as

$$Var(t_0) = \lambda_2(\sigma_Y^2 + \sigma_U^2) \tag{1}$$

where $\lambda_2 = \frac{1}{n} - \frac{1}{N}$

Let the measurement errors on Y and X be random and uncorrelated with mean zero and variances σ_U^2 and σ_V^2 respectively, with an assumption that the measurement errors for variable Y and X are independent. Let C_y and C_x be the coefficient of variations of variable Y and X respectively for the population and ρ_{yx} be the coefficient of correlation between Y and X.

Now, let the non-response present on the study and auxiliary variables, it is assumed that the population of size Nis composed of two mutually exclusive groups, the N_1 respondents and the N_2 non-respondents, though their sizes are unknown. Let $\mu_{Y_1} = \frac{1}{N_1} \sum_{i=1}^{N_1} y_i$ and $\sigma_{Y(1)}^2 = \frac{1}{N_1 - 1} \sum_{i=1}^{N_1} (y_i - \mu_{Y_1})^2$ denote the mean and variance of the response group. Similarly, let $\mu_{Y_2} = \frac{1}{N_2} \sum_{i=1}^{N_2} y_i$ and $\sigma_{Y(2)}^2 = \frac{1}{N_2 - 1} \sum_{i=1}^{N_2} (y_i - \mu_{Y_2})^2$ denote the mean and variance of the non-response group. The population mean can be written as $\mu_Y = W_1 \mu_{Y_1} + W_2 \mu_{Y_2}$, where $W_1 = \frac{N_1}{N}$ and $W_2 = \frac{N_2}{N}$. Let $\hat{\mu}_{Y_1} = \frac{1}{n_1} \sum_{i=1}^{n_1} y_i$ and $\hat{\mu}_{Y_{2r}} = \frac{1}{r} \sum_{i=1}^{r} y_i$ denote the means of the n_1 responding units and the r sub-sampled units. Thus, an unbiased estimator of the population mean μ_Y due to Hansen and Hurwitz [12] is given by

$$\hat{\mu}_{Y}^{*} = w_1 \hat{\mu}_{Y_1} + w_2 \hat{\mu}_{Y_{2r}}$$

where $w_1 = \frac{n_1}{n}$ and $w_2 = \frac{n_2}{n}$ are responding and non-responding proportions in the sample. The variance of $\hat{\mu}_Y^*$ up to the terms of order n^{-1} , is given by

$$Var(\hat{\mu}_Y^*) = \lambda_2 \sigma_Y^2 + \theta \sigma_{Y(2)}^2 \tag{2}$$

where $\theta = \frac{W_2(k-1)}{n}$, $C_y = \frac{\sigma_y}{\mu_Y}$ and $C_{y(2)} = \frac{\sigma_{y(2)}}{\mu_Y}$, (see Cochran [7], p. 371).

Similarly one can define for auxiliary variable i.e. $\hat{\mu}_{X_1} = \frac{1}{n_1} \sum_{i=1}^{n_1} x_i$ and $\hat{\mu}_{X_{2r}} = \frac{1}{r} \sum_{i=1}^{r} x_i$ denotes the means of responding and *r* sub-sampled units. Under such a situation, an unbiased estimator for the population mean $\hat{\mu}_X$ of the auxiliary variable as

$$\mu_{X} = w_{1}\mu_{X_{1}} + w_{2}\mu_{X_{2r}}$$

$$Var(\hat{\mu}_{X}^{*}) = \lambda_{2}\sigma_{X}^{2} + \theta\sigma_{X(2)}^{2}$$
(3)

The variance of $\hat{\mu}_X^*$ is

Many times, the above-mentioned situations occur together i.e. non-response and measurement error present simultaneously. So, let (x_i^*, y_i^*) be the observed values and (X_i^*, Y_i^*) be the true values of (X, Y) respectively associated with the *i*th sample unit. Let the measurement error associated with the study variable in the presence of non-response be

$$U_{i}^{*} = y_{i}^{*} - Y_{i}^{*}$$

When there is some non-response on the auxiliary variable, let the measurement error associated with the auxiliary variable be

$$V_i^* = x_i^* - X_i^*$$

The measurement errors on *Y* and *X* are random with mean zero and variances σ_U^2 and σ_V^2 respectively for the responding units and $\sigma_{U(2)}^2$ and $\sigma_{V(2)}^2$ respectively for the group of non-respondents. Let $\sigma_{X(2)}^2$ and $\sigma_{Y(2)}^2$ be the variances of variables *X* and *Y* respectively for the non-respondents and $\rho_{yx(2)}$ be the correlation coefficient between the variables *Y* and *X* for the non-respondents of the population. Let $C_{x(2)}$ and $C_{y(2)}$ be the coefficient of variations for variable *X* and *Y* respectively for the group of non-respondents.

In situations where the population mean of the auxiliary variable X is not known, a two-phase sampling scheme is adopted. A large sample of size n' is taken from the population at the first phase by the SRSWOR method and the information on the auxiliary variable is obtained. In the second phase, a sub-sample of size n is taken from the first-phase sample using the SRSWOR method and data on the variable of interest are collected. In the first phase, we assume that there is a complete response without measurement error. Let x_{1i} be the observed values and X_{1i} be the true values on an auxiliary characteristic associated with the *i*th unit in the first-phase sample. Since we have assumed that there are no measurement errors in the first-phase sample, therefore $x_{1i} = X_{1i}$. Let (x_{1i}, y_i) be the observed values and (X_i, Y_i) be the true values on two characteristics (X, Y) respectively associated with the *i*th unit on the second-phase sample.

We use the following terms to derive the Bias ad mean square error (MSE) of the estimators. Let $\omega_Y^* = \frac{1}{\sqrt{n}} \sum_{i=1}^n (Y_i^* - \mu_Y)$ and $\omega_U^* = \frac{1}{\sqrt{n}} \sum_{i=1}^n U_i^*$. Add ω_Y^* and ω_U^* and divide both side by \sqrt{n} , we have $\frac{\omega_Y^* + \omega_U^*}{\sqrt{n}} = \frac{1}{n} \sum_{i=1}^n [(Y_i - \mu_Y) + U_i^*]$ that is $\frac{\omega_Y^* + \omega_U^*}{\sqrt{n}} = \frac{1}{n} \sum_{i=1}^n y_i^* - \mu_Y$ So, $\omega_Y^* + \omega_U^*$

$$\hat{\mu}_Y^* = \mu_Y + \varepsilon_Y^*; \text{ where } \varepsilon_Y^* = \frac{\omega_Y^* + \omega_U^*}{\sqrt{n}}$$
(4)

Similarly, for $\omega_X = \frac{1}{\sqrt{n}} \sum_{i=1}^n (X_i - \mu_X)$ and $\omega_V = \frac{1}{\sqrt{n}} \sum_{i=1}^n V_i$

$$\hat{\mu}_X = \mu_X + \varepsilon_X$$
; where $\varepsilon_X = \frac{\omega_X + \omega_V}{\sqrt{n}}$ (5)

Again, for $\omega_X^* = \frac{1}{\sqrt{n}} \sum_{i=1}^n (X_i^* - \mu_X)$ and $\omega_V^* = \frac{1}{\sqrt{n}} \sum_{i=1}^n V_i^*$

$$\hat{\mu}_X^* = \mu_X + \varepsilon_X^*; \text{ where } \varepsilon_X^* = \frac{\omega_X^* + \omega_V^*}{\sqrt{n}}$$
(6)

We assumed that non-response doesn't occur on first phase, so for $\omega_{X'} = \frac{1}{\sqrt{n'}} \sum_{i=1}^{n'} (x'_i - \mu_X)$, we have

1

$$\hat{\mu}'_X = \mu_X + \varepsilon_{X'}; \text{ where } \varepsilon_{X'} = \frac{\omega_{X'}}{\sqrt{n'}}$$
(7)

Then, we have

$$E(\boldsymbol{\varepsilon}_{Y}^{*}) = E(\boldsymbol{\varepsilon}_{X}) = E(\boldsymbol{\varepsilon}_{X}^{*}) = E(\boldsymbol{\varepsilon}_{X'}) = E(\boldsymbol{\varepsilon}_{U}) = E(\boldsymbol{\varepsilon}_{V}) = 0$$
(8)

and

$$E(\varepsilon_Y^{*2}) = \lambda_2 \sigma_Y^2 + \theta \sigma_{Y(2)}^2 + \lambda_2 \sigma_U^2 + \theta \sigma_{U(2)}^2 = V_1(Say)$$
(9)



$$E(\varepsilon_X^2) = \lambda_2 \sigma_X^2 + \lambda_2 \sigma_V^2 = V_2(Say) \tag{10}$$

$$E(\varepsilon_Y^* \varepsilon_X) = \lambda_2 \rho_{yx} \sigma_Y \sigma_X = V_3(Say)$$
(11)

$$E(\varepsilon_X^{*2}) = \lambda_2 \sigma_X^2 + \theta \sigma_{X(2)}^2 + \lambda_2 \sigma_V^2 + \theta \sigma_{V(2)}^2 = V_4(Say)$$
(12)

$$E(\varepsilon_Y^* \varepsilon_X^*) = \lambda_2 \rho_{yx} \sigma_Y \sigma_X + \theta \rho_{yx(2)} \sigma_{Y(2)} \sigma_{Y(2)} = V_5(Say)$$
(13)

$$E(\varepsilon_{X'}^2) = \lambda' \sigma_X^2 = V_6(Say) \tag{14}$$

$$E(\varepsilon_X \varepsilon_{X'}) = \lambda' \sigma_X^2 = V_6 \tag{15}$$

$$E(\varepsilon_Y^* \varepsilon_{X'}) = \lambda' \rho_{yx} \sigma_Y \sigma_X = V_3'(Say)$$
⁽¹⁶⁾

where $\lambda' = \frac{1}{n'} - \frac{1}{N}$.

3 Literature survey

In this section, we consider the following estimators

Searl [47]

In simple random sampling, Searl proposed an estimator for estimating the population mean of *Y* as $t_1 = k\hat{\mu}_Y$, where *k* is suitable constant.

The mean square error (MSE) of t_1 is

$$MSE(t_1) = (k-1)^2 \mu_Y^2 + k^2 \lambda_2 \mu_Y^2 C_v^2$$

The minimum MSE of t_1 for optimum value of $k = \frac{1}{1 + \lambda_2 C_y^2} = k^o$ is

$$MSE_{min}(t_1) = \frac{\lambda_2 \mu_Y^2 C_y^2}{1 + \lambda_2 C_y^2}$$

Cochran [7]

Cochran (1977) proposes the ratio estimator as $t_2 = \hat{\mu}_Y(\frac{\mu_X}{\hat{\mu}_X})$ with mean squared error i.e. MSE of t_2 as

$$MSE(t_2) = \lambda_2 \mu_Y^2 (C_y^2 + C_x^2 - 2\rho_{yx}C_y C_x)$$

Murthy [48]

Murthy (1964) suggested an product estimator for μ_Y as $t_3 = \hat{\mu}_Y(\frac{\hat{\mu}_X}{\mu_X})$ with MSE of t_3 as

$$MSE(t_3) = \lambda_2 \mu_Y^2 (C_y^2 + C_x^2 + 2\rho_{yx}C_y C_x)$$



The usual regression estimator proposed by Cochran [7] as $t_4 = \hat{\mu}_Y + b(\mu_X - \hat{\mu}_X)$ with

$$MSE(t_4) = \lambda_2 \mu_Y^2 C_v^2 (1 - \rho_{vx}^2)$$

where b is regression coefficient.

Rao [49]

A difference estimator is proposed by Rao [49] as $t_5 = k_1 \hat{\mu}_Y + k_2 (\mu_X - \hat{\mu}_X)$, where k_1, k_2 are constant. The MSE of t_5 is

$$MSE(t_5) = (k_1 - 1)^2 \mu_Y^2 + \lambda_2 \mu_Y^2 C_y^2 k_1^2 + \lambda_2 \mu_X^2 C_x^2 k_2^2 - 2\lambda_2 \mu_Y \mu_X \rho_{yx} C_y C_x k_1 k_2$$

The $MSE(t_5)$ is optimum, when $k_1 = \frac{1}{1+\lambda_2 C_y^2(1-\rho_{yx}^2)} = k_1^o; k_2 = \frac{\rho_{yx}RC_y}{C_x[1+\lambda_2 C_y^2(1-\rho_{yx}^2)]} = k_2^o$. The minimum $MSE(t_5)$ is given as

$$MSE_{min}(t_5) = \frac{\lambda_2 \mu_Y^2 C_y^2 (1 - \rho_{yx}^2)}{1 + \lambda_2 C_y^2 (1 - \rho_{yx}^2)}$$

Bahl and Tuteja [50]

The ratio and product type exponential estimators defined by Bahl and Tuteja [50] as $t_6 = \hat{\mu}_Y \exp(\frac{\mu_X - \hat{\mu}_X}{\mu_X + \hat{\mu}_X})$ and $t_7 =$ $\hat{\mu}_Y \exp(\frac{\hat{\mu}_X - \mu_X}{\hat{\mu}_X + \mu_X}).$ The MSE of t_6 and t_7 are given as

$$MSE(t_{6}) = \lambda_{2}\mu_{Y}^{2}(C_{y}^{2} + \frac{1}{4}C_{x}^{2} - \rho_{yx}C_{y}C_{x})$$
$$MSE(t_{7}) = \lambda_{2}\mu_{Y}^{2}(C_{y}^{2} + \frac{1}{4}C_{x}^{2} + \rho_{yx}C_{y}C_{x})$$

Kadilar and Cingi [51]

Kadilar and Cingi [51] proposed a combined ratio cum regression estimator as $t_8 = [\hat{\mu}_Y + b(\mu_X - \hat{\mu}_X)](\frac{\mu_X}{\hat{\mu}_X})$. The MSE of t_8 to the first degree of approximation is

$$MSE(t_8) = \lambda_2 \mu_Y^2 [C_x^2 + C_y^2 (1 - \rho_{yx}^2)]$$

Grover and Kour [52]

Grover and Kour [52] proposes a difference-cum-exponential type estimator as $t_9 = [d_1\hat{\mu}_Y + d_2(\mu_X - \hat{\mu}_X)] \exp(\frac{\mu_X - \hat{\mu}_X}{\mu_X + \hat{\mu}_X})$ where d_1, d_2 are constant. To the first degree of approximation, one can obtain the optimum MSE of t_9 as

$$MSE_{min}(t_9) = \frac{\lambda_2 \mu_Y^2 [16(1-\rho^2)(4-\lambda_2 C_x^2)C_y^2 - \lambda_2 C_x^4]}{64[1+\lambda_2 C_y^2(1-\rho_{xx}^2)]}$$

Some basic estimators of population mean in two-phase sampling from literature are given as



Ratio Estimator

The classical ratio estimator and their MSE in two-phase sampling is given as

$$t_r = \hat{\mu}_Y(\frac{\hat{\mu}'_X}{\hat{\mu}_X})$$
$$MSE(t_r) = \mu_Y^2 \left[\left(\frac{1}{n} - \frac{1}{N}\right) C_y^2 + \left(\frac{1}{n} - \frac{1}{n'}\right) (C_x^2 - 2\rho_{yx}C_yC_x) \right]$$

Product Estimator

The classical product estimator in two-phase sampling is given as

$$t_p = \hat{\mu}_Y(\frac{\hat{\mu}_X}{\hat{\mu}_X'})$$

and their MSE is

$$MSE(t_p) = \mu_Y^2 \left[\left(\frac{1}{n} - \frac{1}{N} \right) C_y^2 + \left(\frac{1}{n} - \frac{1}{n'} \right) (C_x^2 + 2\rho_{yx}C_yC_x) \right]$$

Regression Estimator

The regression estimator in two-phase sampling is given as

$$t_{reg} = \hat{\mu}_Y + b_{yx}(\hat{\mu}'_X - \hat{\mu}_X)$$

where b_{yx} is regression coefficient. The optimum MSE of t_{reg} is

$$MSE(t_{reg}) = \mu_Y^2 C_y^2 \left[\left(\frac{1}{n} - \frac{1}{N} \right) - \left(\frac{1}{n} - \frac{1}{n'} \right) \rho_{yx} \right]$$

In this study, we revisited the above $t_1, t_2, ..., t_9$ estimators in different situations of non-response and measurement error by defining a general class of estimators for estimating the population mean μ_Y of *Y*.

4 Proposed class of estimators

To estimate the population mean in the different situations of non-response and measurement error, we define a general class of estimators as

$$T = [k_1\hat{\mu}_Y + k_2(\mu_X - \hat{\mu}_X)] \left(\frac{\mu_X}{\hat{\mu}_X}\right)^{\delta} \left[\exp\left(\frac{\mu_X - \hat{\mu}_X}{\mu_X + \hat{\mu}_X}\right)\right]^{\alpha}$$
(17)

where k_1, k_2, δ and α are constant.

For different values of k_1 , k_2 , δ and α , one can obtain various estimators. Table 1 shows the estimators considered in Section 3 as the member of the general class of estimators.

We study these estimators in various situations of non-response and measurement error in the following sections.

5 Situation-1

When non-response and measurement error are present on the study variable *Y* with known population mean μ_X of auxiliary variable *X*.

| | Tuble 1. Members of the proposed class of estimator | | | | |
|-------|---|----|----|---|--|
| k_1 | k_2 | δ | α | Estimator | |
| 1 | 0 | 0 | 0 | $T^{(1)} = \hat{\mu}_Y$, Usual estimator | |
| k_1 | 0 | 0 | 0 | $T^{(2)} = k\hat{\mu}_Y$, Searl [47] | |
| 1 | 0 | 1 | 0 | $T^{(3)} = \hat{\mu}_Y\left(\frac{\mu_X}{\hat{\mu}_X}\right)$, Cochran [53] | |
| 1 | 0 | -1 | 0 | $T^{(4)} = \hat{\mu}_Y \left(\frac{\hat{\mu}_X}{\mu_Y}\right)$, Murthy [48] | |
| 1 | k_2 | 0 | 0 | $T^{(5)} = \hat{\mu}_Y + \hat{k}_2(\mu_X - \hat{\mu}_X)$, Cochran [7] | |
| k_1 | k_2 | 0 | 0 | $T^{(6)} = k_1 \hat{\mu}_Y + k_2 (\mu_X - \hat{\mu}_X), \text{Rao} [49]$ | |
| 1 | 0 | 0 | 1 | $T^{(7)} = \hat{\mu}_Y \exp\left(\frac{\mu_X - \hat{\mu}_X}{\mu_X + \hat{\mu}_X}\right)$, Bahl and Tuteja [50] | |
| 1 | 0 | 0 | -1 | $T^{(8)} = \hat{\mu}_Y \exp\left(\frac{\hat{\mu}_X - \mu_X}{\hat{\mu}_X + \mu_X}\right)$, Bahl and Tuteja [50] | |
| 1 | k_2 | 1 | 0 | $T^{(9)} = [\hat{\mu}_Y + k_2(\mu_X - \hat{\mu}_X)] (\frac{\mu_X}{\hat{\mu}_X})$, Kadilar and Cingi [51] | |
| k_1 | k_2 | 0 | 1 | $T^{(10)} = [k_1 \hat{\mu}_Y + k_2 (\mu_X - \hat{\mu}_X)] \exp\left(\frac{\mu_X - \hat{\mu}_X}{\mu_X + \hat{\mu}_X}\right), \text{ Grover and Kaur [52]}$ | |

Table 1: Members of the proposed class of estimator

5.1 Estimator

Redefine the general class of estimators defined in equation (17) as

$$T_{1} = [k_{11}\hat{\mu}_{Y}^{*} + k_{21}(\mu_{X} - \hat{\mu}_{X})] \left(\frac{\mu_{X}}{\hat{\mu}_{X}}\right)^{\delta_{1}} \left[\exp\left(\frac{\mu_{X} - \hat{\mu}_{X}}{\mu_{X} + \hat{\mu}_{X}}\right)\right]^{\alpha_{1}}$$
(18)

where k_{11} , k_{21} , δ_1 and α_1 are constant.

The member estimators can be written as

$$\begin{split} &1T_{1}^{(1)} = \hat{\mu}_{Y}^{*} \\ &2T_{1}^{(2)} = k_{11}\hat{\mu}_{Y}^{*} \\ &3T_{1}^{(3)} = \hat{\mu}_{Y}^{*}(\frac{\mu_{X}}{\mu_{X}}) \\ &4T_{1}^{(4)} = \hat{\mu}_{Y}^{*}(\frac{\hat{\mu}_{X}}{\mu_{X}}) \\ &5T_{1}^{(5)} = \hat{\mu}_{Y}^{*} + k_{21}(\mu_{X} - \hat{\mu}_{X}), \\ &6T_{1}^{(6)} = k_{11}\hat{\mu}_{Y}^{*} + k_{21}(\mu_{X} - \hat{\mu}_{X}) \\ &7T_{1}^{(7)} = \hat{\mu}_{Y}^{*}\exp(\frac{\mu_{X} - \hat{\mu}_{X}}{\mu_{X} + \hat{\mu}_{X}}) \\ &8T_{1}^{(8)} = \hat{\mu}_{Y}^{*}\exp(\frac{\hat{\mu}_{X} - \mu_{X}}{\hat{\mu}_{X} + \mu_{X}}) \\ &9T_{1}^{(9)} = [\hat{\mu}_{Y}^{*} + k_{21}(\mu_{X} - \hat{\mu}_{X})](\frac{\mu_{X}}{\hat{\mu}_{X}}) \\ &10T_{1}^{(10)} = [k_{11}\hat{\mu}_{Y}^{*} + k_{21}(\mu_{X} - \hat{\mu}_{X})]\exp(\frac{\mu_{X} - \hat{\mu}_{X}}{\mu_{X} + \hat{\mu}_{X}}) \end{split}$$

where k_{11} , k_{21} are suitable constant for respective estimator.

5.2 Bias and MSE

To get the bias and MSE of T_1 to the first order of approximation, express T_1 given in equation (18) in terms of ε 's using equations (4) and (5). We have

$$T_1 = (k_{11}\mu_Y + k_{11}\varepsilon_Y^* - k_{21}\varepsilon_X) \left(1 + \frac{\varepsilon_X}{\mu_X}\right)^{-\delta_1} \left[1 + \left(\frac{3}{8}\frac{\varepsilon_X^2}{\mu_X} - \frac{\varepsilon_X}{2\mu_X}\right)\right]^{\alpha_1}$$
(19)

Expand and simplify above equation by ignoring the higher terms, we get

$$T_{1} = k_{11}\mu_{Y} + k_{11}\varepsilon_{Y}^{*} - [k_{21} + k_{11}R\delta_{\alpha_{1}}]\varepsilon_{X} + \left[k_{21}\delta_{\alpha_{1}} + \frac{Rk_{11}}{2}\delta_{\alpha_{1}} + \frac{Rk_{11}}{2}\delta_{\alpha_{1}}^{2}\right]\frac{\varepsilon_{X}^{2}}{\mu_{X}} - \frac{k_{11}}{\mu_{X}}\delta_{\alpha_{1}}\varepsilon_{Y}^{*}\varepsilon_{X}$$
(20)

where $\delta_{\alpha_1} = \delta_1 + \frac{\alpha_1}{2}$. Substract μ_Y from both sides, we have

$$T_{1} - \mu_{Y} = (k_{11} - 1)\mu_{Y} + k_{11}\varepsilon_{Y}^{*} - [k_{21} + k_{11}R\delta_{\alpha_{1}}]\varepsilon_{X} + \left[k_{21}\delta_{\alpha_{1}} + \frac{Rk_{11}}{2}\delta_{\alpha_{1}} + \frac{Rk_{11}}{2}\delta_{\alpha_{1}}^{2}\right]\frac{\varepsilon_{X}^{2}}{\mu_{X}} - \left[k_{21}\delta_{\alpha_{1}} + \frac{Rk_{11}}{2}\delta_{\alpha_{1}} + \frac{Rk_{11}}{2}\delta_{\alpha_{1}}^{2}\right]\varepsilon_{X}^{2}\frac{k_{11}}{\mu_{X}}\delta_{\alpha_{1}}\varepsilon_{Y}^{*}\varepsilon_{X} \quad (21)$$

Taking expectation on both sides of equation (21) and using expected values from equation (8), (9), (10) & (11), we get the bias of T_1 as

$$Bias(T_1) = (k_{11} - 1)\mu_Y + \left[\delta_{\alpha_1}\left(k_{21} + \frac{Rk_{11}}{2}\right) + \frac{Rk_{11}}{2}\delta_{\alpha_1}^2\right]\frac{V_2}{\mu_X} - \frac{k_{11}}{\mu_X}\delta_{\alpha_1}V_3$$
(22)

Squaring equation (21) on both sides and terminate the higher order terms, we have

$$(T_{1} - \mu_{Y})^{2} = (k_{11} - 1)^{2} \mu_{Y}^{2} + k_{11}^{2} \varepsilon_{Y}^{*2} + (k_{21} + k_{11} R \delta_{\alpha_{1}})^{2} \varepsilon_{X}^{2} + 2(k_{11} - 1)k_{11} \mu_{Y} \varepsilon_{Y}^{*}$$

$$- 2\mu_{Y}(k_{11} - 1)(k_{21} + k_{11} R \delta_{\alpha_{1}}) \varepsilon_{X} + 2R(k_{11} - 1) \left[k_{21} \delta_{\alpha_{1}} + \frac{Rk_{11}}{2} \delta_{\alpha_{1}} + \frac{Rk_{11}}{2} \delta_{\alpha_{1}}^{2}\right] \varepsilon_{X}^{2}$$

$$- 2Rk_{11} \delta_{\alpha_{1}}(k_{11} - 1)\varepsilon_{Y}^{*} \varepsilon_{X} - 2k_{11}(k_{21} + k_{11} R \delta_{\alpha_{1}}) \varepsilon_{Y}^{*} \varepsilon_{X} \quad (23)$$

Taking expectation on both sides of equation (23) and using expected values from equation (8), (9), (10) & (11), we get the MSE of T_1 as

$$MSE(T_1) = \mu_Y^2 + k_{11} \left[2RV_3 \delta_{\alpha_1} - 2\mu_Y^2 - R^2 V_2 \delta_{\alpha_1} - R^2 V_2 \delta_{\alpha_1}^2 \right] + k_{11}^2 \left[\mu_Y^2 + V_1 - 4RV_3 \delta_{\alpha_1} + R^2 V_2 \delta_{\alpha_1} + 2R^2 V_2 \delta_{\alpha_1}^2 \right] - 2k_{21}RV_2 \delta_{\alpha_1} + k_{21}^2 V_2 + k_{11}k_{21} \left[4RV_2 \delta_{\alpha_1} - 2V_3 \right]$$
(24)

or

$$MSE(T_1) = \mu_Y^2 + k_{11}\varphi_{11} + k_{11}^2\varphi_{21} + k_{21}\varphi_{31} + k_{21}^2\varphi_{41} + k_{11}k_{21}\varphi_{51}$$
(25)

where $\varphi_{11} = 2RV_3\delta_{\alpha_1} - 2\mu_Y^2 - R^2V_2\delta_{\alpha_1} - R^2V_2\delta_{\alpha_1}^2$, $\varphi_{21} = \mu_Y^2 + V_1 - 4RV_3\delta_{\alpha_1} + R^2V_2\delta_{\alpha_1} + 2R^2V_2\delta_{\alpha_1}^2$, $\varphi_{31} = -2RV_2\delta_{\alpha_1}$, $\varphi_{41} = V_2$, $\varphi_{51} = 4RV_2\delta_{\alpha_1} - 2V_3$.

For the optimum values of k_{11} and k_{21} which is $k_{11}^o = \frac{\varphi_{31}\varphi_{51}-2\varphi_{11}\varphi_{41}}{4\varphi_{21}\varphi_{41}-\varphi_{51}^2}$; $k_{21}^o = \frac{\varphi_{11}\varphi_{51}-2\varphi_{21}\varphi_{31}}{4\varphi_{21}\varphi_{41}-\varphi_{51}^2}$, the minimum MSE of T_1 can be obtained as

$$MSE_{min}(T_1) = \mu_Y^2 - \frac{\varphi_{11}^2 \varphi_{41} + \varphi_{21} \varphi_{31}^2 - \varphi_{11} \varphi_{31} \varphi_{51}}{4\varphi_{21} \varphi_{41} - \varphi_{51}^2}$$
(26)

or

$$MSE_{min}(T_1) = \mu_Y^2 - \Upsilon_1 \tag{27}$$

where $\Upsilon_1 = \frac{\varphi_{11}^2 \varphi_{41} + \varphi_{21} \varphi_{31}^2 - \varphi_{11} \varphi_{31} \varphi_{51}}{4 \varphi_{21} \varphi_{41} - \varphi_{51}^2}.$

The bias and MSE of the estimators $T_1^{(i)}$; i = 1, 2, ..., 10 upto the first order of approximation given in Table 2.

5.3 Efficiency Comparison

An estimator t_1 of population mean μ_Y is said to be more efficient than estimator t_2 if $MSE(t_1) < MSE(t_2)$. Here we have developed the conditions under which the general class of estimator T_1 is better than the estimators $T_1^{(i)}$, i = 1, 2, ..., 10.

$$\begin{split} \bullet MSE(T_1) &< MSE(T_1^{(1)}) \text{ if } \mu_Y^2 < \Upsilon_1 + V_1 \\ \bullet MSE(T_1) &< MSE(T_1^{(2)}) \text{ if } \frac{\mu_Y^4}{\mu_Y^2 + V_1} < \Upsilon_1 \\ \bullet MSE(T_1) &< MSE(T_1^{(3)}) \text{ if } \mu_Y^2 + 2RV_3 < \Upsilon_1 + V_1 + R^2V_2 \\ \bullet MSE(T_1) &< MSE(T_1^{(4)}) \text{ if } \mu_Y^2 < \Upsilon_1 + V_1 + R^2V_2 + 2RV_3 \\ \bullet MSE(T_1) &< MSE(T_1^{(5)}) \text{ if } \mu_Y^2 + \frac{V_3^2}{V_2} < \Upsilon_1 + V_1 \\ \bullet MSE(T_1) < MSE(T_1^{(6)}) \text{ if } \frac{V_2\mu_Y^4}{V_2\mu_Y^2 + V_1V_2 - V_3^2} < \Upsilon_1 \end{split}$$



| Estimator | Bias | MSE/MSE _{min} and respective optimum value of constants | | |
|--|---|--|--|--|
| $T_1^{(1)}$ | 0 | V_1 | | |
| $T_1^{(2)}$ | $(k_{11}-1)\mu_Y$ | $\mu_Y^2 - rac{\mu_Y^2}{\mu_Y^2 + V_1}; k_{11}^o = rac{\mu_Y^2}{\mu_Y^2 + V_1}$ | | |
| $T_1^{(3)}$ | $\frac{RV_2-V_3}{\mu_X}$ | $V_1 + R^2 V_2 - 2RV_3$ | | |
| $T_1^{(4)}$ | $\frac{V_3}{\mu_X}$ | $V_1 + R^2 V_2 + 2RV_3$ | | |
| $T_1^{(5)}$ | 0 | $V_1 - \frac{V_3^2}{V_2}; k_{21}^o = \frac{V_3}{V_2}$ | | |
| $T_1^{(6)}$ | $(k_{11}-1)\mu_Y$ | $\mu_Y^2 - \frac{V_2 \mu_Y^4}{V_2 \mu_v^2 + V_1 V_2 - V_3^2}; k_{11}^o = \frac{V_2 \mu_Y^2}{V_2 \mu_v^2 + V_1 V_2 - V_3^2}, k_{21}^o = \frac{V_3 \mu_Y^2}{V_2 \mu_v^2 + V_1 V_2 - V_3^2}$ | | |
| $T_1^{(7)}$ | $\frac{3RV_2 - 4V_3}{8\mu_X}$ | $V_1 + \frac{1}{4}R^2V_2 - RV_3$ | | |
| $T_1^{(8)}$ | $\frac{4V_3 - RV_2}{8\mu_X}$ | $V_1 + \frac{1}{4}R^2V_2 + RV_3$ | | |
| $T_1^{(9)}$ | $\frac{(R+k_{21})V_2-V_3}{\mu_X}$ | $V_1 - \frac{V_3^2}{V_2}; k_{21} = \frac{V_3}{V_2} - R$ | | |
| $T_1^{(10)}$ | $(k_{11}-1)\mu_Y + \frac{(4k_{21}+3Rk_{11})V_2 - 4k_{11}V_3}{8\mu_X}$ | $\mu_Y^2 - \frac{p_{11}^2 P_{41} + P_{21} p_{31}^2 - P_{11} P_{31} P_{31}}{4P_{21} P_{41} - P_{51}^2}; k_{11}^o = \frac{P_{31} P_{51} - 2P_{11} P_{41}}{4P_{21} P_{41} - P_{51}^2}; k_{11}^o = \frac{P_{11} P_{51} - 2P_{21} P_{31}}{4P_{21} P_{41} - P_{51}^2}$ | | |
| where $P_{11} = RV_3 - 2\mu_V^2 - \frac{3}{4}R^2V_2$, $P_{21} = \mu_V^2 + V_1 - 2RV_3 + R^2V_2$, $P_{31} = -RV_2$, $P_{41} = V_2$, $P_{51} = 2RV_2 - 2V_3$. | | | | |

Table 2: Expressions for bias and MSE of $T_1^{(i)}$; i = 1, 2, ..., 10

$$\begin{split} \bullet MSE(T_1) &< MSE(T_1^{(7)}) \text{ if } \mu_Y^2 + RV_3 < \Upsilon_1 + V_1 + \frac{1}{4}R^2V_2 \\ \bullet MSE(T_1) &< MSE(T_1^{(8)}) \text{ if } \mu_Y^2 < \Upsilon_1 + V_1 + \frac{1}{4}R^2V_2 + RV_3 \\ \bullet MSE(T_1) &< MSE(T_1^{(9)}) \text{ if } \mu_Y^2 + \frac{V_3^2}{V_2} < \Upsilon_1 + V_1 \\ \bullet MSE(T_1) &< MSE(T_1^{(10)}) \text{ if } \frac{P_{11}^2P_{41} + P_{21}P_{31}^2 - P_{11}P_{31}P_{51}}{4P_{21}P_{41} - P_{51}^2} < \Upsilon_1 \end{split}$$

5.4 Simulation

We have executed a simulation study to see the performance of the estimators. We have used R software for simulation. Population size N = 5000 and sample size n = 500 is taken. The other essential information in the process are X = rnorm(N, 10, 5), Y = 1 + 3 * X + rnorm(N, 0, 1), y = Y + rnorm(N, 0, 5), x = X + rnorm(N, 0, 5), U = y - Y, V = x - X. For different response rates, the result of the simulation is given in Table 3. For a better approximation, we have averaged the result over 25000 iterations.

The percent relative efficiency (PRE) of estimators with respect to $T_1^{(1)}$ are calculated using

$$PRE(.,T_1^{(1)}) = \frac{MSE(T_1^{(1)})}{MSE(.)} \times 100$$
(28)

The MSE of the proposed estimator T_1 depends on δ_{α_1} . When other terms are fixed, we can find the value of δ_{α_1} for which the proposed estimator performs better than other estimators. To get that, we can try different values of δ_{α_1} or plot $MSE(T_1)$ against δ_{α_1} and see where it gets minimum. If $\delta_{\alpha_1} = c$ (constant), then we write the particular estimator as $T_1^{\delta_{\alpha_1}=c}$. The terms $\delta_{\alpha_1} = c$ or $\delta_1 + \frac{\alpha_1}{2} = c$ represents that δ_1 and α_1 in the estimator T_1 are taken from anywhere on the line $\delta_1 + \frac{\alpha_1}{2} = c$.



| | | PRE of estimators with respect to $T_1^{(1)}$ | | | |
|-------|---------------------------------|---|----------|----------|----------|
| W_2 | Estimator | 1/k | | | |
| | (1) | 1/2 | 1/3 | 1/4 | 1/5 |
| | $T_{1_{(2)}}^{(1)}$ | 100 | 100 | 100 | 100 |
| | $T_{1}^{(2)}$ | 100.0522 | 100.0575 | 100.0627 | 100.0679 |
| | $T_{1}^{(3)}$ | 94.72534 | 95.18166 | 95.56531 | 95.89238 |
| | $T_{1_{(7)}}^{(4)}$ | 22.77699 | 24.49637 | 26.14086 | 27.71523 |
| | $T_{1}^{(5)}$ | 167.6075 | 157.9043 | 150.6369 | 144.9902 |
| 0.1 | $T_{1_{(-)}}^{(6)}$ | 167.6597 | 157.9618 | 150.6995 | 145.0581 |
| | $T_{1}^{(7)}$ | 167.4812 | 157.8024 | 150.5518 | 144.9175 |
| | $T_1^{(8)}$ | 44.16107 | 46.52221 | 48.69176 | 50.69215 |
| | $T_1^{(9)}$ | 167.6075 | 157.9043 | 150.6369 | 144.9902 |
| | $T_1^{(10)}$ | 167.7043 | 158.0028 | 150.7380 | 145.0947 |
| | $T_1^{(\delta_{\alpha_1}=6.6)}$ | 2127.2342 | 730.7340 | 472.3493 | 363.5797 |
| | $T_{1_{(1)}}^{(1)}$ | 100 | 100 | 100 | 100 |
| | $T_{1}^{(2)}$ | 100.0627 | 100.0784 | 100.0940 | 100.1097 |
| | $T_{1}^{(3)}$ | 95.56566 | 96.42083 | 96.99949 | 97.41708 |
| | $T_{1}^{(4)}$ | 26.14244 | 30.67365 | 34.68101 | 38.25040 |
| | $T_{1}^{(5)}$ | 150.6306 | 136.7794 | 128.8789 | 123.7724 |
| 0.3 | $T_{1_{(-)}}^{(6)}$ | 150.6933 | 136.8578 | 128.9729 | 123.8821 |
| | $T_{1}^{(7)}$ | 150.5456 | 136.7233 | 128.8374 | 123.7396 |
| | $T_{1}^{(8)}$ | 48.69381 | 54.26207 | 58.74002 | 62.41934 |
| | $T_1^{(9)}$ | 150.6306 | 136.7794 | 128.8789 | 123.7724 |
| | $T_1^{(10)}$ | 150.7318 | 136.8916 | 129.0042 | 123.9117 |
| | $T_1^{(\delta_{\alpha_1}=6.6)}$ | 472.1990 | 265.6426 | 205.6914 | 177.1525 |
| | $T_{1}^{(1)}$ | 100 | 100 | 100 | 100 |
| | $T_{1_{(1)}}^{(2)}$ | 100.0731 | 100.0993 | 100.1254 | 100.1515 |
| | $T_{1}^{(3)}$ | 96.17496 | 97.15294 | 97.73266 | 98.11623 |
| | $T_1^{(4)}$ | 29.22643 | 35.91599 | 41.45016 | 46.10447 |
| 0.5 | $T_1^{(5)}$ | 140.4696 | 126.9490 | 120.2002 | 116.1546 |
| | $T_1^{(6)}$ | 140.5427 | 127.0482 | 120.3256 | 116.3061 |
| | $T_{1}^{(7)}$ | 140.4062 | 126.9108 | 120.1731 | 116.1337 |
| | $T_1^{(8)}$ | 52.54547 | 60.04419 | 65.49642 | 69.63932 |
| | $T_1^{(9)}$ | 140.4696 | 126.9490 | 120.2002 | 116.1546 |
| | $T_1^{(10)}$ | 140.5777 | 127.0789 | 120.3541 | 116.3334 |
| | $T_1^{(\delta_{\alpha_1}=6.6)}$ | 303.5731 | 194.1631 | 160.4659 | 144.1040 |

Table 3: PREs of estimators for different values of W_2 and k in Situation 1

It is envisaged from Table 3 that for $\delta_{\alpha_1} = 6.6$, the proposed estimator T_1 perform efficiently than other considered estimators $T_1^{(i)}$; i = 1, 2, ..., 10 in terms of having high PRE with respect to the usual unbiased estimator $T_1^{(1)}$ for different levels of W_2 . Also, it is observed that for different values of k and W_2 the PRE of the estimators decreases but the PRE of $T_1^{(2)}$, $T_1^{(3)}$, $T_1^{(4)}$ and $T_1^{(8)}$ increases.

6 Situation-2

When non-response and measurement error are present on both the study and auxiliary variable with a known population mean μ_X of auxiliary variable *X*.



6.1 Estimator

Redefine the general class of estimators defined in the equation (17) as

$$T_{2} = [k_{12}\hat{\mu}_{Y}^{*} + k_{22}(\mu_{X} - \hat{\mu}_{X}^{*})] \left(\frac{\mu_{X}}{\hat{\mu}_{X}^{*}}\right)^{\delta_{2}} \left[\exp\left(\frac{\mu_{X} - \hat{\mu}_{X}^{*}}{\mu_{X} + \hat{\mu}_{X}^{*}}\right)\right]^{\alpha_{2}}$$
(29)

where k_{12} , k_{22} , δ_2 and α_2 are constant.

The member estimators can be written as

$$\begin{split} & 1T_{2}^{(1)} = \hat{\mu}_{Y}^{*} \\ & 2T_{2}^{(2)} = k_{12}\hat{\mu}_{Y}^{*} \\ & 3T_{2}^{(3)} = \hat{\mu}_{Y}^{*}(\frac{\mu_{X}}{\mu_{X}^{*}}) \\ & 4T_{2}^{(4)} = \hat{\mu}_{Y}^{*}(\frac{\hat{\mu}_{X}}{\mu_{X}}) \\ & 5T_{2}^{(5)} = \hat{\mu}_{Y}^{*} + k_{22}(\mu_{X} - \hat{\mu}_{X}^{*}) \\ & 6T_{2}^{(6)} = k_{12}\hat{\mu}_{Y}^{*} + k_{22}(\mu_{X} - \hat{\mu}_{X}^{*}) \\ & 7T_{2}^{(7)} = \hat{\mu}_{Y}^{*} \exp(\frac{\mu_{X} - \hat{\mu}_{X}^{*}}{\mu_{X} + \hat{\mu}_{X}^{*}}) \\ & 8T_{2}^{(8)} = \hat{\mu}_{Y}^{*} \exp(\frac{\hat{\mu}_{X}^{*} - \mu_{X}}{\mu_{X}^{*} + \mu_{X}}) \\ & 9T_{2}^{(9)} = [\hat{\mu}_{Y}^{*} + k_{22}(\mu_{X} - \hat{\mu}_{X}^{*})](\frac{\mu_{X}}{\mu_{X}^{*}}) \\ & 10T_{2}^{(10)} = [k_{12}\hat{\mu}_{Y}^{*} + k_{22}(\mu_{X} - \hat{\mu}_{X}^{*})] \exp(\frac{\mu_{X} - \hat{\mu}_{X}^{*}}{\mu_{X} + \hat{\mu}_{X}^{*}}) \end{split}$$

where k_{12} , k_{22} are suitable constant for respective estimator.

6.2 Bias and MSE

The bias and MSE of the general class of estimators defined in equation (29) can be derived as

$$Bias(T_2) = (k_{12} - 1)\mu_Y + \left[\delta_{\alpha_2}\left(k_{22} + \frac{Rk_{12}}{2}\right) + \frac{Rk_{12}}{2}\delta_{\alpha_2}^2\right]\frac{V_4}{\mu_X} - \frac{k_{12}}{\mu_X}\delta_{\alpha_2}V_5$$
(30)

$$MSE(T_2) = \mu_Y^2 + k_{12} \left[2RV_5 \delta_{\alpha_2} - 2\mu_Y^2 - R^2 V_4 \delta_{\alpha_2} - R^2 V_4 \delta_{\alpha_2}^2 \right] + k_{12}^2 \left[\mu_Y^2 + V_1 - 4RV_5 \delta_{\alpha_2} + R^2 V_4 \delta_{\alpha_2} + 2R^2 V_4 \delta_{\alpha_2}^2 \right] - 2k_{22}RV_4 \delta_{\alpha_2} + k_{22}^2 V_4 + k_{12}k_{22} \left[4RV_4 \delta_{\alpha_2} - 2V_5 \right]$$
(31)

where $\delta_{\alpha_2} = \delta_2 + \frac{\alpha_2}{2}$.

For the optimum values of k_{12} and k_{22} which is $k_{12}^o = \frac{\varphi_{32}\varphi_{52}-2\varphi_{12}\varphi_{42}}{4\varphi_{22}\varphi_{42}-\varphi_{52}^2}$; $k_{22}^o = \frac{\varphi_{12}\varphi_{52}-2\varphi_{22}\varphi_{32}}{4\varphi_{22}\varphi_{42}-\varphi_{52}^2}$, the minimum MSE of T_2 can be obtained as

$$MSE_{min}(T_2) = \mu_Y^2 - \frac{\varphi_{12}^2 \varphi_{42} + \varphi_{22} \varphi_{32}^2 - \varphi_{12} \varphi_{32} \varphi_{52}}{4\varphi_{22} \varphi_{42} - \varphi_{52}^2}$$
(32)

where $\varphi_{12} = 2RV_5\delta_{\alpha_2} - 2\mu_Y^2 - R^2V_4\delta_{\alpha_2} - R^2V_4\delta_{\alpha_2}^2$, $\varphi_{22} = \mu_Y^2 + V_1 - 4RV_5\delta_{\alpha_2} + R^2V_4\delta_{\alpha_2} + 2R^2V_4\delta_{\alpha_2}^2$, $\varphi_{32} = -2RV_4\delta_{\alpha_2}$, $\varphi_{42} = V_4$, $\varphi_{52} = 4RV_4\delta_{\alpha_2} - 2V_5$.

Minimum MSE of T_2 can also be written as

$$MSE_{min}(T_2) = \mu_Y^2 - \Upsilon_2 \tag{33}$$

where $\Upsilon_2 = \frac{\varphi_{12}^2 \varphi_{42} + \varphi_{22} \varphi_{32}^2 - \varphi_{12} \varphi_{22} \varphi_{32}}{4 \varphi_{22} \varphi_{42} - \varphi_{52}^2}.$

The bias and MSE of estimators $T_2^{(i)}$; i = 1, 2, ..., 10 upto the first order of approximation given in Table 4.

Table 4: Expressions for bias and MSE of $T_2^{(i)}$; i = 1, 2, .., 10

| Estimator | Bias | MSE/MSE _{min} and respective optimum value of constants | |
|--|---|--|--|
| $T_2^{(1)}$ | 0 | V_1 | |
| $T_2^{(2)}$ | $(k_{12}-1)\mu_Y$ | $\mu_Y^2 - rac{\mu_Y^4}{\mu_Y^2 + V_1}; k_{12}^o = rac{\mu_Y^2}{\mu_Y^2 + V_1}$ | |
| $T_2^{(3)}$ | $\frac{RV_4-V_5}{\mu_X}$ | $V_1 + R^2 V_4 - 2RV_5$ | |
| $T_2^{(4)}$ | $\frac{V_5}{\mu_X}$ | $V_1 + R^2 V_4 + 2RV_5$ | |
| $T_2^{(5)}$ | 0 | $V_1 - \frac{V_5^2}{V_4}; k_{22}^o = \frac{V_5}{V_4}$ | |
| $T_2^{(6)}$ | $(k_{12}-1)\mu_Y$ | $\mu_Y^2 - \frac{V_4 \mu_Y^4}{V_4 \mu_Y^2 + V_1 V_4 - V_5^2}; k_{12}^o = \frac{V_4 \mu_Y^2}{V_4 \mu_Y^2 + V_1 V_4 - V_5^2}, k_{22}^o = \frac{V_5 \mu_Y^2}{V_4 \mu_Y^2 + V_1 V_4 - V_5^2}$ | |
| $T_2^{(7)}$ | $\frac{3RV_4-4V_5}{8\mu_X}$ | $V_1 + \frac{1}{4}R^2V_4 - RV_5$ | |
| $T_2^{(8)}$ | $\frac{4V_5-RV_4}{8\mu_X}$ | $V_1 + \frac{1}{4}R^2V_4 + RV_5$ | |
| $T_2^{(9)}$ | $\frac{(R+k_{22})V_4-V_5}{\mu_X}$ | $V_1 - \frac{V_5^2}{V_4}; k_{22} = \frac{V_5}{V_4} - R$ | |
| $T_2^{(10)}$ | $(k_{12}-1)\mu_Y + \frac{(4k_{22}+3Rk_{12})V_4 - 4k_{12}V_5}{8\mu_X}$ | $\mu_Y^2 - \frac{P_{12}^2 P_{42} + P_{22} P_{32}^2 - P_{12} P_{32} P_{52}}{4P_{22} P_{42} - P_{52}^2}; k_{12}^o = \frac{P_{32} P_{52} - 2P_{12} P_{42}}{4P_{22} P_{42} - P_{52}^2}; k_{12}^o = \frac{P_{12} P_{52} - 2P_{22} P_{32}}{4P_{22} P_{42} - P_{52}^2}$ | |
| where $P_{12} = RV_5 - 2\mu_Y^2 - \frac{3}{4}R^2V_4$, $P_{22} = \mu_Y^2 + V_1 - 2RV_5 + R^2V_4$, $P_{32} = -RV_4$, $P_{42} = V_4$, $P_{52} = 2RV_4 - 2V_5$. | | | |

6.3 Efficiency Comparison

An estimator t_1 of population mean μ_Y is said to be more efficient than estimator t_2 if $MSE(t_1) < MSE(t_2)$. Here we have developed the conditions under which the general class of estimator T_2 works better than the estimators $T_2^{(i)}$, i = 1, 2, ..., 10.

$$\begin{split} \bullet MSE(T_2) &< MSE(T_2^{(1)}) \text{ if } \mu_Y^2 < \Upsilon_2 + V_1 \\ \bullet MSE(T_2) &< MSE(T_2^{(2)}) \text{ if } \frac{\mu_Y^4}{\mu_Y^2 + V_1} < \Upsilon_2 \\ \bullet MSE(T_2) &< MSE(T_2^{(3)}) \text{ if } \mu_Y^2 + 2RV_5 < \Upsilon_2 + V_1 + R^2V_4 \\ \bullet MSE(T_2) &< MSE(T_2^{(4)}) \text{ if } \mu_Y^2 < \Upsilon_2 + V_1 + R^2V_4 + 2RV_5 \\ \bullet MSE(T_2) &< MSE(T_2^{(5)}) \text{ if } \mu_Y^2 + \frac{V_5^2}{V_4} < \Upsilon_2 + V_1 \\ \bullet MSE(T_2) &< MSE(T_2^{(6)}) \text{ if } \frac{V_4\mu_Y^4}{V_4\mu_Y^2 + V_1V_4 - V_5^2} < \Upsilon_2 \\ \bullet MSE(T_2) &< MSE(T_2^{(7)}) \text{ if } \mu_Y^2 + RV_5 < \Upsilon_2 + V_1 + \frac{1}{4}R^2V_4 \\ \bullet MSE(T_2) &< MSE(T_2^{(8)}) \text{ if } \mu_Y^2 < \Upsilon_2 + V_1 + \frac{1}{4}R^2V_4 + RV_5 \\ \bullet MSE(T_2) &< MSE(T_2^{(9)}) \text{ if } \mu_Y^2 + \frac{V_5^2}{V_4} < \Upsilon_2 + V_1 \\ \bullet MSE(T_2) &< MSE(T_2^{(10)}) \text{ if } \frac{P_{12}^2P_{42} + P_{22}P_{32}^2 - P_{12}P_{32}P_{52}}{4P_{22}P_{42} - P_{52}^2} < \Upsilon_2 \end{split}$$

6.4 Simulation

The same data used for simulation as in the Situation 1. The percent relative efficiency (PRE) of estimators with respect to $T_2^{(1)}$ are calculated using

$$PRE(.,T_2^{(1)}) = \frac{MSE(T_2^{(1)})}{MSE(.)} \times 100$$
(34)

The result of simulation is given in Table 5.

It is noted from Table 5 that the PRE of proposed estimator T_2 when $\delta_{\alpha_2} = 4.2$ is maximum as compared to the PREs of other considered estimators. For different values of W_2 and k, the PREs of the estimators showed an increasing trend.



Table 5: PREs of estimators for different values of W_2 and k in Situation 2

S NSP



7 Situation-3

When non-response and measurement error present only on study variable with unknown μ_X . Following are the estimators obtained:

7.1 Estimator

Redefine the general class of estimators defined in equation (17) as

$$T_3 = \left[k_{13}\hat{\mu}_Y^* + k_{23}(\hat{\mu}_X' - \hat{\mu}_X)\right] \left(\frac{\hat{\mu}_X'}{\hat{\mu}_X}\right)^{\delta_3} \left[\exp\left(\frac{\hat{\mu}_X' - \hat{\mu}_X}{\hat{\mu}_X' + \hat{\mu}_X}\right)\right]^{\alpha_3}$$
(35)

where k_{13} , k_{23} , δ_3 and α_3 are constant.

The member estimators can be written as

$$\begin{split} & 1T_{3}^{(1)} = \hat{\mu}_{Y}^{*} \\ & 2T_{3}^{(2)} = k_{13}\hat{\mu}_{Y}^{*} \\ & 3T_{3}^{(3)} = \hat{\mu}_{Y}^{*}(\frac{\hat{\mu}_{X}}{\hat{\mu}_{X}}) \\ & 4T_{3}^{(4)} = \hat{\mu}_{Y}^{*}(\frac{\hat{\mu}_{X}}{\hat{\mu}_{X}'}) \\ & 5T_{3}^{(5)} = \hat{\mu}_{Y}^{*} + k_{23}(\hat{\mu}_{X}' - \hat{\mu}_{X}), \\ & 6T_{3}^{(6)} = k_{13}\hat{\mu}_{Y}^{*} + k_{23}(\hat{\mu}_{X}' - \hat{\mu}_{X}) \\ & 7T_{3}^{(7)} = \hat{\mu}_{Y}^{*}\exp(\frac{\hat{\mu}_{X}' - \hat{\mu}_{X}}{\hat{\mu}_{X}' + \hat{\mu}_{X}}) \\ & 8T_{3}^{(8)} = \hat{\mu}_{Y}^{*}\exp(\frac{\hat{\mu}_{X} - \hat{\mu}_{X}}{\hat{\mu}_{X} + \hat{\mu}_{X}'}) \\ & 9T_{3}^{(9)} = [\hat{\mu}_{Y}^{*} + k_{23}(\hat{\mu}_{X}' - \hat{\mu}_{X})](\frac{\hat{\mu}_{X}'}{\hat{\mu}_{X}}) \\ & 10T_{3}^{(10)} = [k_{13}\hat{\mu}_{Y}^{*} + k_{23}(\hat{\mu}_{X}' - \hat{\mu}_{X})]\exp(\frac{\hat{\mu}_{X}' - \hat{\mu}_{X}}{\hat{\mu}_{Y} + \hat{\mu}_{X}}) \end{split}$$

where k_{13} , k_{23} are suitable constant for respective estimator.

7.2 Bias and MSE

The bias and MSE of the general class of estimators defined in equation (35) can be derived as

$$Bias(T_3) = (k_{13} - 1)\mu_Y + \left[\delta_{\alpha_3}\left(k_{23} + \frac{Rk_{13}}{2}\right) + \frac{Rk_{13}}{2}\delta_{\alpha_3}^2\right]\frac{(V_2 - V_6)}{\mu_X} - \frac{k_{13}}{\mu_X}\delta_{\alpha_3}(V_3 - V_3')$$
(36)

$$MSE(T_3) = \mu_Y^2 + k_{13} \left[2R(V_3 - V_3')\delta_{\alpha_3} - 2\mu_Y^2 - R^2(V_2 - V_6)\delta_{\alpha_3}^2 - R^2(V_2 - V_6)\delta_{\alpha_3} \right] \\ + k_{13}^2 \left[\mu_Y^2 + V_1 + R^2(V_2 - V_6)\delta_{\alpha_3} - 4R(V_3 - V_3')\delta_{\alpha_3} + 2R^2(V_2 - V_6)\delta_{\alpha_3}^2 \right] \\ - 2k_{23}R(V_2 - V_6)\delta_{\alpha_3} + k_{23}^2(V_2 - V_6) + k_{13}k_{23} \left[4R(V_2 - V_6)\delta_{\alpha_3} - 2(V_3 - V_3') \right]$$
(37)

where $\delta_{\alpha_3} = \delta_3 + \frac{\alpha_3}{2}$.

For the optimum values of k_{13} and k_{23} which is $k_{13}^o = \frac{\varphi_{33}\varphi_{53}-2\varphi_{13}\varphi_{43}}{4\varphi_{23}\varphi_{43}-\varphi_{53}^2}$; $k_{23}^o = \frac{\varphi_{13}\varphi_{53}-2\varphi_{23}\varphi_{33}}{4\varphi_{23}\varphi_{43}-\varphi_{53}^2}$, the minimum MSE of T_3 can be obtained as

$$MSE_{min}(T_3) = \mu_Y^2 - \frac{\varphi_{13}^2 \varphi_{43} + \varphi_{23} \varphi_{33}^2 - \varphi_{13} \varphi_{33} \varphi_{53}}{4\varphi_{23} \varphi_{43} - \varphi_{53}^2}$$
(38)

where $\varphi_{13} = 2R(V_3 - V_3')\delta_{\alpha_3} - 2\mu_Y^2 - R^2(V_2 - V_6)\delta_{\alpha_3} - R^2(V_2 - V_6)\delta_{\alpha_3}^2$, $\varphi_{23} = \mu_Y^2 + V_1 - 4R(V_3 - V_3')\delta_{\alpha_3} + R^2(V_2 - V_6)\delta_{\alpha_3} + R^2(V_2 - V_6)\delta_{\alpha_3} - R^$ $2R^{2}(V_{2}-V_{6})\delta_{\alpha_{3}}^{2}, \varphi_{33} = -2R(V_{2}-V_{6})\delta_{\alpha_{3}}, \varphi_{43} = (V_{2}-V_{6}), \varphi_{53} = 4R(V_{2}-V_{6})\delta_{\alpha_{3}} - 2(V_{3}-V_{3}').$ Minimum MSE of T_3 can also be written as

$$MSE_{min}(T_3) = \mu_Y^2 - \Upsilon_3 \tag{39}$$

where $\Upsilon_3 = \frac{\varphi_{13}^2 \varphi_{43} + \varphi_{23} \varphi_{33}^2 - \varphi_{13} \varphi_{33} \varphi_{53}}{4 \varphi_{23} \varphi_{43} - \varphi_{53}^2}.$

The bias and MSE of the estimators $T_3^{(i)}$; i = 1, 2, ..., 10 upto the first order of approximation given in Table 5.



| Estimator Dias | | | | | |
|--|--|--|--|--|--|
| Estimator | Dias | MSE/MSE _{min} and respective optimum value of constants | | | |
| $T_{3}^{(1)}$ | 0 | V_1 | | | |
| $T_{3}^{(2)}$ | $(k_{13}-1)\mu_Y$ | $\mu_Y^2 - rac{\mu_Y^4}{\mu_Y^2 + V_1}; k_{13}^o = rac{\mu_Y^2}{\mu_Y^2 + V_1}$ | | | |
| $T_{3}^{(3)}$ | $\frac{R(V_2 - V_6) - (V_3 - V_3')}{\mu_X}$ | $V_1 + R^2(V_2 - V_6) - 2R(V_3 - V_3')$ | | | |
| $T_{3}^{(4)}$ | $\frac{(V_3-V_3')}{\mu_X}$ | $V_1 + R^2(V_2 - V_6) + 2R(V_3 - V_3')$ | | | |
| $T_3^{(5)}$ | 0 | $V_1 - \frac{(V_3 - V_3')^2}{(V_2 - V_6)}; k_{23}^o = \frac{(V_3 - V_3')}{(V_2 - V_6)}$ | | | |
| $T_3^{(6)}$ | $(k_{13}-1)\mu_Y$ | $\mu_Y^2 - \frac{(V_2 - V_6)\mu_Y^4}{(V_2 - V_6)(\mu_Y^2 + V_1) - (V_3 - V_3')^2}; k_{13}^o = \frac{(V_2 - V_6)\mu_Y^2}{(V_2 - V_6)(\mu_Y^2 + V_1) - (V_3 - V_3')^2},$ | | | |
| | | $k_{23}^{o} = \frac{(V_3 - V_3')\mu_Y^2}{(V_2 - V_6)(\mu_Y^2 + V_1) - (V_3 - V_3')^2}$ | | | |
| $T_3^{(7)}$ | $\frac{3R(V_2 - V_6) - 4(V_3 - V_3')}{8\mu_X}$ | $V_1 + \frac{1}{4}R^2(V_2 - V_6) - R(V_3 - V_3')$ | | | |
| $T_3^{(8)}$ | $rac{4(V_3-V_3')-R(V_2-V_6)}{8\mu_X}$ | $V_1 + \frac{1}{4}R^2(V_2 - V_6) + R(V_3 - V_3')$ | | | |
| $T_{3}^{(9)}$ | $\frac{(R+k_{23})(V_2-V_6)-(V_3-V_3')}{\mu_X}$ | $V_1 - \frac{(V_3 - V_3')^2}{(V_2 - V_6)}; k_{23} = \frac{(V_3 - V_3')}{(V_2 - V_6)} - R$ | | | |
| $T_3^{(10)}$ | $(k_{13}-1)\mu_Y + \frac{(4k_{23}+3Rk_{13})(V_2-V_6)-4k_{13}(V_3-V_3')}{8\mu_X}$ | $\mu_Y^2 - \frac{p_{13}^2 P_{43} + p_{23} p_{33}^2 - P_{13} p_{33} P_{53}}{4 P_{23} P_{43} - P_{53}^2}; k_{13}^o = \frac{p_{33} P_{53} - 2 P_{13} P_{43}}{4 P_{23} P_{43} - P_{53}^2}; k_{13}^o = \frac{P_{13} P_{33} - 2 P_{23} P_{33}}{4 P_{23} P_{43} - P_{53}^2};$ | | | |
| where $P_{13} = R(V_3 - V_3') - 2\mu_Y^2 - \frac{3}{4}R^2(V_2 - V_6), P_{23} = \mu_Y^2 + V_1 - 2R(V_3 - V_3') + R^2(V_2 - V_6), P_{33} = -R(V_2 - V_6), P_{43} = (V_2 - V_6), P_{44} = $ | | | | | |
| $P_{53} = 2R(V_2 - V_6) - 2(V_3 - V_3').$ | | | | | |

Table 6: Expressions for bias and MSE of $T_3^{(i)}$; i = 1, 2, ..., 10

7.3 Efficiency Comparison

An estimator t_1 of population mean μ_Y is said to be more efficient than estimator t_2 if $MSE(t_1) < MSE(t_2)$. Here we have developed the conditions under which the proposed general class of estimator T_3 is better than the estimators $T_3^{(i)}$, i = 1, 2, ..., 10.

$$\begin{split} \bullet MSE(T_3) &< MSE(T_3^{(1)}) \text{ if } \mu_Y^2 < \Upsilon_3 + V_1 \\ \bullet MSE(T_3) &< MSE(T_3^{(2)}) \text{ if } \frac{\mu_Y^4}{\mu_Y^2 + V_1} < \Upsilon_3 \\ \bullet MSE(T_3) &< MSE(T_3^{(3)}) \text{ if } \mu_Y^2 + 2R(V_3 - V_3') < \Upsilon_3 + V_1 + R^2(V_2 - V_6) \\ \bullet MSE(T_3) &< MSE(T_3^{(4)}) \text{ if } \mu_Y^2 < \Upsilon_3 + V_1 + R^2(V_2 - V_6) + 2R(V_3 - V_3') \\ \bullet MSE(T_3) &< MSE(T_3^{(5)}) \text{ if } \mu_Y^2 + \frac{(V_3 - V_3')^2}{(V_2 - V_6)} < \Upsilon_3 + V_1 \\ \bullet MSE(T_3) &< MSE(T_3^{(6)}) \text{ if } \frac{(V_2 - V_6)\mu_Y^4}{(V_2 - V_6)\mu_Y^2 + V_1(V_2 - V_6) - (V_3 - V_3')^2} < \Upsilon_3 \\ \bullet MSE(T_3) &< MSE(T_3^{(7)}) \text{ if } \mu_Y^2 + R(V_3 - V_3') < \Upsilon_3 + V_1 + \frac{1}{4}R^2(V_2 - V_6) \\ \bullet MSE(T_3) &< MSE(T_3^{(8)}) \text{ if } \mu_Y^2 < \Upsilon_3 + V_1 + \frac{1}{4}R^2(V_2 - V_6) + R(V_3 - V_3') \\ \bullet MSE(T_3) &< MSE(T_3^{(9)}) \text{ if } \mu_Y^2 + \frac{(V_3 - V_3')^2}{(V_2 - V_6)} < \Upsilon_3 + V_1 \\ \bullet MSE(T_3) &< MSE(T_3^{(9)}) \text{ if } \mu_Y^2 + \frac{(V_3 - V_3')^2}{(V_2 - V_6)} < \Upsilon_3 + V_1 \\ \bullet MSE(T_3) &< MSE(T_3^{(10)}) \text{ if } \frac{P_{13}^2 P_{43} + P_{23} P_{33}^2 - P_{13} P_{33} P_{53}}{4P_{23} P_{43} - P_{53}^2} < \Upsilon_3 \end{split}$$

7.4 Simulation

The data used to perform simulation are: N = 5000, n = 500 n' = 1000, X = rnorm(N, 10, 5), Y = 1 + 3 * X + rnorm(N, 0, 1), y = Y + rnorm(N, 0, 5), x = X + rnorm(N, 0, 5), U = y - Y, V = x - X. For different response rate, the result of the simulation is given in Table 7. For a better approximation, we have averaged the result over 25000 iterations.

The percent relative efficiency (PRE) of estimators with respect to $T_3^{(1)}$ are calculated using

$$PRE(.,T_3^{(1)}) = \frac{MSE(T_3^{(1)})}{MSE(.)} \times 100$$
(40)



| | | PRE of estimators with respect to $T_3^{(1)}$ | | | |
|-------|---------------------------------|---|----------|----------|----------|
| W_2 | Estimator | 1/k | | | |
| | (1) | 1/2 | 1/3 | 1/4 | 1/5 |
| | $T_{3}^{(1)}$ | 100 | 100 | 100 | 100 |
| | $T_{3}^{(2)}$ | 100.0522 | 100.0575 | 100.0627 | 100.0679 |
| | $T_{3}^{(3)}$ | 70.72865 | 72.66183 | 74.35548 | 75.85153 |
| | $T_{3_{(7)}}^{(4)}$ | 30.61407 | 32.67473 | 34.61653 | 36.44945 |
| | $T_{3}^{(5)}$ | 119.0567 | 117.0296 | 115.3924 | 114.0423 |
| 0.1 | $T_{3_{(-)}}^{(6)}$ | 119.1089 | 117.0871 | 115.4550 | 114.1102 |
| | $T_{3_{(1)}}^{(7)}$ | 114.6938 | 113.1822 | 111.9526 | 110.9329 |
| | $T_3^{(8)}$ | 55.61132 | 57.94931 | 60.05335 | 61.95686 |
| | $T_{3}^{(9)}$ | 119.0567 | 117.0296 | 115.3924 | 114.0423 |
| | $T_3^{(10)}$ | 119.1319 | 117.1094 | 115.4769 | 114.1316 |
| | $T_3^{(\delta_{\alpha_3}=8.1)}$ | 3568.4635 | 831.1187 | 507.0318 | 381.2519 |
| | $T_{3}^{(1)}$ | 100 | 100 | 100 | 100 |
| | $T_{3}^{(2)}$ | 100.0627 | 100.0784 | 100.0940 | 100.1097 |
| | $T_{3}^{(3)}$ | 74.35704 | 78.37690 | 81.30722 | 83.53811 |
| | $T_{3}^{(4)}$ | 34.61838 | 39.82651 | 44.26613 | 48.09564 |
| | $T_3^{(5)}$ | 115.3909 | 111.9448 | 109.7596 | 108.2503 |
| 0.3 | $T_{3_{(-)}}^{(6)}$ | 115.4536 | 112.0232 | 109.8537 | 108.3600 |
| | $T_{3_{(1)}}^{(7)}$ | 111.9515 | 109.3379 | 107.6622 | 106.4965 |
| | $T_{3_{(2)}}^{(8)}$ | 60.05531 | 65.26997 | 69.28034 | 72.46040 |
| | $T_3^{(9)}$ | 115.3909 | 111.9448 | 109.7596 | 108.2503 |
| | $T_3^{(10)}$ | 115.4754 | 112.0440 | 109.8739 | 108.3798 |
| | $T_3^{(\delta_{\alpha_3}=8.1)}$ | 506.8538 | 272.8734 | 208.6849 | 178.6818 |
| | $T_{3}^{(1)}$ | 100 | 100 | 100 | 100 |
| | $T_{3}^{(2)}$ | 100.0731 | 100.0993 | 100.1254 | 100.1515 |
| | $T_{3}^{(3)}$ | 77.18481 | 82.11526 | 85.29341 | 87.51246 |
| | $T_{3}^{(4)}$ | 38.18531 | 45.60413 | 51.43299 | 56.13356 |
| 0.5 | $T_{3}^{(5)}$ | 112.9082 | 109.1986 | 107.1452 | 105.8413 |
| | $T_{3}^{(6)}$ | 112.9813 | 109.2979 | 107.2706 | 105.9928 |
| | $T_{3}^{(7)}$ | 110.0720 | 107.2297 | 105.6385 | 104.6214 |
| | $T_{3}^{(8)}$ | 63.69005 | 70.41912 | 75.04403 | 78.41829 |
| | $T_{3}^{(9)}$ | 112.9082 | 109.1986 | 107.1452 | 105.8413 |
| | $T_3^{(10)}$ | 113.0024 | 109.3179 | 107.2901 | 106.0119 |
| | $T_3^{(\delta_{\alpha_3}=8.1)}$ | 314.3192 | 196.5229 | 161.3007 | 144.3721 |

Table 7: PREs of estimators for different values of W_2 and k in Situation 3

From Table 7, it is clear that the PRE of the proposed estimator T_3 at $\delta_{\alpha_3} = 8.1$ is maximum among the other estimators with respect to $T_3^1 = \hat{\mu}_Y^*$. For increasing values of W_2 and k, the estimators T_3^2 , T_3^3 , T_3^4 and T_3^8 increases while the estimators T_3^5 , T_3^6 , T_3^7 , T_3^9 , T_3^{10} and $T_3^{(\delta_{\alpha_3}=8.1)}$ decreases.

8 Situation-4

When non-response and measurement error present in study as well as auxiliary variable with unknown μ_X . Following are the estimators:

8.1 Estimator

Redefine the general class of estimators defined in equation (17) as

$$T_4 = [k_{14}\hat{\mu}_Y^* + k_{24}(\hat{\mu}_X' - \hat{\mu}_X^*)] \left(\frac{\hat{\mu}_X'}{\hat{\mu}_X^*}\right)^{\delta_4} \left[\exp\left(\frac{\hat{\mu}_X' - \hat{\mu}_X^*}{\hat{\mu}_X' + \hat{\mu}_X^*}\right)\right]^{\alpha_4}$$
(41)

where k_{14} , k_{24} , δ_4 and α_4 are constant.

The member estimators can be written as
$$(1)$$

$$\begin{split} & 1T_4^{(1)} = \hat{\mu}_Y^* \\ & 2T_4^{(2)} = k_{14}\hat{\mu}_Y^* \\ & 3T_4^{(3)} = \hat{\mu}_Y^*(\frac{\hat{\mu}_X'}{\hat{\mu}_X^*}) \\ & 4T_4^{(4)} = \hat{\mu}_Y^*(\frac{\hat{\mu}_X}{\hat{\mu}_X}) \\ & 5T_4^{(5)} = \hat{\mu}_Y^* + k_{24}(\hat{\mu}_X' - \hat{\mu}_X^*), \\ & 6T_4^{(6)} = k_{14}\hat{\mu}_Y^* + k_{24}(\hat{\mu}_X' - \hat{\mu}_X^*) \\ & 7T_4^{(7)} = \hat{\mu}_Y^* \exp(\frac{\hat{\mu}_X' - \hat{\mu}_X}{\hat{\mu}_X' + \hat{\mu}_X'}) \\ & 8T_4^{(8)} = \hat{\mu}_Y^* \exp(\frac{\hat{\mu}_X' - \hat{\mu}_X}{\hat{\mu}_X' + \hat{\mu}_X'}) \\ & 9T_4^{(9)} = [\hat{\mu}_Y^* + k_{24}(\hat{\mu}_X' - \hat{\mu}_X^*)](\frac{\hat{\mu}_X'}{\hat{\mu}_X'}) \\ & 10T_4^{(10)} = [k_{14}\hat{\mu}_Y^* + k_{24}(\hat{\mu}_X' - \hat{\mu}_X^*)] \exp(\frac{\hat{\mu}_X' - \hat{\mu}_X}{\hat{\mu}_X' + \hat{\mu}_X^*}) \\ \end{split}$$

where k_{14} , k_{24} are suitable constant for respective estimator.

8.2 Bias and MSE

The bias and MSE of the general class of estimators defined in equation (41) can be derived as

$$Bias(T_4) = (k_{14} - 1)\mu_Y + \left[\delta_{\alpha_4}\left(k_{24} + \frac{Rk_{14}}{2}\right) + \frac{Rk_{14}}{2}\delta_{\alpha_4}^2\right]\frac{(V_4 - V_6)}{\mu_X} - \frac{k_{14}}{\mu_X}\delta_{\alpha_4}(V_5 - V_3')$$
(42)

$$MSE(T_4) = \mu_Y^2 + k_{14} \left[2R(V_5 - V_3')\delta_{\alpha_4} - 2\mu_Y^2 - R^2(V_4 - V_6)\delta_{\alpha_4}^2 - R^2(V_4 - V_6)\delta_{\alpha_4} \right] \\ + k_{14}^2 \left[R^2(V_4 - V_6)\delta_{\alpha_4} - 4R(V_5 - V_3')\delta_{\alpha_4} + 2R^2(V_4 - V_6)\delta_{\alpha_4}^2 + \mu_Y^2 + V_1 \right] \\ - 2k_{24}R(V_4 - V_6)\delta_{\alpha_4} + k_{24}^2(V_4 - V_6) + k_{14}k_{24} \left[4R(V_4 - V_6)\delta_{\alpha_4} - 2(V_5 - V_3') \right]$$
(43)

where $\delta_{\alpha_4} = \delta_4 + \frac{\alpha_4}{2}$.

For the optimum values of k_{14} and k_{24} which is $k_{14}^o = \frac{\varphi_{34}\varphi_{54} - 2\varphi_{14}\varphi_{44}}{4\varphi_{24}\varphi_{44} - \varphi_{54}^2}$; $k_{24}^o = \frac{\varphi_{14}\varphi_{54} - 2\varphi_{24}\varphi_{34}}{4\varphi_{24}\varphi_{44} - \varphi_{54}^2}$, the minimum MSE of T_4 can be obtained as

$$MSE_{min}(T_4) = \mu_Y^2 - \frac{\varphi_{14}^2 \varphi_{44} + \varphi_{24} \varphi_{34}^2 - \varphi_{14} \varphi_{34} \varphi_{54}}{4\varphi_{24} \varphi_{44} - \varphi_{54}^2}$$
(44)

where $\varphi_{14} = 2R(V_5 - V'_3)\delta_{\alpha_4} - 2\mu_Y^2 - R^2(V_4 - V_6)\delta_{\alpha_4} - R^2(V_4 - V_6)\delta_{\alpha_4}^2$, $\varphi_{24} = \mu_Y^2 + V_1 - 4R(V_5 - V'_3)\delta_{\alpha_4} + R^2(V_4 - V_6)\delta_{\alpha_4} + 2R^2(V_4 - V_6)\delta_{\alpha_4}^2$, $\varphi_{34} = -2R(V_4 - V_6)\delta_{\alpha_4}$, $\varphi_{44} = (V_4 - V_6)$, $\varphi_{54} = 4R(V_4 - V_6)\delta_{\alpha_4} - 2(V_5 - V'_3)$. Minimum MSE of T_4 can also be written as

$$MSE_{min}(T_4) = \mu_Y^2 - \Upsilon_4 \tag{45}$$

where $\Upsilon_4 = \frac{\varphi_{14}^2 \varphi_{44} + \varphi_{24} \varphi_{34}^2 - \varphi_{14} \varphi_{34} \varphi_{54}}{4 \varphi_{24} \varphi_{44} - \varphi_{54}^2}.$

The bias and MSE of the estimators $T_4^{(i)}$; i = 1, 2, ..., 10 upto the first order of approximation given in Table 6.

| $\mathbf{H}_{\mathbf{A}} = \mathbf{A}_{\mathbf{A}} + $ | | | | |
|--|--|--|--|--|
| Estimator | Bias | MSE/MSE _{min} and respective optimum value of constants | | |
| $T_{4}^{(1)}$ | 0 | V_1 | | |
| $T_4^{(2)}$ | $(k_{14}-1)\mu_Y$ | $\mu_Y^2 - \frac{\mu_Y^4}{\mu_v^2 + V_1}; k_{14}^o = \frac{\mu_Y^2}{\mu_v^2 + V_1}$ | | |
| $T_4^{(3)}$ | $\frac{R(V_4-V_6)-(V_5-V_3')}{\mu_{\rm X}}$ | $V_1 + R^2(V_4 - V_6) - 2R(V_5 - V'_3)$ | | |
| $T_{4}^{(4)}$ | $\frac{(V_5-V'_3)}{\mu_X}$ | $V_1 + R^2(V_4 - V_6) + 2R(V_5 - V_3')$ | | |
| $T_4^{(5)}$ | 0 | $V_1 - \frac{(V_5 - V_3')^2}{(V_4 - V_6)^2}; k_{24}^o = \frac{(V_5 - V_3')}{(V_4 - V_6)^2}$ | | |
| $T_4^{(6)}$ | $(k_{14}-1)\mu_Y$ | $\mu_Y^2 - \frac{(V_4 - V_6)\mu_Y^4}{(V_4 - V_6)(\mu_Y^2 + V_1) - (V_5 - V_3')^2}; k_{14}^o = \frac{(V_4 - V_6)\mu_Y^2}{(V_4 - V_6)(\mu_Y^2 + V_1) - (V_5 - V_3')^2},$ | | |
| | | $k_{24}^{o} = \frac{(V_5 - V_3')\mu_F^2}{(V_4 - V_6)(\mu_F^2 + V_1) - (V_5 - V_3')^2}$ | | |
| $T_4^{(7)}$ | $\frac{3R(V_4 - V_6) - 4(V_5 - V_3')}{8\mu_X}$ | $V_1 + \frac{1}{4}R^2(V_4 - V_6) - R(V_5 - V_3')$ | | |
| $T_4^{(8)}$ | $\frac{4(V_5-V'_3)-\tilde{R}(V_4-V_6)}{8\mu_X}$ | $V_1 + \frac{1}{4}R^2(V_4 - V_6) + R(V_5 - V_3')$ | | |
| $T_4^{(9)}$ | $\frac{(R+k_{24})(\dot{V}_4-V_6)-(V_5-V'_3)}{\mu_{\chi}}$ | $V_1 - \frac{(V_5 - V_3')^2}{(V_4 - V_6)}; k_{24} = \frac{(V_5 - V_3')}{(V_4 - V_6)} - R$ | | |
| $T_4^{(10)}$ | $(k_{14}-1)\mu_Y + \frac{(4k_{24}+3Rk_{14})(V_4-V_6)-4k_{14}(V_5-V_3')}{8\mu_X}$ | $\mu_Y^2 - \frac{P_{14}^2 P_{44} + P_{24} P_{34}^2 - P_{14} P_{34} P_{54}}{4 P_{24} P_{44} - P_{54}^2}; k_{14}^o = \frac{P_{34} P_{54} - 2 P_{14} P_{44}}{4 P_{24} P_{44} - P_{54}^2}; k_{14}^o = \frac{P_{14} P_{54} - 2 P_{24} P_{34}}{4 P_{24} P_{44} - P_{54}^2};$ | | |
| where $P_{14} = R(V_5 - V_3') - 2\mu_Y^2 - \frac{3}{4}R^2(V_4 - V_6), P_{24} = \mu_Y^2 + V_1 - 2R(V_5 - V_3') + R^2(V_4 - V_6), P_{34} = -R(V_4 - V_6), P_{44} = (V_4 - V_6), P_{44} = $ | | | | |
| $P_{54} = 2R(V_4 - V_6) - 2(V_5 - V_3').$ | | | | |

Table 8: Expressions for bias and MSE of $T_i^{(i)}$: $i = 1, 2, \dots, 10$

8.3 Efficiency Comparison

An estimator t_1 of population mean μ_Y is said to be more efficient than estimator t_2 if $MSE(t_1) < MSE(t_2)$. Here we have developed the conditions under which the proposed general class of estimator T_4 is better than the estimators $T_4^{(i)}$, i = 1, 2, ..., 10.(1)

$$\begin{split} \bullet MSE(T_4) &< MSE(T_4^{(1)}) \text{ if } \mu_Y^2 < \Upsilon_4 + V_1 \\ \bullet MSE(T_4) &< MSE(T_4^{(2)}) \text{ if } \frac{\mu_Y^4}{\mu_Y^2 + V_1} < \Upsilon_4 \\ \bullet MSE(T_4) &< MSE(T_4^{(3)}) \text{ if } \mu_Y^2 + 2R(V_5 - V_3') < \Upsilon_4 + V_1 + R^2(V_4 - V_6) \\ \bullet MSE(T_4) &< MSE(T_4^{(4)}) \text{ if } \mu_Y^2 < \Upsilon_4 + V_1 + R^2(V_4 - V_6) + 2R(V_5 - V_3') \\ \bullet MSE(T_4) &< MSE(T_4^{(5)}) \text{ if } \mu_Y^2 + \frac{(V_5 - V_3')^2}{(V_4 - V_6)} < \Upsilon_4 + V_1 \\ \bullet MSE(T_4) &< MSE(T_4^{(6)}) \text{ if } \frac{(V_4 - V_6)\mu_Y^4}{(V_4 - V_6)\mu_Y^2 + V_1(V_4 - V_6) - (V_5 - V_3')^2} < \Upsilon_4 \\ \bullet MSE(T_4) &< MSE(T_4^{(7)}) \text{ if } \mu_Y^2 + R(V_5 - V_3') < \Upsilon_4 + V_1 + \frac{1}{4}R^2(V_4 - V_6) \\ \bullet MSE(T_4) &< MSE(T_4^{(8)}) \text{ if } \mu_Y^2 < \Upsilon_4 + V_1 + \frac{1}{4}R^2(V_4 - V_6) + R(V_5 - V_3') \\ \bullet MSE(T_4) &< MSE(T_4^{(9)}) \text{ if } \mu_Y^2 + \frac{(V_5 - V_3')^2}{(V_4 - V_6)} < \Upsilon_4 + V_1 \\ \bullet MSE(T_4) &< MSE(T_4^{(10)}) \text{ if } \frac{P_{14}^2 P_{44} + P_{24} P_{34}^2 - P_{14} P_{34} P_{54}}{4P_{24} P_{44} - P_{54}^2} < \Upsilon_4 \end{split}$$

8.4 Simulation

The same data used for simulation as in the Situation 3. The percent relative efficiency (PRE) of estimators with respect to $T_4^{(1)}$ are calculated using

$$PRE(.,T_4^{(1)}) = \frac{MSE(T_4^{(1)})}{MSE(.)} \times 100$$
(46)

The result of simulation is given in Table 9.

It is envisaged from Table 9 that the proposed estimator T_4 at $\delta_{\alpha_4} = -3.4$ is the maximum among the other considered estimators. The estimators $T_4^{(4)}$ and $T_4^{(8)}$ showed decreasing trend with the increase in the value of W_2 and k, while other estimators increased, respectively.

From the simulation study on all four situations, it is clear that the proposed estimator T performs efficiently in terms of having maximum PRE among the other considered estimators.



Table 9: PREs of estimators for different values of W_2 and k in Situation 4



9 Conclusion

In the present study, we have suggested a general class of estimators for estimating the population mean of the study variable by using the auxiliary variable in four different situations viz Situation 1 and 3: When non-response and measurement errors are present only on the study variable with known and unknown μ_X , respectively; Situation 2 and 4: When non-response and measurement errors are present on both the study as well as auxiliary variable with known and unknown μ_X , respectively. Some members of the proposed estimators in all situations have been obtained which are the well established estimators like Searl's ($T^{(2)}$), Cochran's ($T^{(3)}$), Murthy's ($T^{(4)}$), Cochran's ($T^{(5)}$), Rao's ($T^{(6)}$), Bahl and Tuteja's ($T^{(7)}$ and $T^{(8)}$), Kadilar and Cingi's ($T^{(9)}$) and Grover and Kour's ($T^{(10)}$) estimators. The expressions of the bias and MSE of the proposed estimators have been obtained along with all other estimators in all situations. Also, the conditions have been obtained under which the proposed estimators are efficient as compared to the other considered estimators. Further, the theoretical results have been verified through a simulation study. The simulation results show that the proposed class of estimators perform efficiently as compared to the usual estimator, Searl estimator, Cochran's estimator, Murthy's estimator, Rao's estimator, Bahl and Tuteja' estimator in all the situations and for different values to W_2 and k.

Overall, we recommend our proposed class of estimators which are efficient as compared to the well-known existing estimators in different situations in the simultaneous presence of non-response and measurement error on both the study as well as auxiliary variables.

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