

# Time-Convolutionless Master Equation for Multi-Level Open Quantum Systems with Initial System-Environment Correlations

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Received: 22 Feb. 2019, Revised: 22 Apr. 2019, Accepted: 26 Apr. 2019

Published online: 1 Sep. 2019

**Abstract:** Being closed and homogeneous in the reduced statistical operator, exact and approximate time-convolutionless differential master equations are derived by means of the projection operator method for the reduced statistical operator of a multi-level quantum system with finite number  $N$  of quantum energy eigenstates interacting with arbitrary external deterministic fields and dissipative environment simultaneously. Despite being homogeneous, these equations take into account initial correlations between the multi-level system and the environment.

**Keywords:** time-convolutionless master equation, multi-level quantum system, reduced statistical operator, open system, projection operator, operator algebra, initial correlations

## 1 Introduction

Nowadays, theoretical research activities in virtually every domain of applied physics imply, in effect, studies of various models of open quantum systems driven by external fields and interacting with their respective environments simultaneously. Under general circumstances, quantum objects can rarely be considered as closed systems isolated from their environment.

As a rule, the environment affects the dynamics of a quantum system significantly by leaking the energy from the system by means of irreversible dissipative processes and also by inducing decoherence processes within it. As a conventional starting point in most studies of open quantum systems, the quantum system of interest and its environment are considered to be a closed system. This compound system is described by some model Hamiltonian which governs its combined unitary and reversible dynamics. In most instances of practical importance, such a total system possesses an enormous, often infinite, number of degrees of freedom. Most of these degrees belong to the environment, and cannot be observed or controlled individually by any currently available experimental technique and, as a consequence, the detailed knowledge about their behavior is of little

interest for any practical purposes. Equally, from the purely theoretical point of view, there is no way to deduce explicitly from the original model Hamiltonian a total statistical operator describing the evolving non-equilibrium entangled state of the system and the environment altogether for overwhelming majority of physically relevant realistic models. However, it is possible, at least formally, to trace out the environmental degrees of freedom from the total statistical operator. The ensuing reduced statistical operator describes only the dynamics of the quantum system of interest.

Hence, the first step in nearly any theoretical study in the field of open quantum systems is to derive the so-called master equation, i.e. the equation of motion for the reduced statistical operator. This equation provides one with complete knowledge about the evolution of the quantum state of the system and allows to study the processes of energy dissipation and decoherence. Actually, any such master equation describes a complicated process of the system's self-interaction. First, the system of interest interacts with the environment while changing its own state and the state of the environment in the process. Thereafter, the changed state of the environment affects the state of the system, changing its state. So, in effect, it looks like the system

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interacts with itself, so that the state of the system at any given time is influenced by its states at all previous moments of time, i.e. the dynamics of the system is stipulated by its history.

An essential and very important feature of master equations is that they are formally exact equations, closed in reduced statistical operators. In most cases these formally exact master equations are not tractable in their original form. Nevertheless, they are invaluable for subsequent derivation of various approximate master equations which, in their turn, can be treated analytically and/or numerically. Numerous approaches to derivation of master equations have been proposed so far and stay in common usage currently. The most rigorous of them are the microscopic ones which start with explicitly formulated model Hamiltonian being the generator of unitary and reversible dynamics of the total system. This dynamics is defined by the Liouville-von Neumann equation for the total statistical operator describing the entangled state of the quantum system and its environment.

Among microscopic approaches, broadly applicable projection operator formalism, proposed in [1], proved itself to be flexible and universal enough mathematical tool. This approach allows to derive formally exact closed with regard to the reduced statistical operator integra-differential time-local (time-convolution) or purely differential time-nonlocal (time-convolutionless) equations [2-5], albeit with one essential caveat: in general case these equations contain non-homogeneous terms with regard to the reduced statistical operator additive terms for arbitrary initial conditions. These terms represent formidable obstacles for subsequent attempts at numerical or analytical solution of exact and approximate master equations by conventional methods. The non-homogeneous terms disappear if the total statistical operator can be factorized at the initial moment of time, e.g. if the system and its environment are initially independent. This assumption seems plausible for a great number of realistic experimental setups but, of course, it is not justified in general unless the initial uncorrelated state can be prepared on purpose artificially.

In this study we are preoccupied with a broad class of open quantum systems of significant practical importance in various fields of physics, all of them allowing description by essentially one and the same model of an open quantum system possessing finite number of energy eigenstates and driven by multiple external deterministic fields of various nature while interacting simultaneously with its environment. That said environment is comprised of a multitude of heat baths of various nature and structure being represented by their own respective either quantum or stochastic classical models. For example, in the domain of quantum optics such a model may represent an N-level one-electron atom excited by external electromagnetic semiclassical (laser) fields and interacting with an environment made of plurality of electromagnetic modes in free space or in a cavity.

Alternatively, the same model may describe an open quantum system of reduced dimensionality, like a quantum dot, interacting with external fields and its environment made of not only electromagnetic modes but also of quantized collective solid state quasi-particle excitations, like phonons, for example. Generally, for both these models, the external fields are applied to a quantum system being already correlated with its environment to some degree. Typically, the system and its environment are in the state of thermal equilibrium initially and their correlations can not always be neglected. Therefore, conventional homogeneous master equations are not useful anymore in this case. At the same time, it is highly desirable to derive master equations which account for initial correlations while preserving homogeneity in reduced statistical operator.

In this work we employ well-established projection operator techniques supplemented with representation of an N-level open quantum system in terms of the  $SU(N)$  operator algebra formalism in order to derive exact and approximate time-convolutionless homogeneous master equations for the reduced statistical operator of the N-level quantum system while taking into account along with ideas recently outlined in [6] the correlations between the system and its environment being initially in the state of thermal equilibrium.

The paper is organized in the following way. In Section 2 general features pertinent to the driven dynamics of a multi-level quantum system interacting with arbitrary external deterministic fields are discussed. In Section 3 we derive formally exact, as well as approximate, time-convolutionless, or time-local, differential equations for the reduced statistical operator of the quantum system in question under the assumption that the system and its environment are uncorrelated at the initial moment of time. In Section 4 this assumption is avoided in favor of much more general and plausible assumption that the system and the environment are in the state of thermal equilibrium initially. Under this assumption, formally exact time-convolutionless differential equation for the reduced statistical operator of the quantum system is derived. This equation is closed and homogeneous in the reduced statistical operator. An approximate time-convolutionless differential equation for the reduced statistical operator is derived in the second order of the system-environment interaction strength as well. This approximate equation is thoroughly analyzed in Section 5 by means of its proper reformulation in terms of the  $SU(N)$  algebra representation of the quantum system dynamics previously outlined in Section 2. Finally, Section 6 summarizes the results.

## 2 Dynamics of a multi-level quantum system driven by deterministic external fields

Typical Hamiltonian of an open multi-level quantum system driven by deterministic external fields can be written down as

$$\hat{H}(t) = \hat{H}_S(t) + \hat{H}_B + \hat{H}_{SB}, \quad (1)$$

where  $\hat{H}_B$  stands for the Hamiltonian of the environment  $B$  and  $\hat{H}_{SB}$  describes the interaction between the system  $S$  and the environment. The driven dynamics of the system  $S$ , induced solely by external fields of arbitrary nature and time dependence, is governed by the following Hamiltonian

$$\hat{H}_S(t) = \sum_m^N E_m \hat{\sigma}_{mm} + \sum_{m,n}^N V_{mn}(t) \hat{\sigma}_{mn}, \quad (2)$$

where  $N$  is the number of the system energy eigenstates with their corresponding eigenvalues  $E_m$ , and the projection and transition operators  $\hat{\sigma}_{mn} = |m\rangle\langle n|$  are given in the standard bra- and ket- notation, so that conventional commutation relations hold

$$[\hat{\sigma}_{ij}, \hat{\sigma}_{kl}] = \hat{\sigma}_{il} \delta_{jk} - \hat{\sigma}_{kj} \delta_{il}. \quad (3)$$

The factors  $V_{mn}(t)$  account for the intensity and time dependence of the external fields. It is assumed that these fields are switched on at the time moment  $t_0$ , so that

$$V_{mn}(t) = 0 \quad \text{for } t \leq t_0 \quad \forall m, n. \quad (4)$$

The driven dynamics of the system  $S$  is governed by the Liouville-von Neumann equation for its statistical operator

$$\frac{\partial}{\partial t} \hat{\rho}_S(t) = -\frac{i}{\hbar} [\hat{H}_S(t), \hat{\rho}_S(t)]. \quad (5)$$

Three groups of Hermitian operators  $u$ ,  $v$  and  $w$  were introduced in [7]:

$$\hat{u}_{jk} = \hat{\sigma}_{jk} + \hat{\sigma}_{kj}, \hat{v}_{jk} = -i(\hat{\sigma}_{jk} - \hat{\sigma}_{kj}), 1 \leq j < k \leq N, \quad (6)$$

$$\hat{w}_l = -\left(\frac{2}{l(l+1)}\right)^{1/2} (\hat{\sigma}_{11} + \dots + \hat{\sigma}_{ll} - l\hat{\sigma}_{l+1,l+1}),$$

$$1 \leq l \leq N-1, \quad (7)$$

so that there are  $N^2 - 1$  operator variables totally. These operators are the generators of the  $SU(N)$  algebra, and if a vector  $\hat{\mathbf{s}}$  is defined as an ordered sequence of these operators as

$$\hat{\mathbf{s}} = (\hat{u}_{12}, \dots, \hat{u}_{N-1,N}, \hat{v}_{12}, \dots, \hat{v}_{N-1,N}, \hat{w}_1, \dots, \hat{w}_{N-1}), \quad (8)$$

then its components  $\hat{s}_i$  satisfy commutation relations

$$[\hat{s}_j, \hat{s}_k] = 2i \sum_{l=1}^{N^2-1} f_{jkl} \hat{s}_l, \quad (9)$$

where  $f_{jkl}$  is a completely antisymmetric structure tensor of the  $SU(N)$  algebra. In terms of the vector  $\hat{\mathbf{s}}$  components the statistical operator and Hamiltonian (2) take their respective forms

$$\hat{\rho}_S(t) = N^{-1} \hat{I} + \frac{1}{2} \sum_{j=1}^{N^2-1} S_j(t) \hat{s}_j, \quad (10)$$

$$\hat{H}_S(t) = \frac{\hbar}{2} \sum_{j=1}^{N^2-1} \Gamma_j(t) \hat{s}_j + C(t) \hat{I}, \quad (11)$$

where the coefficients  $S_j(t)$  and  $\Gamma_j(t)$  are defined as

$$S_j(t) = Sp_S\{\hat{\rho}_S(t) \hat{s}_j\}, \quad (12)$$

$$\Gamma_j(t) = \hbar^{-1} Sp_S\{\hat{H}_S(t) \hat{s}_j\}, \quad C(t) = N^{-1} Sp_S\{\hat{H}_S(t)\}. \quad (13)$$

Expressions (10-13) were derived by means of the identity

$$Sp_S\{\hat{s}_j \hat{s}_k\} = 2\delta_{jk}, \quad (14)$$

which can also be employed for the calculation of the structure tensor  $f_{jkl}$  by multiplying relation (9) with arbitrary vector component  $\hat{s}_p$  and tracing its both sides as

$$Sp_S\{\hat{s}_j \hat{s}_k \hat{s}_p\} - Sp_S\{\hat{s}_k \hat{s}_j \hat{s}_p\} = 4if_{jkp}, \quad (15)$$

where from

$$f_{jkp} = \frac{i}{4} (Sp_S\{\hat{s}_k \hat{s}_j \hat{s}_p\} - Sp_S\{\hat{s}_j \hat{s}_k \hat{s}_p\}). \quad (16)$$

The last term in Eq.(11) is proportional to the identity operator  $\hat{I}$ , it does not affect the system dynamics and is omitted in what follows. Then, a system of ordinary differential equations for the vector made of coefficients  $\mathbf{S}$

$$\frac{d}{dt} S_i(t) = \sum_{j,k=1}^{N^2-1} f_{ijk} \Gamma_j(t) S_k(t) \quad (17)$$

follows from Eqs.(5), (10) and (14). All components of the vector  $\mathbf{S}$  are real numbers and, due to complete antisymmetry of the tensor  $f_{ijk}$ , the length of the vector  $\mathbf{S}$  is conserved. Therefore, Eqs.(17) describe the rotation of

this vector in the space of  $N^2 - 1$  dimensions. Let us notice that all the operators  $\hat{s}_j$  are traceless, i.e.  $Sp\{\hat{s}_j\} = 0$ , so that the probability conservation condition for the statistical operator  $\hat{\rho}_S(t)$  presented in the form (10) is satisfied automatically for arbitrary values of the coefficients  $S_j(t)$ , which may be of convenience upon usage of approximative numerical methods to calculate them. Let us consider now a system of the Heizenberg equations

$$\frac{\partial}{\partial t} \hat{s}_l(t) = \frac{i}{\hbar} [\hat{H}_S(t), \hat{s}_l(t)], \quad l = 1, \dots, N^2 - 1 \quad (18)$$

for the operator components  $\hat{s}_l(t)$ . Its solutions can always be written down as

$$\hat{s}_l(t, t_0) = \sum_{p=1}^{N^2-1} C_{lp}(t, t_0) \hat{s}_p,$$

$$C_{lp}(t_0, t_0) = \delta_{lp}, \quad l = 1, \dots, N^2 - 1. \quad (19)$$

From this ansatz and also from relations (9) and (11) a system of equations

$$\frac{d}{dt} C_{lp}(t, t_0) = - \sum_{j,k=1}^{N^2-1} f_{pjk} \Gamma_j(t) C_{lk}(t, t_0),$$

$$C_{lp}(t_0, t_0) = \delta_{lp}, \quad l, p = 1, \dots, N^2 - 1 \quad (20)$$

follows for the coefficients  $C_{lp}(t, t_0)$ . For any fixed index  $l$  this system is similar to the system of Eqs.(17) for the statistical operator coefficients  $S_i(t)$  and describes the rotation of the vector with components  $C_{lp}(t, t_0)$  in the space of  $N^2 - 1$  dimensions too.

### 3 Time-convolutionless master equation without initial system-environment correlations

In the interaction picture

$$\tilde{\rho}(t) = U_0^+(t, t_0) \hat{\rho}(t) U_0(t, t_0), \quad (21)$$

$$\tilde{H}_{SB}(t) = U_0^+(t, t_0) \hat{H}_{SB}(t) U_0(t, t_0), \quad (22)$$

we find, as usual, the Liouville-von Neumann equation for the total statistical operator of the system (1)

$$\frac{\partial}{\partial t} \tilde{\rho}(t) = - \frac{i}{\hbar} [\tilde{H}_{SB}(t), \tilde{\rho}(t)] = \tilde{L}(t) \tilde{\rho}(t), \quad (23)$$

where the superoperator  $\tilde{L}(t)$  is given by

$$\tilde{L}(t) \dots = - \frac{i}{\hbar} [\tilde{H}_{SB}(t), \dots]. \quad (24)$$

Here the evolution operator  $U_0(t, t_0)$  is defined as

$$U_0(t_2, t_1) = U_{0B}(t_2, t_1) U_{0S}(t_2, t_1), \quad (25)$$

where  $U_{0B}(t_2, t_1)$  and  $U_{0S}(t_2, t_1)$  is a time-ordered exponential operator function

$$U_{0S}(t_2, t_1) = \overleftarrow{T} \exp \left\{ - \frac{i}{\hbar} \int_{t_1}^{t_2} dt' \hat{H}_S(t') \right\}, \quad (26)$$

$$U_{0S}^+(t_2, t_1) = \overrightarrow{T} \exp \left\{ \frac{i}{\hbar} \int_{t_1}^{t_2} dt' \hat{H}_S(t') \right\}, \quad (27)$$

with  $\overleftarrow{T}$  and  $\overrightarrow{T}$  being the chronological and anti-chronological time-ordering operator, respectively, and

$$U_{0B}(t_2, t_1) = \exp \left\{ - \frac{i}{\hbar} \hat{H}_0(t_2 - t_1) \right\}, \quad (28)$$

$$U_{0B}^+(t_2, t_1) = \exp \left\{ \frac{i}{\hbar} \hat{H}_B(t_2 - t_1) \right\}. \quad (29)$$

This evolution operator possesses the conventional properties

$$U_0(t_2, t_1) U_0^+(t_2, t_1) = 1, \quad (30)$$

$$U_0(t_3, t_2) U_0(t_2, t_1) = U_0(t_3, t_1), \quad (31)$$

$$U_0(t_2, t_1) U_0(t_1, t_2) = 1, \quad U_0(t_1, t_2) = U_0^+(t_2, t_1). \quad (32)$$

Employing the projection operator formalism introduced originally in [1], Eq.(23) can be transformed into a couple of equations for the relevant  $\tilde{\rho}_1(t)$  and irrelevant  $\tilde{\rho}_2(t)$  parts of the total statistical operator as

$$\frac{\partial}{\partial t} \mathcal{P} \tilde{\rho}(t) = \frac{\partial}{\partial t} \tilde{\rho}_1(t) = \mathcal{P} \tilde{L}(t) (\tilde{\rho}_1(t) + \tilde{\rho}_2(t)), \quad (33)$$

$$\frac{\partial}{\partial t} \mathcal{Q} \tilde{\rho}(t) = \frac{\partial}{\partial t} \tilde{\rho}_2(t) = \mathcal{Q} \tilde{L}(t) (\tilde{\rho}_1(t) + \tilde{\rho}_2(t)), \quad (34)$$

where the operators  $\mathcal{P}$  and  $\mathcal{Q}$  are some projection operators such that

$$\mathcal{P}^2 = \mathcal{P}, \quad \mathcal{Q} = 1 - \mathcal{P}, \quad \mathcal{P} \mathcal{Q} = 0, \quad (35)$$

and

$$\tilde{\rho}(t) = \mathcal{P}\tilde{\rho}(t) + (1 - \mathcal{P})\tilde{\rho}(t) = \tilde{\rho}_1(t) + \tilde{\rho}_2(t). \quad (36)$$

The second of these equations for the irrelevant part can be formally integrated to give

$$\begin{aligned} \tilde{\rho}_2(t) &= \overleftarrow{\mathcal{G}}(t, t_0) \mathcal{Q}\hat{\rho}(t_0) \\ &+ \int_{t_0}^t d\tau \overleftarrow{\mathcal{G}}(t, \tau) \mathcal{Q}\tilde{L}(\tau) \mathcal{P}(\tilde{\rho}_1(\tau) + \tilde{\rho}_2(\tau)), \end{aligned} \quad (37)$$

where the propagator

$$\overleftarrow{\mathcal{G}}(t, \tau) = \overleftarrow{T} \exp \left[ \int_{\tau}^t dt' \mathcal{Q}\tilde{L}(t') \right] \quad (38)$$

is a solution to the equation

$$\frac{\partial \overleftarrow{\mathcal{G}}(t, \tau)}{\partial t} = \mathcal{Q}\tilde{L}(t) \overleftarrow{\mathcal{G}}(t, \tau), \quad \overleftarrow{\mathcal{G}}(\tau, \tau) = 1. \quad (39)$$

Let us notice that Eq.(37) can also be presented in the form

$$\begin{aligned} \tilde{\rho}_2(t) &= \overleftarrow{\mathcal{G}}(t, t_0) \mathcal{Q}\hat{\rho}(t_0) \\ &+ \int_{t_0}^t d\tau \overleftarrow{\mathcal{G}}(t, \tau) \mathcal{Q}\tilde{L}(\tau) \mathcal{P}\overrightarrow{\mathcal{G}}(t, \tau) (\tilde{\rho}_1(t) + \tilde{\rho}_2(t)), \end{aligned} \quad (40)$$

where the propagator

$$\overrightarrow{\mathcal{G}}(t, \tau) = \overrightarrow{T} \exp \left[ - \int_{\tau}^t dt' \mathcal{Q}\tilde{L}(t') \right] \quad (41)$$

allows to express complete statistical operator  $\tilde{\rho}(\tau)$  through  $\tilde{\rho}(t)$  as

$$\tilde{\rho}(\tau) = \overrightarrow{\mathcal{G}}(t, \tau) \tilde{\rho}(t) \quad (42)$$

in order to get rid of the temporal non-locality in its integrand. Let us introduce an operator

$$\Sigma(t) = \int_{t_0}^t d\tau \overleftarrow{\mathcal{G}}(t, \tau) \mathcal{Q}\tilde{L}(\tau) \mathcal{P}\overrightarrow{\mathcal{G}}(t, \tau), \quad (43)$$

by means of which Eq.(40) can be transformed into

$$[1 - \Sigma(t)]\tilde{\rho}_2(t) = \overleftarrow{\mathcal{G}}(t, t_0) \mathcal{Q}\hat{\rho}(t_0) + \Sigma(t)\tilde{\rho}_1(t). \quad (44)$$

Assuming the existence of the inverse operator  $[1 - \Sigma(t)]^{-1}$ , the irrelevant part of the statistical operator can be written down as

$$\begin{aligned} \tilde{\rho}_2(t) &= [1 - \Sigma(t)]^{-1} \overleftarrow{\mathcal{G}}(t, t_0) \mathcal{Q}\hat{\rho}(t_0) + \\ &+ [1 - \Sigma(t)]^{-1} \Sigma(t) \tilde{\rho}_1(t). \end{aligned} \quad (45)$$

Inserting this formal solution (37) into Eq.(33) we obtain an exact closed equation of motion for the relevant part of the statistical operator

$$\begin{aligned} \frac{\partial}{\partial t} \tilde{\rho}_1(t) &= \mathcal{P}\tilde{L}(t) \mathcal{P}\tilde{\rho}_1(t) \\ &+ \mathcal{K}(t) \tilde{\rho}_1(t) + \mathcal{J}(t) \mathcal{Q}\hat{\rho}(t_0), \end{aligned} \quad (46)$$

where

$$\mathcal{K}(t) = \mathcal{P}\tilde{L}(t) [1 - \Sigma(t)]^{-1} \mathcal{P}, \quad (47)$$

$$\mathcal{J}(t) = \mathcal{P}\tilde{L}(t) [1 - \Sigma(t)]^{-1} \overleftarrow{\mathcal{G}}(t_0, t) \mathcal{Q}. \quad (48)$$

with initial condition

$$\tilde{\rho}(t_0) = \hat{\rho}(t_0). \quad (49)$$

An assumption about the existence of the inverse operator  $[1 - \Sigma(t)]^{-1}$  constitutes major problem in justification of the applicability of the time-convolutionless master equation. Nevertheless, for some model systems it can be rigorously proved[4] in the case of weak interaction  $\hat{H}_{SB}$  and/or short enough time interval  $t - t_0$ .

In numerous practical cases it is possible to ensure that

$$\mathcal{P}\tilde{L}(t) \mathcal{P} = 0, \quad (50)$$

$$\mathcal{Q}\hat{\rho}(t_0) = 0, \quad (51)$$

and, as a result, Eq.(46) becomes much simpler:

$$\frac{\partial}{\partial t} \tilde{\rho}_1(t) = \mathcal{K}(t) \tilde{\rho}_1(t), \quad (52)$$

Since one is interested, as a rule, in the weak-coupling limit of the system-environment interaction, one can find by assuming  $\overleftarrow{\mathcal{G}}(t, \tau) \approx 1$ ,  $\overrightarrow{\mathcal{G}}(t, \tau) \approx 1$ , that

$$\frac{\partial}{\partial t} \tilde{\rho}_1(t) = \int_{t_0}^t d\tau \mathcal{P}\tilde{L}(t) \tilde{L}(\tau) \mathcal{P}\tilde{\rho}_1(t) \quad (53)$$

in the second order in the interaction Hamiltonian  $\hat{H}_{SB}$ .

#### 4 Accounting for initial system-environment correlations

Now, let us account for the initial correlations in the same way as it was proposed in [6], assuming that the system  $S$  and the environment  $B$  are in thermal equilibrium at the initial moment of time  $t_0$  and, as a consequence,

$$\hat{\rho}(t_0) = e^{-(\hat{H}_S(t_0) + \hat{H}_B + \hat{H}_{SB})} / Sp\{e^{-(\hat{H}_S(t_0) + \hat{H}_B + \hat{H}_{SB})}\}. \quad (54)$$

Then, by means of the identity

$$e^{-\beta \hat{H}} = e^{-\beta \hat{H}_0} -$$

$$- \int_0^\beta d\lambda e^{-\lambda \hat{H}_0} \hat{H}_1 e^{\lambda \hat{H}} e^{-\beta \hat{H}}, \quad \hat{H} = \hat{H}_0 + \hat{H}_1, \quad (55)$$

and noting that for the choice of the projection operator  $\mathcal{P}$  in the form (72)

$$(1 - \mathcal{P})e^{-\beta(\hat{H}_S(t_0) + \hat{H}_B)} = \mathcal{Q}e^{-\beta(\hat{H}_S(t_0) + \hat{H}_B)} = 0, \quad (56)$$

the irrelevant part of the total statistical operator at the initial moment of time  $\tilde{\rho}_2(t_0) = \mathcal{Q}\hat{\rho}(t_0)$ , which is responsible for the initial correlations, can be written down as

$$\tilde{\rho}_2(t_0) = - \frac{\mathcal{Q} \int_0^\beta d\lambda e^{-\lambda(\hat{H}_S(t_0) + \hat{H}_B)} \hat{H}_{SB} e^{\lambda(\hat{H}_S(t_0) + \hat{H}_B + \hat{H}_{SB})}}{Sp\{e^{-(\hat{H}_S(t_0) + \hat{H}_B + \hat{H}_{SB})}\}}$$

$$\times e^{-\beta(\hat{H}_S(t_0) + \hat{H}_B + \hat{H}_{SB})}$$

$$= - \mathcal{Q} \int_0^\beta d\lambda e^{-\lambda(\hat{H}_S(t_0) + \hat{H}_B)} \hat{H}_{SB} e^{\lambda(\hat{H}_S(t_0) + \hat{H}_B + \hat{H}_{SB})}$$

$$\times [\overleftarrow{\mathcal{G}}(t, t_0)]^{-1} (\tilde{\rho}_1(t) + \tilde{\rho}_2(t))$$

$$= -I_Q(t, t_0, \beta) (\tilde{\rho}_1(t) + \tilde{\rho}_2(t)), \quad (57)$$

where

$$I_Q(t, t_0, \beta) = \mathcal{Q} \int_0^\beta d\lambda e^{-\lambda(\hat{H}_S(t_0) + \hat{H}_B)} \hat{H}_{SB} e^{\lambda(\hat{H}_S(t_0) + \hat{H}_B + \hat{H}_{SB})} \times [\overleftarrow{\mathcal{G}}(t, t_0)]^{-1}. \quad (58)$$

As follows from Eq.(37),

$$\tilde{\rho}_2(t) = -\overleftarrow{\mathcal{G}}(t, t_0) I_Q(t, t_0, \beta) (\tilde{\rho}_1(t) + \tilde{\rho}_2(t))$$

$$+ \int_{t_0}^t d\tau \overleftarrow{\mathcal{G}}(t, \tau) \mathcal{Q} \tilde{L}(\tau) \mathcal{P} \tilde{\rho}_1(\tau). \quad (59)$$

But, by definition,

$$\tilde{\rho}(\tau) = [\overleftarrow{\mathcal{G}}(t, \tau)]^{-1} \tilde{\rho}(t), \quad (60)$$

so that

$$\tilde{\rho}_1(\tau) = \mathcal{P}[\overleftarrow{\mathcal{G}}(t, \tau)]^{-1} (\tilde{\rho}_1(t) + \tilde{\rho}_2(t)). \quad (61)$$

As a result, Eq.(37) can be transformed into

$$\begin{aligned} \tilde{\rho}_2(t) &= \overleftarrow{\mathcal{G}}(t, t_0) \mathcal{Q} \hat{\rho}(t_0) \\ &+ \int_{t_0}^t d\tau \overleftarrow{\mathcal{G}}(t, \tau) \mathcal{Q} \tilde{L}(\tau) \mathcal{P} [\overleftarrow{\mathcal{G}}(t, \tau)]^{-1} (\tilde{\rho}_1(t) + \tilde{\rho}_2(t)), \end{aligned} \quad (62)$$

where from

$$\tilde{\rho}_2(t) = [1 - \alpha(t, t_0)]^{-1} [\alpha(t, t_0) \tilde{\rho}_1(t) + \overleftarrow{\mathcal{G}}(t, t_0) \tilde{\rho}_2(t_0)], \quad (63)$$

where

$$\alpha(t, t_0) = \int_{t_0}^t d\tau \overleftarrow{\mathcal{G}}(t, \tau) \mathcal{Q} \tilde{L}(\tau) \mathcal{P} [\overleftarrow{\mathcal{G}}(t, \tau)]^{-1}. \quad (64)$$

Inserting this expression for  $\tilde{\rho}_2(t)$  into Eq.(33) we arrive at the inhomogeneous equation for the relevant part  $\tilde{\rho}_1(t)$

$$\begin{aligned} \frac{\partial}{\partial t} \tilde{\rho}_1(t) &= \mathcal{P} \tilde{L}(t) [1 - \alpha(t, t_0)]^{-1} \times \\ &\times [\tilde{\rho}_1(t) + \overleftarrow{\mathcal{G}}(t, t_0) \tilde{\rho}_2(t_0)]. \end{aligned} \quad (65)$$

By means of Eqs.(57,63) it is now possible to express the initial irrelevant part  $\tilde{\rho}_2(t_0)$  via the relevant part  $\tilde{\rho}_1(t)$ , and inserting this formal expression for the initial irrelevant part into Eq.(65), we arrive at the closed homogeneous equation for the relevant part of the statistical operator

$$\frac{\partial}{\partial t} \tilde{\rho}_1(t) = \mathcal{P} \tilde{L}(t) [1 - \alpha(t, t_0)]^{-1} \quad (66)$$

$$\times \{1 - \overleftarrow{\mathcal{G}}(t, t_0) [1 + \gamma(t, t_0, \beta) \overleftarrow{\mathcal{G}}(t, t_0)]^{-1} \gamma(t, t_0, \beta)\} \tilde{\rho}_1(t),$$

where

$$\gamma(t, t_0, \beta) = I_Q(t, t_0, \beta) [1 - \alpha(t, t_0)]^{-1}. \quad (67)$$

Being restricted to the second order in the system-environment interaction strength approximation,



this equation can be transformed into the following time-local equation

$$\begin{aligned} \frac{\partial}{\partial t} \tilde{\rho}_1(t) &= \mathcal{P} \tilde{L}(t) \mathcal{P} \tilde{\rho}_1(t) \\ &- \mathcal{P} \tilde{L}(t) \int_{t_0}^t d\tau \mathcal{Q} \tilde{L}(\tau) \mathcal{P} \tilde{\rho}_1(t) \\ &- \frac{1}{2} \mathcal{P} \tilde{L}(t) \mathcal{Q} \left[ I_{(2)}(t, t_0, \beta) \tilde{\rho}_1(t) + \tilde{\rho}_1(t) I_{(2)}^+(t, t_0, \beta) \right], \quad (68) \end{aligned}$$

or, taking into account technical assumption (50),

$$\begin{aligned} \frac{\partial}{\partial t} \tilde{\rho}_1(t) &= -\mathcal{P} \tilde{L}(t) \int_{t_0}^t d\tau \mathcal{Q} \tilde{L}(\tau) \mathcal{P} \tilde{\rho}_1(t) \\ &- \frac{1}{2} \mathcal{P} \tilde{L}(t) \mathcal{Q} \left[ I_{(2)}(t, t_0, \beta) \tilde{\rho}_1(t) + \tilde{\rho}_1(t) I_{(2)}^+(t, t_0, \beta) \right], \quad (69) \end{aligned}$$

where

$$I_{(2)}(t, t_0, \beta) = \int_0^\beta d\lambda e^{-\lambda(\hat{H}_S(t_0) + \hat{H}_B)} \hat{H}_{SB} e^{\lambda(\hat{H}_S(t_0) + \hat{H}_B)}, \quad (70)$$

$$I_{(2)}^+(t, t_0, \beta) = \int_0^\beta d\lambda e^{\lambda(\hat{H}_S(t_0) + \hat{H}_B)} \hat{H}_{SB} e^{-\lambda(\hat{H}_S(t_0) + \hat{H}_B)}. \quad (71)$$

## 5 Approximate time-convolutionless master equation in terms of the SU(N) algebra representation

Let us choose now the projection operator  $\mathcal{P}$  as

$$\mathcal{P} \dots = \hat{\rho}_B S p_B \{ \dots \}, \quad \hat{\rho}_B = e^{-\beta \hat{H}_B} / S p_B \{ e^{-\beta \hat{H}_B} \}, \quad (72)$$

where the reference state of the environment  $\hat{\rho}_B$  is a thermal equilibrium state of the environment, so that the reduced statistical operator of the system  $S$  only is given by

$$\hat{\rho}_S(t) = S p_B \{ \hat{\rho}_1(t) \}. \quad (73)$$

In what follows we also assume

$$S p_B \{ \hat{H}_{SB} \hat{\rho}_B \} = 0, \quad (74)$$

without loss of generality, since this is not the case, we can always redefine the Hamiltonians  $H_S(t)$  and  $H_{SB}$  as

$$\hat{H}_S(t) \rightarrow \hat{H}_S(t) + S p_B \{ \hat{H}_{SB} \hat{\rho}_B \}, \quad (75)$$

$$\hat{H}_{SB} \rightarrow \hat{H}_{SB} - S p_B \{ \hat{H}_{SB} \hat{\rho}_B \}, \quad (76)$$

without making any alteration to the original Hamiltonian (1). The system-environment interaction Hamiltonian  $H_{SB}$  can always be written in the form

$$\hat{H}_{SB} = \sum_{k=1}^{N^2-1} \hat{E}_k \hat{s}_k + \hat{E}_0 \hat{I}, \quad (77)$$

where the environment-related operators  $\hat{E}_k$  are defined as

$$\hat{E}_k = \frac{1}{2} S p_S \{ \hat{H}_{SB} \hat{s}_k \}, \quad \hat{E}_0 = N^{-1} S p_S \{ \hat{H}_{SB} \} \quad (78)$$

in full analogy with expressions (13). The second term does not contain any of the operators  $\hat{s}_k$  and is often equal to zero for practically useful models. If otherwise, it can be included into the Hamiltonian  $H_B$ . Summing up all these assumptions, we derive from Eq.(53) an approximate equation for the reduced statistical operator  $\hat{\rho}_S(t)$  in the interaction picture

$$\begin{aligned} \frac{\partial}{\partial t} \tilde{\rho}_S(t) &= -\frac{i}{2\hbar} \int_0^\beta d\lambda \sum_{n,m}^{N^2-1} \left\{ [\tilde{s}_n(t, t_0), \hat{s}_m(\lambda) \tilde{\rho}_S(t)] \right. \\ &\times S p_B \{ \tilde{\tilde{E}}_n(t, t_0) \hat{E}_m(\lambda) \hat{\rho}_B \} - h.c. \} \\ &- \frac{1}{\hbar^2} \sum_{n,m=1}^{N^2-1} \int_{t_0}^t dt' [\tilde{s}_n(t, t_0), \tilde{s}_m(t', t_0) \tilde{\rho}_S(t)] \\ &\times S p_B \{ \tilde{\tilde{E}}_n(t, t_0) \tilde{\tilde{E}}_m(t', t_0) \hat{\rho}_B \} \\ &- \frac{1}{\hbar^2} \sum_{n,m=1}^{N^2-1} \int_{t_0}^t dt' [\tilde{s}_n(t, t_0), \tilde{\rho}_S(t) \tilde{s}_m(t', t_0)] \\ &\times S p_B \{ \tilde{\tilde{E}}_m(t', t_0) \tilde{\tilde{E}}_n(t, t_0) \hat{\rho}_B \}, \quad (79) \end{aligned}$$

where

$$\tilde{s}_n(t, t_0) = U_{0S}^\dagger(t, t_0) \hat{s}_n U_{0S}(t, t_0) = \sum_{l=1}^{N^2-1} \tilde{C}_{nl}(t, t_0) \hat{s}_l, \quad (80)$$

$$\hat{s}_n(\lambda) = e^{-\lambda \hat{H}_S(t_0)} \hat{s}_n e^{\lambda \hat{H}_S(t_0)} = \sum_{l=1}^{N^2-1} C_{nl}(\lambda) \hat{s}_l, \quad (81)$$

$$\tilde{\tilde{E}}_n(t, t_0) = U_{0B}^\dagger(t, t_0) \hat{E}_n U_{0B}(t, t_0), \quad (82)$$

$$\hat{E}_n(\lambda) = e^{-\lambda \hat{H}_B} \hat{E}_n e^{\lambda \hat{H}_B}, \quad (83)$$

and the coefficients  $\tilde{C}_{nk}(t)$  are calculated by integrating Eqs.(20). In accordance with expansion (10) for the statistical operator  $\tilde{\rho}_S(t)$ , we will search for a solution to Eq.(79) in the form

$$\tilde{\rho}_S(t) = N^{-1} \hat{I} + \frac{1}{2} \sum_{j=1}^{N^2-1} \tilde{S}_j(t) \hat{s}_j. \quad (84)$$

Inserting expansions (80) and (84) into Eq.(79) and collecting coefficients at the operators  $\hat{s}_k$  we obtain after some lengthy algebra a system of differential equations for the coefficients  $\tilde{S}_k(t)$ :

$$\begin{aligned} \frac{\partial}{\partial t} \tilde{S}_i(t) = & \sum_{k=1}^{N^2-1} [\tilde{A}_{ik}(t, t_0) + \tilde{A}'_{ik}(t, t_0)] \tilde{S}_k(t) \\ & + \tilde{I}_i(t, t_0) + \tilde{I}'_i(t, t_0), \end{aligned} \quad (85)$$

where

$$\begin{aligned} \tilde{A}_{ik}(t, t_0) = & -\frac{1}{4\hbar^2} \sum_{n,m}^{N^2-1} \int_{t_0}^t dt' \tilde{C}_{nmk}^{(1)i}(t, t', t_0) S_{PB} \{ \tilde{E}_n(t, t_0) \tilde{E}_m(t', t_0) \hat{\rho}_B \} \\ & - \frac{1}{4\hbar^2} \sum_{n,m}^{N^2-1} \int_{t_0}^t dt' \tilde{C}_{nmk}^{(2)i}(t, t', t_0) S_{PB} \{ \tilde{E}_m(t', t_0) \tilde{E}_n(t, t_0) \hat{\rho}_B \}, \end{aligned} \quad (86)$$

$$\tilde{I}_i(t, t_0) = \int_{t_0}^t dt' \tilde{I}_i(t, t', t_0), \quad (87)$$

$$\begin{aligned} \tilde{C}_{nmk}^{(1)i}(t, t', t_0) = & \sum_{l,p=1}^{N^2-1} \tilde{C}_{nl}(t, t_0) \tilde{C}_{mp}(t', t_0) S_{PS} \{ [\hat{s}_l, \hat{s}_p \hat{s}_k] \hat{s}_i \}, \end{aligned} \quad (88)$$

$$\begin{aligned} \tilde{C}_{nmk}^{(2)i}(t, t', t_0) = & \sum_{l,p=1}^{N^2-1} \tilde{C}_{nl}(t, t_0) \tilde{C}_{mp}(t', t_0) S_{PS} \{ [\hat{s}_l, \hat{s}_k \hat{s}_p] \hat{s}_i \}, \end{aligned} \quad (89)$$

$$\tilde{I}_i(t, t', t_0) =$$

$$\begin{aligned} = & -\frac{1}{2N\hbar^2} \sum_{n,m}^{N^2-1} \tilde{C}_{nm}^i(t, t', t_0) S_{PB} \{ \tilde{E}_n(t, t_0) \tilde{E}_m(t', t_0) \hat{\rho}_B \} \\ & - \frac{1}{2N\hbar^2} \sum_{n,m}^{N^2-1} \tilde{C}_{nm}^i(t, t', t_0) S_{PB} \{ \tilde{E}_m(t', t_0) \tilde{E}_n(t, t_0) \hat{\rho}_B \}, \end{aligned} \quad (90)$$

$$\tilde{C}_{nm}^i(t, t', t_0) = 4i \sum_{l,p=1}^{N^2-1} \tilde{C}_{nl}(t, t_0) \tilde{C}_{mp}(t', t_0) f_{ilp}. \quad (91)$$

$$\begin{aligned} \tilde{A}'_{ik}(t, t_0) = & -\frac{i}{4\hbar} \int_0^\beta d\lambda \sum_{n,m}^{N^2-1} \tilde{B}_{nmk}^i(\lambda, t, t_0) \\ & \times S_{PB} \{ \tilde{E}_n(t, t_0) \hat{E}_m(\lambda) \hat{\rho}_B \}, \end{aligned} \quad (92)$$

$$\begin{aligned} \tilde{I}'_i(t, t_0) = & -\frac{i}{2N\hbar} \int_0^\beta d\lambda \sum_{n,m}^{N^2-1} \tilde{B}_{nm}^i(\lambda, t, t_0) \\ & \times S_{PB} \{ \tilde{E}_n(t, t_0) \hat{E}_m(\lambda) \hat{\rho}_B \}, \end{aligned} \quad (93)$$

$$\tilde{B}_{nmk}^i(\lambda, t, t_0) = \sum_{l,p}^{N^2-1} \tilde{C}_{nl}(t, t_0) C_{mp}(\lambda) S_{PS} \{ [\hat{s}_l, \hat{s}_p \hat{s}_k] \hat{s}_i \}, \quad (94)$$

$$\tilde{B}_{nm}^i(\lambda, t, t_0) = 4i \sum_{l,p}^{N^2-1} \tilde{C}_{nl}(t, t_0) C_{mp}(\lambda) f_{ilp}. \quad (95)$$

## 6 Summary

We have derived formally exact time-convolutionless master equation for the reduced statistical operator of an open quantum N-level system driven by external deterministic fields and interacting with its environment. This equation is homogeneous and closed in the reduced statistical operator, though it is assumed that the system and its environment are in the state of thermal equilibrium at the initial moment of time. It has been also shown that the formally exact master equation may serve as a source for derivation of approximate master equations. One exemplary approximate master equation has been



obtained under the assumption of weak system-environment interaction strength. No assumptions were made regarding the system-field interaction strength. Approximate master equations can be derived in a regular way within the frame of a perturbative scheme the essence of which is an expansion of all super-operators in exact Eq.(66) in powers in the system-environment interaction strength assumed to be weak. It is important to notice that the terms taking into account initial correlations in the approximate Eq.(69) are formally in the second order in the system-environment interaction strength so that they match all the other terms in this equation. Representation of the driven dynamics of the system, resulting from its interaction with external fields, in terms of the SU(N) algebra formalism is deemed to be instrumental in facilitating numerical solution of the approximate equation that is applied to realistic physical models of open multi-level quantum systems. The main difference between the conventional Nakajima-Zwanzig time-convolution, or time-nonlocal, master equation and the time-convolutionless equation of the type (66) is in the time-local form of the latter, resulting in formally exact and approximate differential equations, whereas the Nakajima-Zwanzig equation requires an integration over the history of the quantum system thus leading to correspondent integra-differential equations. Nevertheless, the procedure of derivation for both types of equations, whether exact or approximate, relies essentially on the same set of assumptions and approximations. The absence of time-convolution in Eqs.(66,69,79,85) does not mean at all that the history of the system is totally neglected. It is retained either in full through the time dependence of super-operators acting on the statistical operator  $\tilde{\rho}_i(t)$  in formally exact Eq.(66), or partially through the time-dependent super-operators or C-number coefficients of the approximate differential equations (69,79,85). Therefore, it is generally assumed nowadays that both type of master equations - time-convolution and time-convolutionless - are able to describe the approximate non-Markovian dynamics of open quantum systems with the same accuracy at least in the case of weak system-environment interaction strength. At the same time, there were some arguments put forward in [8] stating that in the limit of weak system-environment interaction a time-convolutionless approximate equations may provide more precise description of the system evolution than the time-convolution ones. Needless to say, that, as a rule, it is easier to solve purely differential equations than the integra-differential ones. Another attractive advantage of having purely differential approximate equations is that in some cases they may be analyzed, interpreted and solved by methods of an unraveling for non-Markovian time evolution by means of a stochastic process in the extended state space [9].

## Acknowledgement

This work is supported by the Program of the Presidium of the Russian Academy of Sciences n.01 "Fundamental Mathematics and its Applications" under grant PRAS-18-01.

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