

# Star Edge Coloring of Subcubic Graphs

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Received: 22 Dec. 2018, Revised: 2 Feb. 2019, Accepted: 15 Feb. 2019

Published online: 1 Mar. 2019

**Abstract:** A proper edge coloring of a graph  $G$  is called star edge coloring if there is no bi-colored path or cycle of length four in  $G$ . The minimum number of colors needed to star color the edges of  $G$  is called the star chromatic index of  $G$ , denoted by  $\chi'_s(G)$ . In 2013 [1], Dvořák et. al. proved that for a subcubic graph  $G$ ,  $\chi'_s(G) \leq 7$  and conjectured that it is less than or equal to 6. In this paper, we show that if a subcubic graph  $G$  has maximum average degree less than  $\frac{8}{3}$  then  $\chi'_s(G) \leq 6$ .

**Keywords:** Star edge coloring, star chromatic index, subcubic graphs, maximum average degree

## 1 Introduction

All the graphs considered in this paper are finite and simple. For a graph  $G$  with vertex set  $V(G)$  and edge set  $E(G)$  a proper edge coloring of  $G$  is an assignment of colors to the edges of  $G$  so that no two adjacent edges receive the same color. A *star edge coloring* is a proper edge coloring with an additional condition that any path or cycle of length four is not bi-colored. The *star chromatic index* of  $G$  is the least number of colors needed for a star edge coloring of  $G$ , denoted by  $\chi'_s(G)$ . This coloring was introduced by Liu and Deng [2] in 2008. In 2013 [1], Dvořák, Mohar and Šámal found the following bound for the star chromatic index of complete graphs.

**Theorem 1.** *The star chromatic index of the complete graph  $K_n$  satisfies*

$$(2 + o(1))n \leq \chi'_s(K_n) \leq n \frac{2^{2\sqrt{2}(1+o(1))\sqrt{\log n}}}{(\log n)^{\frac{1}{4}}}.$$

*In particular, for every  $\varepsilon > 0$  there exists a constant  $c$  such that  $\chi'_s(K_n) \leq cn^{1+\varepsilon}$  for every  $n \geq 1$ .*

They also obtained a near-linear upper bound in terms of the maximum degree  $\Delta$  for general graphs. In addition, they considered subcubic graph - a graph in which each vertex has degree at most three and showed that the star chromatic index of every subcubic graph is at most 7. L. Bezegová et. al. [3] proved that the star chromatic index of every subcubic tree and subcubic outerplanar graph is at most 4 and 5 respectively.

Motivated by the strong list edge coloring of subcubic graphs [4], [5], list version of star edge coloring is also

studied and bounds are given in terms of maximum average degree of the graph. The maximum average degree of a graph  $G$ , denoted by  $mad(G)$  is defined as  $mad(G) = \max_{H \subseteq G, |V(H)| \geq 1} \left\{ \frac{2|E(H)|}{|V(H)|} \right\}$ . S. Kerdjoudj et.al. [6] proved that the list star chromatic index of a subcubic graph  $G$  with  $mad(G) < \frac{7}{3}$  and  $mad(G) < \frac{5}{2}$  is at most 5 and 6 respectively. They also showed that the list star chromatic index for every subcubic graph is at most 8. S. Kerdjoudj and A. Raspaud [7] proved that for subcubic graphs with  $mad(G) < \frac{30}{11}$  it is at most 7. Recently, B. Lužar et.al. [8] proved that 7 colors suffice for the list star edge coloring of every subcubic graph  $G$ .

There are examples for subcubic graphs with the star chromatic index equal to 6, (e.g.  $K_{3,3}$  and  $K_4$  with one subdivided edge) but no example of a subcubic graph requiring 7 colors is known. Based on this fact, Dvořák et al. [1] proposed the following conjecture.

**Conjecture 1** If  $G$  is a subcubic graph, then  $\chi'_s(G) \leq 6$ .

In this paper we prove the following theorem in support of the Conjecture 1.

**Theorem 2.** *Let  $G$  be a subcubic graph with  $mad(G) < \frac{8}{3}$ . Then,  $\chi'_s(G) \leq 6$ .*

The *girth* of a graph  $G$  is the length of a shortest cycle in  $G$ . For every planar graph with girth  $g$ ,  $mad(G) < \frac{2g}{g-2}$ . This, together with the theorem above gives the following corollary.

**Corollary 1.** *Let  $G$  be a planar subcubic graph with girth  $g \geq 8$ . Then  $\chi'_s(G) \leq 6$ .*

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**Notations:** Let  $d(v)$  denote the degree of the vertex  $v$  in  $G$ . A vertex of degree  $k$  is called a  $k$ -vertex. A  $k$ -vertex adjacent to a vertex  $v$  is a  $k$ -neighbor of  $v$ . A  $3_k$ -vertex is a 3-vertex adjacent to exactly  $k$  ( $0 \leq k \leq 3$ ) vertices of degree 2. A 3-vertex adjacent to a 1-vertex is a *light* 3-vertex. For an edge coloring  $\phi$  of a graph  $G$ , let  $\phi(v)$  denote the set of colors used on the edges incident with the vertex  $v \in V(G)$  in the coloring  $\phi$ . Similarly, for an edge  $uv \in E(G)$ ,  $\phi(uv)$  denotes the color used on the edge  $uv$ . We say that a color  $c$  is an *available color* for an edge  $uv$ , if  $c$  is not assigned to any of its neighbors and there is no bi-colored path of length four or cycle of length four involving  $uv$  when colored with  $c$ . Otherwise, it is a *forbidden color*. The set of available colors for the edge  $uv$  is denoted by  $A(uv)$ .

### Proof of Theorem 2

Let  $G'$  be a minimum counterexample minimising  $|E(G') + V(G')|$ . Then the edges of  $G'$  are not star colorable with six colors and  $mad(G') < \frac{8}{3}$ . By minimality of  $G'$ , we can assume that it is connected. Otherwise, we can star color independently the edges of each connected component of  $G'$  with six colors. We list some structures or set of some subgraphs called reducible configurations in  $G'$ . We prove all the claims by contradiction. For each of the claim, we suppose that the described structure exists in  $G'$ , then we remove a certain number of edges from  $G'$  to form a graph  $H$ , which by minimality of  $G'$  is star edge colorable with six colors. Let  $\phi$  be such a star edge coloring of  $H$ . We show a contradiction by extending the star edge coloring  $\phi$  of  $H$  with six colors to a star edge coloring of  $G'$  with same number of colors. Before going into the proof we need the following observation.

### Observation:

Let  $u$  and  $v$  be two adjacent 3-vertices in  $G'$ . Let  $N(v) = \{v_1, v_2, u\}$  and  $N(u) = \{u_1, u_2, v\}$ . Let  $\phi$  be a star edge coloring of  $H = G' \setminus \{uu_i, i = 1, 2\}$  with six colors. Let  $v_1$  not adjacent to  $v_2$  (in worst case) be two 3-vertices. If  $|\phi(v_1) \cap \phi(v_2)| \geq 1$ , then there is at least one color that is not present on the edges incident to the vertices  $v_1$  and  $v_2$ . If the edge  $uv$  is not colored with this color, then we can recolor it with this color. If  $|\phi(v_1) \cap \phi(v_2)| = 0$ , then we can assume that any available color for the edge  $uv$  is such that, either  $\phi(uv) \in \phi(v_1)$  or  $\phi(uv) \in \phi(v_2)$ . In the worst case, in order to exclude greater number of colors for the edges incident with  $u$ , we always assume the latter part ( $|\phi(v_1) \cap \phi(v_2)| = 0$ ).

When  $v_1$  is adjacent to  $v_2$  or either of  $v_1$  or  $v_2$  are 2-vertices then we can always recolor the edge  $uv$  with a color that is not present on the edges incident to the vertices  $v_1$  and  $v_2$ .

**Claim 1.**  $G'$  does not contain a 1-vertex adjacent to a 2-vertex.

Suppose there is a 1-vertex  $u$  adjacent to a 2-vertex  $v$  in  $G'$ . As  $G'$  is a minimum counterexample,  $H = G' \setminus \{uv\}$  is star edge colorable with six colors. By counting the number of maximum possible forbidden colors for  $uv$ , it is easy to see that we have at least three colors available for it. So, the coloring of  $H$  can be extended to  $G'$ , a contradiction.

**Claim 2.**  $G'$  does not contain a 3-vertex adjacent to two 1-vertices.

Let a 3-vertex  $u$  be adjacent to the 1-vertices  $v$  and  $w$  in  $G'$ . Consider  $H = G' \setminus \{uv\}$ , which by minimality of  $G'$  is star edge colorable with six colors. By counting the number of maximum possible forbidden colors for  $uv$ , again it is easy to see that we have at least two colors available for it. So, the coloring of  $H$  can be extended to  $G'$ , a contradiction.

**Claim 3.**  $G'$  does not contain a 3-vertex adjacent to a 1-vertex and a 2-vertex.

Suppose  $u$  be such 3-vertex adjacent to the 1-vertex  $v$  and a 2-vertex  $w$ . The graph  $H = G' \setminus \{uv\}$  has a star edge coloring with six colors. Again, by counting the number of maximum possible forbidden colors for the edge  $uv$  it is easy to see that there is at least one color available for  $uv$ , a contradiction.

**Claim 4.**  $G'$  does not contain two adjacent *light* 3-vertices.

Let  $u$  and  $v$  be two adjacent *light* 3-vertices adjacent to the 1-vertices  $u_1$  and  $v_1$  respectively in  $G'$ . Let  $x$  and  $y$  be the other neighbors of  $u$  and  $v$  respectively. By claim 3,  $x$  and  $y$  are 3-vertices. Consider  $H = G' \setminus \{uu_1\}$ , which by minimality of  $G'$  is star edge colorable with six colors. By counting the number of available colors it is easy to see that we have at least one color available for  $uu_1$ . So, the coloring of  $H$  can be extended to  $G'$ , a contradiction.

**Claim 5.**  $G'$  does not contain two adjacent 2-vertices.

Suppose  $u_1$  and  $u_2$  be the two adjacent 2-vertices in  $G'$ . For  $i = 1, 2$ , let  $v_i$  be the other neighbor of  $u_i$ . By minimality of  $G'$ ,  $H = G' \setminus \{u_1u_2\}$  has a star edge coloring  $\phi$  with six colors. When  $v_1$  is adjacent to  $v_2$  or when  $v_1 = v_2$ , by counting the number of available colors for  $u_1u_2$ , we can easily extend  $\phi$  to  $G'$ . So let  $v_1$  be distinct and not adjacent to  $v_2$  with  $N(v_i) = \{w_i, w'_i, u_i\}$ ,  $i = 1, 2$ . If there is an available color for the edge  $u_1u_2$  we are done. Otherwise, all the six colors are forbidden for this edge, this means,  $|\{\phi(v_1) \cap \phi(v_2)\}| = 0$  and  $\phi(u_iv_i) \in \{\phi(w_i) \cap \phi(w'_i)\}$ . So, we recolor the edge  $u_1v_1$  using the Observation. This gives  $|A(u_1u_2)| \geq 1$ . Hence,  $\phi$  can be extended to  $G'$ , a contradiction.

**Claim 6.**  $G'$  does not contain a 3-vertex adjacent to three 2-vertices.

Let  $u$  be such a 3-vertex in  $G'$ . Let  $u_1, u_2$  and  $u_3$  be the 2-neighbors of  $u$ . Let each  $u_i$  be adjacent to  $x_i$ . By claim 1 and claim 5,  $x_i$  is a 3-vertex for each  $i$ . By minimality of  $G'$ ,  $H = G' \setminus \{uu_i, i = 1, 2, 3\}$  has a star edge coloring  $\phi$  with six colors. We extend this coloring to the edges  $uu_1, uu_2$  and  $uu_3$  in order. First, we color the edge  $uu_1$  with

a color such that it does not appear on the colored edges incident to the vertices  $x_1$ ,  $u_2$  and  $u_3$ . Then, we color the edge  $uu_2$  with a color which does not appear on the colored edges incident to the vertices  $x_2$ ,  $u$  and  $u_3$ . Finally, we have at least one color available for the edge  $uu_3$ . Hence,  $\varphi$  is extended to  $G'$ , a contradiction.

**Claim 7.**  $G'$  does not contain a cycle  $uvwu$ , where  $u$  is either a *light* 3-vertex or a 2-vertex.

Suppose  $uvwu$  be such a cycle in  $G'$ . When  $u$  is adjacent to a 1-vertex  $u_1$ , consider  $H = G' \setminus \{uu_1\}$ , which by minimality of  $G'$  is star edge colorable with six colors. By counting the number of maximum possible forbidden colors it is easy to see that we have at least one color available for  $uu_1$ . When  $u$  is a 2-vertex, consider  $H = G' \setminus \{uv\}$  having a star edge coloring  $\varphi$  with six colors. Let  $v_1$  and  $w_1$  be the neighbors of  $v$  and  $w$  respectively. If  $v_1$  is adjacent to  $w_1$  or  $v_1 = w_1$ , then it is easy to see that  $uv$  can be easily colored. Otherwise, (when  $v_1$  and  $w_1$  are non adjacent) if  $uv$  cannot be colored, then  $|\varphi(v_1) \cap \varphi(w)| = 0$ . So, we can recolor  $uw$  with a color other than  $\varphi(uw)$  such that there is an available color for the edge  $uv$ , which is a contradiction.

**Claim 8.**  $G'$  does not contain a cycle  $xuvwx$ , where  $v$  and  $x$  are 2-vertices.

Suppose  $G'$  contains such a cycle  $xuvwx$ . Let  $v$  and  $x$  be the 2-vertices. Consider  $H = G' \setminus \{uv, ux, wx, vx\}$ , which by minimality of  $G'$  has a star edge coloring  $\varphi$  with six colors. Clearly,  $u$  is not adjacent to  $w$ . Let  $y_1$  and  $y_2$  be the neighbors of  $u$  and  $w$  respectively (other than  $v$  and  $x$ ). If  $y_1 = y_2$ , it can be observed that  $\varphi$  can be extended to the edges of  $G'$  easily. So, we can assume that  $y_1$  is distinct from  $y_2$ . For the worst case, let  $d(y_i) = 3, i = 1, 2$ . Let  $z_i$  and  $z'_i$  be the other neighbors of  $y_i$ . Recolor the edges  $uy_1$  and  $wy_2$  using the Observation. In worst case, we can assume that  $\varphi(uy_1) \in \varphi(z_1)$  and  $\varphi(wy_2) \in \varphi(z_2)$ . So, we color the edges  $ux$  and  $uv$  with  $c_1$  and  $c_2$  respectively, such that  $c_1 \notin \{\varphi(y_1), \varphi(wy_2)\}$  and  $c_2 \notin \{\varphi(y_1), \varphi(wy_2), c_1\}$ . We have,  $|A(ux)| \geq 2$  and  $|A(uv)| \geq 1$ . This gives  $|A(wx)| \geq 3$ . There is an appropriate color for  $wx$  so that there is at least one color available for the edge  $wv$ . Hence,  $\varphi$  can be extended to the edges of  $G'$ , a contradiction.

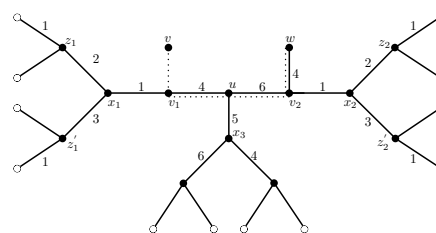
**Claim 9.**  $G'$  does not contain a 3-vertex adjacent to two *light* 3-vertices.

Suppose  $G'$  contains such a vertex  $u$ . Let  $N(u) = \{v_1, v_2, x_3\}$ . Let  $v_1$  and  $v_2$  be the *light* 3-vertices adjacent to the 1-vertices  $v$  and  $w$  respectively. Let  $x_1$  and  $x_2$  be the other 3-neighbors of  $v_1$  and  $v_2$  respectively. (By claim 3, both are 3-vertices). For  $i = 1, 2$ , let  $N(x_i) = \{z_i, z'_i, v_i\}$  and (let  $d(x_3) = 3$ )  $N(x_3) = \{z_3, z'_3, u\}$ . Consider  $H = G' \setminus \{v_1v\}$ , which by minimality has a star edge coloring  $\varphi$  with six colors. If  $x_1 = x_2$ , then by counting the number of maximum possible forbidden colors it is easy to see that we have at least one color available for  $vv_1$ . So, we assume that  $x_1$  is distinct from  $x_2$ . Suppose there is no color available for  $v_1v$ . Then,

$|\{\varphi(u) \cap \varphi(x_1)\}| = 0$  and  $\varphi(v_1x_1) \in \{\varphi(z_1) \cap \varphi(z'_1)\}$  and  $\varphi(v_1u) \in \{\varphi(x_3) \cap \varphi(v_2)\}$  making bi-colored paths of length three.

Without loss of generality, we can assume a coloring that depicts this situation. Let  $\varphi(v_1x_1) = 1$ ,  $\varphi(x_1z_1) = 2$ ,  $\varphi(x_1z'_1) = 3$ ,  $\varphi(v_1u) = 4$ ,  $\varphi(ux_3) = 5$  and  $\varphi(uv_2) = 6$ .

When  $\varphi(v_1u) = \varphi(v_2w) = 4$ , if there is an available color for  $v_2w$  other than 4, we are done. Otherwise, we can assume that  $\varphi(x_2) \subseteq \{1, 2, 3\}$ ,  $\varphi(v_2x_2) \in \{\varphi(z_2) \cap \varphi(z'_2)\}$  and  $6 \in \varphi(x_3)$  forming bi-colored paths of length three. This situation is shown in Figure 1. Remove the colors of the edges  $uv_2$  and  $v_2w$ , then recolor the edge  $v_2x_2$  using the Observation. After recoloring, let the color of  $v_2x_2 = c$ . Clearly,  $c \in \{4, 5, 6\}$ . If  $c \in \{5, 6\}$ , we first color  $uv_2$  with an available color from  $\{1, 2, 3\}$  then, we color the edges  $v_1v$  and  $v_2w$  in order. Otherwise, we uncolor the edge  $v_1u$ , then color  $uv_2$  with an available color from  $\{1, 2, 3\}$ . Finally, we color  $v_1u$ ,  $v_1v$  and  $v_2w$  in order. At each step there is a color available for each of the edge.



**Fig. 1:** Configuration of claim 9

When  $\varphi(v_1u) = \varphi(v_2x_2) = 4$ , we remove the colors of the edges  $uv_2$  and  $v_2w$  and recolor the edge  $v_2x_2$  using the Observation. After recoloring, let the color of  $v_2x_2 = c$ . In the worst case, let  $c \in \varphi(z_2)$ . If  $c = 4$ , we uncolor the edge  $v_1u$ , this gives at least two colors available for  $uv_2$ . We choose a color other than 6 for  $uv_2$ . Then color  $uv_1$ ,  $v_1v$  and  $v_2w$  in order. Otherwise, (when  $c \neq 4$ ) we color  $uv_2$ ,  $v_1v$  and  $v_2w$  in order. At each step there is at least one color available for each of the edge.

From the above, we can also see that a 3-vertex is not adjacent to a *light* 3-vertex and a 2-vertex.

**Claim 10.**  $G'$  does not contain a 3<sub>2</sub>-vertex adjacent to either (i) a 3<sub>1</sub>-vertex or (ii) a 3-vertex which is adjacent to a *light* 3-vertex.

Suppose to the contrary that  $G'$  contains a 3<sub>2</sub>-vertex  $u$  adjacent to such a 3-vertex  $v_1$ . Let  $N(v_1) = \{u, v_2, x_1\}$ . Let  $v_2$  be a 2-vertex. Let  $u_1$  and  $u_2$  be 2-neighbors of  $u$ . By claim 7,  $v_2 \neq u_1$  or  $u_2$ . By claim 5,  $v_2$  is not adjacent to  $u_1$  or  $u_2$ . Let  $w_i$  be the other neighbor of  $u_i$  that is distinct from  $u$  and  $x_2$  be a 3-neighbor of  $v_2$  which is distinct from  $v_1$ . (By claim 5,  $x_2$  and  $w_i$ ,  $i = 1, 2$  are three vertices). Let  $N(w_i) = \{z_i, z'_i, u_i\}$  and  $N(x_i) = \{y_i, y'_i, v_i\}$ . (If  $v_2$  is a *light* 3-vertex, let the adjacent 1-vertex be  $v$ .) Since,  $G'$  is a minimum counterexample,  $H = \{G' \setminus \{uu_i\}, i = 1, 2\}$  has a star edge coloring  $\varphi$  with six colors. To extend  $\varphi$  to  $G'$ , we remove the colors of the edges  $uv_1$  and  $v_1v_2$ . (When  $v_2$

is a *light* 3-vertex then, we remove the color of the edge  $v_2v$  also.) By claim 7,  $x_1 \neq x_2$ . By using the Observation for the edges  $v_ix_i$ ,  $i = 1, 2$  we can assume that  $\varphi(v_ix_i) \in \varphi(y_i)$ .

When  $\varphi(v_1x_1) = \varphi(v_2x_2)$ , there are at most five forbidden colors for the edge  $v_1v_2$  so, we color it with the available color. (Then we color the edge  $v_2v$ , when  $v_2$  is a *light* 3-vertex, with the color  $\varphi(x_1y_1)$ , if it is available. Otherwise,  $\varphi(x_1y_1) = \varphi(x_2y_2)$ . So, we set the color of  $v_2v = \varphi(x_2y_2)$ ). This makes at most three colors forbidden for the edge  $uv_1$ . Therefore,  $|A(uv_1)| \geq 3$ .

When  $\varphi(v_1x_1) \neq \varphi(v_2x_2)$ , there are at least two colors available for the edge  $v_1v_2$ . We choose a color that appears on  $\varphi(x_1)$  for the edge  $v_1v_2$ , if it is available. Otherwise,  $|\varphi(x_1) \cap \varphi(x_2)| \geq 1$ , so we get a color which does not appear on  $\varphi(x_i)$ , for both  $i = 1, 2$ . We set this color for  $v_1v_2$ . (Then we color the edge  $v_2v$  as above when  $v_2$  is a *light* 3-vertex.) Therefore, again there are at most three forbidden colors for the edge  $uv_1$ ,  $|A(uv_1)| \geq 3$ . In both the cases above we can observe that there is at least one color in  $A(uv_1)$  which is not present in  $\varphi(x_1)$  and  $\varphi(v_2)$ . Let  $A(uv_1) = \{\alpha_1, \alpha_2, \alpha_3\}$ .

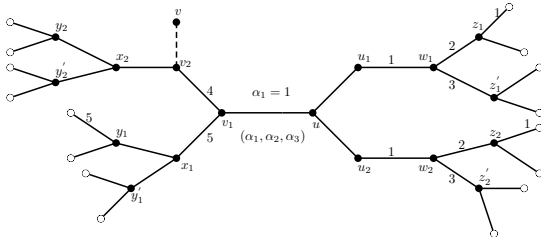


Fig. 2: Configuration of claim 10

By claim 8,  $w_1 \neq w_2$ , so, by using the Observation for the edges  $u_iw_i$ , we can assume that  $\varphi(u_iw_i) \in \varphi(z_i)$ , for  $i = 1, 2$ . We choose a color, say  $\alpha_1 \in A(uv_1)$ , which is not present on the edges incident to the vertices  $x_1$  and  $v_2$ . Set the color of  $uv_1 = \alpha_1$ . If  $\alpha_1 \neq \varphi(u_iw_i)$  for both  $i$ , we can further extend the coloring easily to the edges of  $G'$ . If  $\alpha_1 = \varphi(u_iw_i)$ , for one  $i$ , say,  $i = 1$ , then color  $uu_1$  and  $uu_2$  in this order. At each step there is an available color for each edge. If  $\alpha_1 = \varphi(u_iw_i)$ , for both  $i$ , and  $\varphi$  cannot be extended then,  $\{\varphi(w_i) \cap \varphi(v_1)\} = \{\alpha_1\}$ . This coloring is shown in Figure 2. So, we replace  $\alpha_1$  by  $\alpha_2$ , such that  $\alpha_2 \notin \{\varphi(x_1), \varphi(v_2)\}$ , if such a color exists, else we choose a color which is either in  $\varphi(x_1)$  or in  $\varphi(v_2)$ . This makes the availability of at least two colors for one of the edges and at least one for the other. Hence,  $\varphi$  can be extended to  $G'$ , which is a contradiction.

**Claim 11.**  $G'$  does not contain a 3-vertex adjacent to two 3<sub>2</sub>-vertices.

Suppose  $u$  is a 3-vertex adjacent to two 3<sub>2</sub>-vertices  $u_1$  and  $u_2$ . Let  $u_3$  be the third neighbor of  $u$ . Let  $N(u_i) = \{v_i, v'_i, u\}$ ,  $i = 1, 2, 3$ . For  $i = 1, 2$  let  $v_i$  and  $v'_i$  be the 2-neighbors of  $u_i$ . Let  $N(v_i) = \{u_i, w_i\}$ ,  $N(v'_i) = \{u_i, w'_i\}$ ,  $N(w_i) = \{x_i, x'_i, v_i\}$  and

$$N(w'_i) = \{y_i, y'_i, v'_i\}.$$

Consider  $H = G' \setminus \{u_1v_1, u_1v'_1\}$ . By minimality of  $G'$ ,  $H$  has a star edge coloring  $\varphi$  using six colors. If  $v_1 = v_2$  and we cannot extend  $\varphi$  to the edges of  $G'$ . We recolor the edges  $v'_1w'_1$  and  $uu_1$  using the Observation. In the worst case, we assume that  $\varphi(uu_1) \in \varphi(u_2)$  or  $\varphi(uu_1) \in \varphi(u_3)$  and  $\varphi(v'_1w'_1) \in \varphi(y_1)$ . If  $\varphi(uu_1) = \varphi(v'_1w'_1)$ , then we color the edges  $u_1v'_1$  and  $u_1v_1$  in this order. There exists at least one color for each of them. Otherwise,  $|A(u_1v'_1)| \geq 2$ , so, we set the color of  $u_1v'_1 = \alpha \in A(u_1v'_1)$ , such that  $\alpha \in \varphi(u)$  and  $\alpha \notin \varphi(w'_1)$ , if such  $\alpha$  exists. Else, we get a color  $\alpha' \notin \{\varphi(u) \cup \varphi(w'_1)\}$ . So, we set the color of  $u_1v'_1 = \alpha'$ . This gives  $|A(u_1v_1)| \geq 1$  so,  $\varphi$  can be extended to  $G'$ . Similarly, we can extend  $\varphi$  to  $G'$  when  $v'_1 = v'_2$ . Therefore, in  $G'$ ,  $v_1$  is distinct from  $v_2$  and  $v'_1$  is distinct from  $v'_2$ .

Also, it can be observed that for  $i = 1, 2$ ,  $v_i$  is not adjacent to  $v'_i$  (by claim 5) and  $w_i$  is distinct from  $w'_i$  (by claim 8).

Now, suppose  $\varphi$  cannot be extended to the edges of  $G'$ . We recolor the edges  $v_1w_1$  and  $v'_1w'_1$  using the Observation. In the worst case we can assume that the color of  $v_1w_1 = (\text{say}) t_1 \in \varphi(x_1)$  and the color of  $v'_1w'_1 = (\text{say}) t_2 \in \varphi(y_1)$ . If we get colors available for the edges  $u_1v_1$  and  $u_1v'_1$ , we are done. Otherwise, we recolor the edge  $uu_1$ . After recoloring, let the color of  $uu_1 = \alpha$ . We consider two cases.

**Case 1:** Suppose  $\alpha \notin \{\varphi(u_2) \cup \varphi(u_3)\}$ .

When  $\alpha \neq t_1 \neq t_2$  or  $\alpha \neq t_1 = t_2$  we can easily get available colors for the edges of  $G'$ . When  $\alpha = t_1 \neq t_2$  ( $\alpha = t_2 \neq t_1$  respectively), first we color  $u_1v_1$  ( $u_1v'_1$  respectively), then we have  $|A(u_1v'_1)| \geq 2$  ( $|A(u_1v_1)| \geq 2$  respectively).

When  $\alpha = t_1 = t_2$  and there is no color available for one of the edges in  $G'$ . We extend this coloring to  $G'$  in the following way. Before recoloring the edge  $uu_1$  with  $\alpha$  -

**Case 1.1:** If  $\varphi(uu_1)$  is different from  $\alpha$  then,  $\varphi(uu_1) \neq t_1 = t_2$ . As  $\varphi$  could not be extended,  $|\{\varphi(w_1) \cap \varphi(w'_1)\}| = 3$  and all the three colors incident with the vertex  $u$  must be forbidden. So,  $\varphi(uu_1)$  must appear on one of the edges incident to  $u_2$  and also on  $u_3$ . So, the coloring can be assumed as follows.  $\varphi(w_1v_1) = 1$ ,  $\varphi(w_1x_1) = 2$ ,  $\varphi(w_1x'_1) = 3$ ,  $\varphi(w'_1v'_1) = 1$ ,  $\varphi(w'_1y_1) = 2$ ,  $\varphi(w'_1y'_1) = 3$  and  $\varphi(uu_1) = 4$ ,  $\varphi(uu_2) = 5$ ,  $\varphi(uu_3) = 6$ . Let  $\varphi(u_3v_3) = 4$  and  $\varphi(u_2v_2) = 4$ . After recoloring, now we get the case that the color of  $uu_1 = \alpha \notin \{\varphi(u_2) \cup \varphi(u_3)\}$  and  $\alpha = t_1 = t_2 = 1$ . If there is any color available for the edge  $uu_1$  other than 1 and 4, we are done. Otherwise, we can assume that  $\varphi(v_2w_2) = 5$  and  $6 \in \varphi(v_3)$ . If  $\varphi(v'_2w'_2) \neq 1$  (or 1 is an available color for  $uu_2$ ) we can swap the colors of the edges  $uu_1$  and  $uu_2$ . Then it is easy to see that, there is at least one color available for each of the two edges  $u_1v_1$  and  $u_1v'_1$  of  $G'$  and we are done. Therefore, we assume that  $\varphi(v'_2w'_2) = 1$ . Now, we remove the colors of the edges  $u_2v_2$ ,  $u_2v'_2$ ,  $uu_2$



and  $uu_1$ . We set  $\varphi(u_2v_2') = \alpha_1$  and  $\varphi(u_2v_2) = \alpha_2$ , such that  $\alpha_1 \notin \{\varphi(w_2') \cup (\varphi(v_2w_2), \varphi(uu_3))\}$ , (Clearly  $\alpha_1 \in \{2, 3, 4\}$ ) and  $\alpha_2 \notin \{\varphi(w_2) \cup (\varphi(uu_3), \alpha_1)\}$ . For  $\alpha_2 \neq 1$ , we set  $\varphi(uu_2) = 1$  and  $\varphi(uu_1) = 5$ . For  $\alpha_2 = 1$  and  $\alpha_1 = 2$  or  $3$ , we set  $\varphi(uu_2) = 4$  and  $\varphi(uu_1) = 5$ . For  $\alpha_2 = 1$  and  $\alpha_1 = 4$ , we set  $\varphi(uu_2) = 5$  and  $\varphi(uu_1) \in \{2, 3\}$ . Therefore, in each case, the color of  $uu_1 \neq t_1 = t_2$ . So,  $\varphi$  can be easily extended to  $G'$ .

**Case 1.2:** If  $\varphi(uu_1)$  is the same as  $\alpha$  then  $|\{\varphi(u_2) \cap \varphi(u_3)\}| = 1$ . If we get a color other than  $\alpha$  for  $uu_1$  we can easily extend the coloring to  $G'$ . Otherwise, we can assume the coloring as in (i) except that the colors of the three edges out of  $u_2v_2$ ,  $u_2v_2'$ ,  $u_3v_3$  and  $u_3v_3'$  are from  $\{2, 3, 4\}$  and  $\alpha = t_1 = t_2 = 1$ . If  $\{\varphi(u_2v_2), \varphi(u_2v_2')\} \in \{2, 3, 4\}$ , then we can swap the colors of the edges  $uu_2$  and  $uu_1$  and hence, we are done. If  $\{\varphi(u_3v_3), \varphi(u_3v_3')\} \in \{2, 3, 4\}$ , then we can again swap the colors of  $uu_2$  and  $uu_1$ , whenever  $\varphi(v_2'w_2') \neq 1$  or  $1$  is available for  $uu_2$ . Otherwise, (when  $\varphi(v_2'w_2') = 1$ ), we remove the colors of the edges  $uu_1$  and  $uu_2$  and then recolor the edge  $uu_3$  using the Observation. After recoloring  $\varphi(uu_3) \in \{1, 4, 5\}$  (Observe that before recoloring  $\varphi(uu_3) \in \{\varphi(v_3) \cap \varphi(v_3')\}$ ). If  $\varphi(uu_3) = 1$ , retain  $\varphi(uu_2) = 5$  and set  $\varphi(uu_1) = 6$ . If  $\varphi(uu_3) = \{4, 5\}$  and  $\varphi(u_2v_2') \neq 6$ , then set  $\varphi(uu_2) = 6$  and  $\varphi(uu_1) \in \{4, 5\}$ . When  $\varphi(u_2v_2') = 6$ , then  $6 \in \varphi(w_2')$  (as  $1$  is not available for  $uu_2$ ). So, we remove the color of the edge  $u_2v_2'$  and recolor it with a color which is not in  $\{\varphi(u) \cup \varphi(v_2) \cup \varphi(w_2')\}$ . There is at least one such color. Observe that after recoloring  $\varphi(u_2v_2') \in \{2, 3\}$ , so we set  $\varphi(uu_2) = 1$  and  $\varphi(uu_1) = 6$ . Therefore, in each case, the color of  $uu_1 \neq t_1 = t_2$ . So,  $\varphi$  can be easily extended to  $G'$ .

**Case 2:** Suppose  $\alpha \in \varphi(u_2)$  or  $\alpha \in \varphi(u_3)$ .

**Case 2.1:** When  $\alpha \in \varphi(u_3)$ . (and there is no color available for one of the edges).

From the Observation  $|\{\varphi(u_2) \cap \varphi(u_3)\}| = 0$  so, without loss of generality we can assume that  $\alpha = \varphi(u_3v_3) = 1$ ,  $\varphi(uu_3) = 2$ ,  $\varphi(u_3v_3') = 3$ ,  $\varphi(uu_2) = 4$ ,  $\varphi(u_2v_2) = 5$ ,  $\varphi(u_2v_2') = 6$ . In order to extend  $\varphi$  to the edges of  $G'$ , we try to get a color for the edge  $uu_1$  other than  $1$ . If such color is available, we are done. Otherwise, we can assume that  $\varphi(v_2w_2) = \varphi(v_2'w_2') = 4$ . So, we swap the colors of the edges  $uu_1$  and  $uu_2$  and set the color of  $uu_2 = 1$  and the color of  $uu_1 = 4$ . This situation is shown in Figure 3.

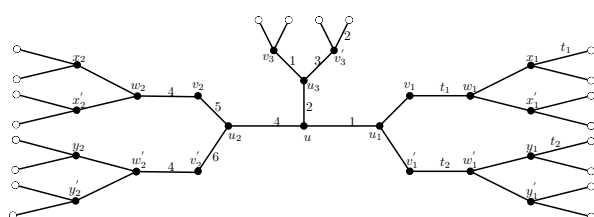


Fig. 3: Configuration of claim 11, case 2.1

**Case 2.2:** When  $\alpha \in \varphi(u_2)$  and there is no color available for one of the edges.

Again from the Observation  $|\{\varphi(u_2) \cap \varphi(u_3)\}| = 0$ . Let  $\alpha = \varphi(u_2v_2) = 1$ ,  $\varphi(uu_3) = 2$ ,  $\varphi(u_3v_3') = 3$ ,  $\varphi(uu_2) = 4$ ,  $\varphi(u_3v_3) = 5$ ,  $\varphi(u_2v_2') = 6$ . If there is an available color for the edge  $uu_1$  other than  $1$  then we are done. Otherwise, we can assume that  $2 \in \{\varphi(v_3) \cap \varphi(v_3')\}$  and  $\varphi(v_2'w_2') = 4$ . This situation is depicted in Figure 4. So, we remove the colors of the edges  $u_2v_2$ ,  $uu_1$  and  $uu_2$ . Then, we recolor the edge  $uu_3$  using the observation. Clearly, after recoloring  $\varphi(uu_3) \in \{1, 4, 6\}$ .

When  $\varphi(uu_3) = 4$ , we retain the color of  $u_2v_2$  and recolor the edge  $uu_2$  with an available color from  $\{3, 5\}$  and set  $\varphi(uu_1) = 2$ .

When  $\varphi(uu_3) = 6$ , we set  $\varphi(uu_2) = 1$  and color  $u_2v_2$  with its available color. There is at least one available color for it, as  $6 \notin \varphi(w_2')$ . If  $\varphi(u_2v_2) \neq 2$ , we set  $\varphi(uu_1) = 2$ . Otherwise, we set  $\varphi(uu_1) = 4$ .

When  $\varphi(uu_3) = 1$ , as  $\varphi(v_2w_2) \neq 4$ , we retain the colors of the edges  $u_2v_2$  and  $uu_2$  and set  $\varphi(uu_1) = 2$ .

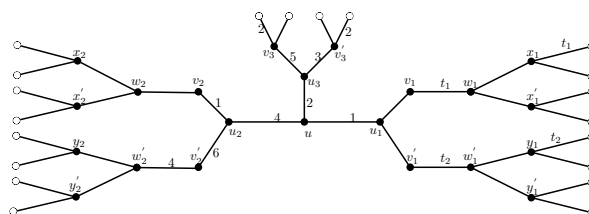


Fig. 4: Configuration of claim 11, case 2.2

Now, in cases 2.1 and 2.2 above, when  $\alpha = 1 = t_1 = t_2$ , after recoloring,  $\varphi(uu_1) \neq t_1 = t_2$ , so, there are at least two colors available for  $u_1v_1$  and at least one for  $u_1v_1'$ . When  $\alpha = 1 \neq t_1 \neq t_2$ , after recoloring, if  $\varphi(uu_1) \neq t_1 \neq t_2$ , there are at least three colors available for  $u_2v_2$  and at least one for  $u_1v_1'$ . Otherwise, if  $\varphi(uu_1) = t_1 \neq t_2$  (resp.  $= t_2 \neq t_1$ ), we color the edges  $u_1v_1$  (resp.  $u_2v_2$ ) and  $u_1v_1'$  (resp.  $u_2v_2'$ ) in order. At each step there is a color available for each of the edges. Hence,  $\varphi$  can be extended to  $G'$ , a contradiction.

Now, we delete all the vertices of degree one from  $G'$  and obtain a graph  $H' = G' \setminus \{v \in V(G') \mid d(v) = 1\}$ . Clearly, it is connected and has maximum average degree  $< \frac{8}{3}$ . Using all the above claims we get the following reducible configurations in  $H'$ .

- C1) The minimum degree of  $H' \geq 2$ . (from claims 1 & 2)
- C2)  $H'$  does not contain -
  - C2.1) two adjacent 2-vertices. (from claims 3, 4 & 5)
  - C2.2) a 3-vertex adjacent to three 2-vertices. (from claims 6 & 9)
  - C2.3) a 3<sub>1</sub>-vertex adjacent to a 3<sub>2</sub>-vertex. (from claims 7, 8, 9 & 10)
  - C2.4) a 3-vertex adjacent to two 3<sub>2</sub>-vertices. (from claims 8, 9 & 11)

Next, we set a weight function  $w : V(H') \rightarrow \mathbb{R}$  with  $w(v) = d(v) - \frac{8}{3}$ ,  $\forall v \in V(H')$ . It can be easily observed that as  $mad(H') < \frac{8}{3}$ , the total sum of weights of all the vertices in the graph  $H'$  is strictly negative. That is,  $\sum_{v \in V(H')} w(v) < 0$ .

Then, we redistribute the weights among the vertices according to the discharging rules described below, to obtain the weight function  $w'$ . During the discharging process, the sums of the values of  $w'$  and  $w$ , counting over all the vertices remain same.

Let  $v \in V(H')$  be a  $k$ -vertex,  $k = 2, 3$ . The initial weight assigned to the 2-vertices is  $-\frac{2}{3}$  units and to the 3-vertices is  $\frac{1}{3}$  units. We move the positive weights from 3-vertices to 2-vertices using the following discharging rules:

A 3-vertex sends-

R1  $\frac{1}{3}$  units to the adjacent  $3_2$ -vertex.

R2  $\frac{1}{3}$  units to each of the adjacent 2-vertices.

**Case 1:** Let  $v \in V(H')$  be a 2-vertex.

From C2.1 and C2.2, a 2-vertex  $v$  is adjacent to 3-vertices only. Therefore, using R2, the 3-vertices send  $\frac{1}{3}$  units to  $v$ . So,  $w'(v) = -\frac{2}{3} + 2 \times \frac{1}{3} = 0$ .

**Case 2:** Let  $v \in V(H')$  be a 3-vertex.

From C2.2, a 3-vertex  $v$  can be adjacent to at most two 2-vertices.

When  $v$  is a  $3_2$ -vertex, by C2.3 and C2.4,  $v$  is adjacent to at least one  $3_0$ -vertex. So, by R1 it receives  $\frac{1}{3}$  units from the  $3_0$ -vertex, then by R2 it sends  $\frac{1}{3}$  units to each of the adjacent 2-vertices. Therefore,  $w'(v) = \frac{1}{3} + \frac{1}{3} - 2 \times \frac{1}{3} = 0$ . When  $v$  is a  $3_1$ -vertex, it sends  $\frac{1}{3}$  units to the adjacent 2-vertex. Therefore, by using R2,  $w'(v) = \frac{1}{3} - \frac{1}{3} = 0$ .

When  $v$  is a  $3_0$ -vertex, by C2.4 it can be adjacent to at most one  $3_2$ -vertex. So, it sends  $\frac{1}{3}$  units to it. Therefore, by using R1,  $w'(v) = \frac{1}{3} - \frac{1}{3} = 0$ . If  $v$  is not adjacent to any  $3_2$ -vertex, then its weight remains unchanged. So,  $w'(v) = w(v) = \frac{1}{3}$ .

Therefore,  $w'(v) \geq 0$  for every  $v \in V(H')$ . This leads us to the contradiction  $0 \leq \sum_{v \in V(H')} w'(v) = \sum_{v \in V(H')} w(v) < 0$ . Therefore,  $H'$  cannot exist. Hence, the minimal counter example  $G'$  also does not exist. This completes the proof.

## 2 Conclusion

We have determined the star chromatic index of graphs with maximum degree  $\Delta \leq 3$  and maximum average degree less than  $\frac{8}{3}$ . We have shown that  $\chi'_s(G) \leq 6$  for such graphs.

## Acknowledgement

The authors are grateful to the anonymous referee for a careful checking of the details and for helpful comments that improved this paper.

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